



ADMINISTRATIVE POLICIES  
AND PROCEDURES  
State of Tennessee  
Department of Correction

Index #: 113.82

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Effective Date: July 1, 2021

Distribution: A

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Approved by: Tony Parker

Subject: MENTAL HEALTH REFERRAL TRIAGE PROCESS

- I. AUTHORITY: TCA 4-3-603 and TCA 4-3-606.
- II. PURPOSE: To ensure, upon referral, that an inmate's mental health needs are addressed in a timely manner by the most qualified mental health professional within a given institution.
- III. APPLICATION: All Tennessee Department of Correction (TDOC) institutional employees, contractors, and privately managed facilities.
- IV. DEFINITIONS:
  - A. Comprehensive Mental Health Sites: An institution designated to provide mental health services, including onsite psychiatric services for Mental Health Levels of Care II through V.
  - B. Emergency Referral: Referral of an inmate who requires immediate response due to a psychiatric emergency.
  - C. Intake Referral: A referral generated in which the inmate/staff is requesting that an inmate be evaluated for behavioral health services, and that is not currently active on the mental health caseload.
  - D. Licensed Independent Mental Health Professional (LIMHP): A licensed psychiatrist, advanced practice nurse (APN), a psychologist with health service provider designation, senior psychological examiner, licensed clinical social worker, or licensed professional counselor with mental health services provider designation. These individuals shall meet all educational competency and licensure/certification criteria mandated by their regulatory boards.
  - E. Medication Adherence Referral: A referral made by nursing staff after an inmate has refused and/or been absent for their psychotropic medication.
  - F. Mental Health Referral Triage Process: A procedure established to determine the mental health needs of an inmate as determined by a mental health professional(s). Upon determination of appropriate clinical need, disposition for mental health intervention is provided.
  - G. Non-Comprehensive Mental Health Sites: An institution designated primarily as a Level I facility, which is equipped to provide telehealth psychiatry services for inmates assigned to that institution, who may, from time to time, require psychiatric consultation.
  - H. PREA Screening Referral: A referral generated by TDOC counseling staff after the inmate has received a PREA screening or rescreening in accordance with policy 502.06.1.

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- I. Psychiatric Emergency: A sudden serious disturbance of a behavior, affect, or thought process due to an apparent mental illness that requires immediate mental health treatment intervention in order to prevent further physical or cognitive harm to an inmate or others.
- J. Qualified Mental Health Professional (QMHP): For purposes of this policy, a licensed Psychological Examiner or other individual who is professionally licensed/certified as a therapeutic professional or unlicensed mental health provider having a master's degree in the behavioral sciences.
- K. Routine Referrals: A referral generated by any staff member indicating that an inmate needs to be evaluated for behavioral health services that do not indicate the inmate is at acute risk for suicide or experiencing acute symptoms.
  
- V. POLICY: All institutions shall render mental health services to inmates and shall ensure the timely response and disposition of mental health referrals.
  
- VI. PROCEDURES:
  - A. The Behavioral Health Administrator shall be responsible to monitor and ensure that the behavioral health referral triage process is carried out in a timely and efficient manner. This requires a review of the reason for referral, and assignment of appropriate action, based on degrees of urgency.
  - B. Mental health referrals shall be handled in a timely manner. The Behavioral Health Administrator, or designee, at each institution shall review all behavioral health referrals and determine the appropriate course of action. A designee must be a qualified mental health professional or licensed independent mental health professional. The review for routine, medication adherence, PREA classification referrals, or non-urgent referrals, may consist of but is not limited to scheduling a follow-up appointment, review of the health record, OMS review, Treatment Team review, and/or face to face assessment. Urgent referrals shall be triaged in person within seven days of the referral. Treatment plans shall be developed in accordance with Policy #113.83.
  - C. The Licensed Independent Mental Health Professional or qualified mental health professional shall routinely serve as the screening mechanism for all referrals determined to need a face-to-face assessment (urgent referral). At non-comprehensive mental health sites or at the time of inmate transfer, medical staff may serve as the primary mechanism for referral screening.
  - D. Each institution shall develop a procedure to ensure that mental health staff are informed within 24 hours of an inmate's transfer to segregation. The mental health staff shall provide assessments according to Policy #113.84.
  - E. Referrals shall be made using Institutional Mental Health Services Referral, CR-3431.
    - 1. The following information shall be documented by the referring health professional:
      - a. Reason for referral

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- b. Significant, acute or chronic mental/physical problems - if known
  - c. Referral disposition, pertinent information, or outcomes
2. The CR-3431 is maintained in the Mental Health section of the inmate's health care record.
  3. Inpatient units and Supportive Living Units are not required to utilize CR-3431, unless the requested consult is not routinely provided within the confines of the treatment unit.
- F. The categories of referrals include intake, routine, and emergency referrals. Emergency referrals shall be handled in accordance with Policy #113.89.
1. Intake Referrals
    - a. The initial TDOC Health Questionnaire, CR-2178, shall be completed immediately upon arrival by intake nursing personnel. Referrals based on the CR-2178 shall be made in accordance with Policy #113.20.
    - b. Inmates who arrive at the reception center who are receiving psychiatric medication must be evaluated by a LIMHP for a Mental Health Intake Appraisal and Evaluation, CR-4180, within seven days of arrival at the facility and evaluated by the psychiatrist/APN within 14 days of arrival at the facility.
    - c. If the decision is made to continue treatment, then treatment shall be implemented in accordance with Policy #113.83. All TDOC Mental Health
    - d. If the decision is made to discontinue treatment, then the psychiatrist/APN shall document the rationale on Individual Psychiatry Session-Progress Record, CR-3763.
    - e. Intake Appraisal and Evaluation, CR-4180, shall be completed by a LIMHP or QMHP within 14 days of receipt of referral.
  2. Routine Referrals: Routine requests for mental health services are reviewed by the Behavioral Health Administrator, an Independent Licensed Mental Health Professional or qualified mental health professional as soon as possible but within seven days of the receipt of the referral by behavioral health staff. If the inmate needs further evaluation, that evaluation, by a LIMHP or QMHP, shall be completed within seven days of the mental health review. Other types of referrals that fall under this category are as follows:
    - a. Medication adherence referrals
    - b. PREA screening referrals

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3. Emergency Referrals: All emergency referrals shall be handled in accordance with Policy #113.89. Institutional policies and/or procedures shall be developed to include the following requirements:
  - a. Transfer: Following consultation with the psychiatrist/APN, inmates who are determined as being in crisis and are designated as Mental Health Level of Care V may be transferred to a designated Level V facility or infirmary bed. Transfers are made in accordance with Policy #113.89.
  - b. Emergency Response Education/Training: All institutional staff shall receive training in mental health emergencies. Training shall be part of orientation and the institutional core curriculum and shall include:
    1. Recognition of signs and symptoms of acute mental distress and knowledge of action required in potential emergency situations
    2. Methods of obtaining assistance
    3. Signs and symptoms of mental illness, intellectual disability, and chemical dependency
    4. Suicide prevention
    5. Procedures for the transfer of inmates to a Level V bed or Level V unit at a different facility if the current facility is unable to provide such services.
  - c. Procedures: Institutional policies and/or procedures shall be developed to include a written plan which covers the provision of 24-hour mental health care availability. The plan shall include arrangements for the following:
    1. Coordination of onsite emergency response and crisis intervention
    2. On-call procedures during regular business hours
    3. On-call procedures after hours and on weekends

VII. ACA STANDARDS: 5-ACI-6A-03, 5-ACI-6A-08, 5-ACI-6A-33, 5-ACI-6B-08, and 5-ACI-4A-01.

VIII. EXPIRATION DATE: July 1, 2024



# TENNESSEE DEPARTMENT OF CORRECTION HEALTH QUESTIONNAIRE

INMATE NAME: \_\_\_\_\_ TDOC ID \_\_\_\_\_ DOB \_\_\_\_\_

RECEIVING INSTITUTION: \_\_\_\_\_ DATE: \_\_\_\_\_ / \_\_\_\_\_ / \_\_\_\_\_ TIME: \_\_\_\_\_ a.m./p.m.

INITIAL INTAKE: \_\_\_\_\_ TEMPORARY TRANSFER: \_\_\_\_\_ PERMANENT TRANSFER: \_\_\_\_\_

**INQUIRE:**

- 1. Do you have any barriers to learning?     Vision     Hearing     Reading     Writing     None
- 2. Do you speak/read English?    Speak:     Yes     No                      Read:     Yes     No
- 3. Have you ever had a positive TB test?     Yes     No                      If **yes**, describe \_\_\_\_\_  
\_\_\_\_\_
- 4. Are you being treated for any illness or health problem (including dental, venereal disease, or other infectious diseases)?  
 Yes     No                      If **yes**, describe: \_\_\_\_\_  
\_\_\_\_\_
- 5. Do you have any physical, mental or dental complaints at this time?     Yes     No  
If **yes**, describe: \_\_\_\_\_
- 6. Are you currently taking any medication(s)?                       Yes     No  
If **yes**, was the medication transferred with the inmate?     Yes     No  
If **yes**, describe (what used, how much, how often, date of last use, and any problems)  
\_\_\_\_\_
- 7. Have you recently or in the past, abused alcohol or other drugs, including prescription drugs?     Yes     No  
If yes, What? \_\_\_\_\_ How much? \_\_\_\_\_
- 8. Have you ever been hospitalized for using alcohol or other drugs, including prescription drugs?                       Yes     No  
If **yes**, when? \_\_\_\_\_
- 9. Do you have any allergies?     Yes     No                      If **yes**, describe: \_\_\_\_\_  
\_\_\_\_\_

***(For women)***

- 10. a) LMP \_\_\_\_\_ b) Are you pregnant?     Yes     No                      Number of months \_\_\_\_\_  
c) Have you recently delivered?                       Yes     No                      Date: \_\_\_\_\_  
d) Are you on birth control pills?                       Yes     No  
e) Any gynecological problems?                       Yes     No
- 11. Screening for MRSA Infections:  
a) Do you have any lesions, sores or insect bites?     Yes     No  
If **so**, do you have any open/draining lesions, sores, or insect bites?     Yes     No  
If **yes**, where are these lesions? \_\_\_\_\_

**OBSERVE:**

- 1. Behavior (including state of awareness, mental status, appearance, conduct, tremor and sweating):  
 Normal     Abnormal                      If **abnormal**, describe: \_\_\_\_\_  
\_\_\_\_\_
- 2. Skin Assessment (including needle marks, trauma markings, bruises, lesions, jaundice, rashes, tattoos, and infestation(s))  
 Yes     No  
If **yes**, describe: \_\_\_\_\_  
\_\_\_\_\_
- 3. Is there evidence of Abuse or Trauma?     Yes     No                      If yes, describe: \_\_\_\_\_



TENNESSEE DEPARTMENT OF CORRECTION
HEALTH QUESTIONNAIRE

MENTAL HEALTH:

- 1. Is the inmate presenting behavior(s) that are considered: [ ] Anxious [ ] Antagonistic/Hostile [ ] Hallucinations [ ] Withdrawn/Avoidant [ ] Depressed/Hopeless [ ] No
2. Is the inmate presenting disorganized thought? (Unable to track questions and/or present responses in logical or connected manner) [ ] Yes [ ] No
3. Have you ever been in a mental hospital? [ ] Yes [ ] No
4. Have you ever been treated for mental health? [ ] Yes [ ] No
5. Have you ever attempted to kill yourself? [ ] Yes [ ] No
6. Are you thinking about suicide now? [ ] Yes [ ] No
7. Has a parent, other family member, or close friend committed suicide? [ ] Yes [ ] No
8. Do you have a history of past or current head trauma? [ ] Yes [ ] No
9. As an adult or child, have you personally experienced being: [ ] Sexually abused [ ] Physically abused [ ] Emotionally abused

DISPOSITION:

- Intake housing [ ] Intake housing with prompt referral appointment (health, mental health, substance use treatment)
General housing [ ] General housing with prompt/referral appointment
Referred to appropriate health, mental health or substance use provider [ ] Yes [ ] No
Contacted appropriate health, mental health, or substance use provider due to emergency [ ] Yes [ ] No
Additional comments on Progress Notes (CR-1884): [ ] Yes [ ] No

I have received information regarding the procedure for obtaining routine and emergency health care (medical, dental, substance use, and/or mental health, prenatal and postpartum care for females, and co-pay requirements). These have been explained to me and I understand how to access healthcare services in the form of:

- [ ] Orientation Handbook (i.e. Inmate Handbook)
[ ] Transient inmate information-describing how to access healthcare
[ ] Females only: I have received the handout entitled "Disclosures Required by TCA 41-21-204"

Inmate Signature
Employee Name Printed
Employee Signature and Title





**TENNESSEE DEPARTMENT OF CORRECTION  
MENTAL HEALTH SERVICES  
INDIVIDUAL PSYCHIATRY SESSION – PROGRESS RECORD**

Institution: \_\_\_\_\_

**NAME:** \_\_\_\_\_

**TDOC ID:** \_\_\_\_\_

**DATE:** \_\_\_\_\_

**TIME:** \_\_\_\_\_

**S = SUBJECTIVE      O = OBJECTIVE      A = ASSESSMENT      P = PLAN**

**Interim**                       **90-day**                       **12-month**

|                        |      |                              |     |                              |           |
|------------------------|------|------------------------------|-----|------------------------------|-----------|
| <b>S (SUBJECTIVE):</b> | SPMI | <input type="checkbox"/> YES | SMI | <input type="checkbox"/> YES | DIAGNOSIS |
|                        |      |                              |     |                              |           |
|                        |      |                              |     |                              |           |

| O (OBJECTIVE):<br>Orientation  | Memory   | Speech  | Thought Processes  | Sleep  | Hallucinations   | Eye Contact   |
|--|--|---|--|--|--|---|
| <input type="checkbox"/> O X 4<br><input type="checkbox"/> Not Person<br><input type="checkbox"/> Not Place<br><input type="checkbox"/> Not Time<br><input type="checkbox"/> Not Situation<br><input type="checkbox"/> Other | <input type="checkbox"/> Intact<br><input type="checkbox"/> Memory Deficit | <input type="checkbox"/> Appropriate<br><input type="checkbox"/> Rapid<br><input type="checkbox"/> Pressured<br><input type="checkbox"/> Slowed<br><input type="checkbox"/> Mute<br><input type="checkbox"/> Tangential<br><input type="checkbox"/> Perseverating | <input type="checkbox"/> Appropriate<br><input type="checkbox"/> Loose Assoc<br><input type="checkbox"/> Flight of Ideas<br><input type="checkbox"/> Expansive<br><input type="checkbox"/> Pessimistic | <input type="checkbox"/> No Complaint<br><input type="checkbox"/> Hypersomnia<br><input type="checkbox"/> Insomnia<br><input type="checkbox"/> Nightmares<br><input type="checkbox"/> Changes in sleep pattern | <input type="checkbox"/> Not Present<br><input type="checkbox"/> Tactile<br><input type="checkbox"/> Olfactory<br><input type="checkbox"/> Gustatory<br><input type="checkbox"/> Auditory<br><input type="checkbox"/> Visual | <input type="checkbox"/> Good<br><input type="checkbox"/> Fair<br><input type="checkbox"/> Poor |

Comments: \_\_\_\_\_

| Delusions   | Mood   | Affect   | Danger to Self or Others  | AIMS  |
|---|--|--|---|---|
| <input type="checkbox"/> Not Present<br><input type="checkbox"/> Grandiose<br><input type="checkbox"/> Persecution<br><input type="checkbox"/> Somatic<br><input type="checkbox"/> Paranoia<br><input type="checkbox"/> Religious | <input type="checkbox"/> Euthymic<br><input type="checkbox"/> Depressed<br><input type="checkbox"/> Elevated<br><input type="checkbox"/> Neutral<br><input type="checkbox"/> Anxious<br><input type="checkbox"/> Irritable<br><input type="checkbox"/> Labile<br><input type="checkbox"/> Calm | <input type="checkbox"/> Appropriate<br><input type="checkbox"/> Flat<br><input type="checkbox"/> Blunted<br><input type="checkbox"/> Constricted<br><input type="checkbox"/> Incongruent w/Mood | <input type="checkbox"/> Not Present<br><input type="checkbox"/> Suicidal<br><input type="checkbox"/> Homicidal<br><input type="checkbox"/> Assaultive<br><input type="checkbox"/> Self Injurious | <input type="checkbox"/> AIMS Completed<br>Score: _____<br><input type="checkbox"/> N/A |

Comments: \_\_\_\_\_

**A (ASSESSMENT):**

|  |   |   |   |
|--|---|---|---|
| <u>Health Changes</u>  | <u>Lab/Test Results</u>   | <u>Med Compliance</u>   | <u>Side Effects</u>   |
| <input type="checkbox"/> None<br><input type="checkbox"/> Note Significant Changes | <input type="checkbox"/> No New Results<br><input type="checkbox"/> New Results Reviewed<br><input type="checkbox"/> Lab(s) Ordered | <input type="checkbox"/> Yes<br><input type="checkbox"/> No<br><input type="checkbox"/> N/A | <input type="checkbox"/> Present (Please Note)<br><input type="checkbox"/> Absent<br><input type="checkbox"/> N/A |

**Overall Rating:**

Progress                       Stable/Maintaining                       No Progress                       Decompensation

Comments: \_\_\_\_\_

**LEVEL OF FUNCTIONING:**

| Hygiene  | Daily Tasks   | Relationship   |
|--|---|--|
| <input type="checkbox"/> Independent<br><input type="checkbox"/> Monitoring or direction required<br><input type="checkbox"/> Only with frequent prompts<br><input type="checkbox"/> Unable w/out assistance<br><input type="checkbox"/> Declining | <input type="checkbox"/> Independent<br><input type="checkbox"/> Monitoring or direction required<br><input type="checkbox"/> Requires constant prompts<br><input type="checkbox"/> Unable w/out assistance<br><input type="checkbox"/> Declining | <input type="checkbox"/> Maintains social contacts<br><input type="checkbox"/> Non-verbal<br><input type="checkbox"/> Requires constant prompts<br><input type="checkbox"/> Unable w/out assistance<br><input type="checkbox"/> Social interaction minimal |

Comments: \_\_\_\_\_

**P (PLAN/INTERVENTION):**

|  |  |
|--|--|
| <input type="checkbox"/> Continue Medication Unchanged<br><input type="checkbox"/> Changes in Current Medications (Specify) _____<br><input type="checkbox"/> Risks/Benefits, Side Effects, and Alternatives were Discussed<br><input type="checkbox"/> Terminate Psychiatric Services | <input type="checkbox"/> Treatment Plan Development/Revision |
| Referral:  |  |
| Return:  |  |
| Specify Other Interventions (as needed): _____   |  |

Signature \_\_\_\_\_

Date \_\_\_\_\_





**TENNESSEE DEPARTMENT OF CORRECTION  
MENTAL HEALTH INTAKE APPRAISAL AND EVALUATION**

**INSTITUTION**

NAME: \_\_\_\_\_ TDOC ID: \_\_\_\_\_ DATE: \_\_\_\_\_  
 DOB: \_\_\_\_\_ Gender: \_\_\_\_\_ Race: \_\_\_\_\_ Date of TDOC Arrival: \_\_\_\_\_

**I. BEHAVIORAL OBSERVATION / MENTAL STATUS**       INITIAL EVAL     UPDATED EVAL     DATE OF INITIAL EVAL \_\_\_\_\_

| Mood & Affect   | Thought Content   | Orientation   | Memory  | Judgment & Insight  | General Appearance  | Speech   |
|---|---|---|---|---|---|--|
| <input type="checkbox"/> Appropriate<br><input type="checkbox"/> Incongruent<br><input type="checkbox"/> Flat Affect<br><input type="checkbox"/> Sad Mood<br><input type="checkbox"/> Hopeless<br><input type="checkbox"/> Anxiety/Panic<br><input type="checkbox"/> Manic<br><input type="checkbox"/> Labile/Swings<br><input type="checkbox"/> Euphoric<br><input type="checkbox"/> Impulsive<br><input type="checkbox"/> Hostile | <input type="checkbox"/> Normal/Appropriate<br><input type="checkbox"/> Poor Focus/Inattentive<br><input type="checkbox"/> Negative/Pessimistic<br><input type="checkbox"/> Indecisive/Confused<br><input type="checkbox"/> Paranoid/Suspicious<br><input type="checkbox"/> Loose Assoc<br><input type="checkbox"/> Flight of Ideas<br><input type="checkbox"/> Racing Thoughts<br><input type="checkbox"/> Expansive<br><input type="checkbox"/> Suicidal/Self-Harm<br><input type="checkbox"/> Homicidal/Assaultive | <input type="checkbox"/> Oriented<br>X1, 2, 3, 4<br>_____<br><input type="checkbox"/> Disoriented<br><input type="checkbox"/> Person<br><input type="checkbox"/> Time<br><input type="checkbox"/> Place<br><input type="checkbox"/> Situation | <input type="checkbox"/> Intact<br><input type="checkbox"/> Impaired<br><input type="checkbox"/> Immediate<br><input type="checkbox"/> Recent<br><input type="checkbox"/> Remote<br><input type="checkbox"/> Confabulations<br><br>Loss specific to<br><input type="checkbox"/> Trauma<br><input type="checkbox"/> TBI / Stroke<br><input type="checkbox"/> Other _____ | <b>JUDGMENT</b><br><input type="checkbox"/> Good<br><input type="checkbox"/> Fair<br><input type="checkbox"/> Poor<br><br><b>INSIGHT</b><br><input type="checkbox"/> Good<br><input type="checkbox"/> Fair<br><input type="checkbox"/> Poor | <input type="checkbox"/> Neat<br><input type="checkbox"/> Unclean<br><input type="checkbox"/> Bizarre<br><input type="checkbox"/> Disheveled<br><br><b>EYE CONTACT</b><br><input type="checkbox"/> Good<br><input type="checkbox"/> Fair<br><input type="checkbox"/> Poor | <input type="checkbox"/> Normal <input type="checkbox"/> Appropriate<br><input type="checkbox"/> Hesitant <input type="checkbox"/> Slowed<br><input type="checkbox"/> Low/Quiet <input type="checkbox"/> Mumbling<br><input type="checkbox"/> Mute <input type="checkbox"/> Loud<br><input type="checkbox"/> Circumstantial <input type="checkbox"/> Tangential<br><input type="checkbox"/> Rambling <input type="checkbox"/> Slurred<br><input type="checkbox"/> Perseverating <input type="checkbox"/> Rapid<br><input type="checkbox"/> Flight of Ideas <input type="checkbox"/> Pressured<br><input type="checkbox"/> Threatening <input type="checkbox"/> Angry<br><input type="checkbox"/> Other _____ |

**Observations/Comments:**  Cooperative     Pleasant     Reluctant     Withdrawn     Uncooperative     Bizarre Behavior: \_\_\_\_\_  
 \_\_\_\_\_  
 \_\_\_\_\_

**II. EDUCATION HISTORY**

**High School:**  Highest Grade Completed: \_\_\_\_\_  GED     High School Diploma     Enrolled in Special Ed Classes     Special Ed Diploma  
**College/Vocational:** Years Completed: \_\_\_\_\_ Area of Study: \_\_\_\_\_ Degree Received: \_\_\_\_\_  
 Comments: \_\_\_\_\_

**III. WORK HISTORY**

Never Worked     Years of Military Service: \_\_\_\_\_  Deployed in Combat Zone     Receiving Disability Prior to Incarceration for: \_\_\_\_\_  
 Last Job Held in Free-World: \_\_\_\_\_  Longest Held Job: \_\_\_\_\_  
 Comments: \_\_\_\_\_

**IV. FAMILY AND TRAUMA HISTORY**

Parent(s) Deceased:  Mother     Father     No, Both Living      Routine contact with:  Mother     Father     Siblings     Other Family Members  
 Parental Divorce:  No     Yes: Age at time of divorce: \_\_\_\_\_ Raised by: \_\_\_\_\_  Adopted  
 Childhood Trauma:  None       Abuse/Neglect     Poor/Absent Parenting     Parental Death     Foster Care/Group Home     Arrest/Detention  
 Describe: \_\_\_\_\_  
 Family history of substance abuse:  No     Yes: \_\_\_\_\_  
 Family history of mental health problems/treatment:  No     If yes, who: \_\_\_\_\_  
 Describe issues/treatment: \_\_\_\_\_  
 Trauma as adult:  No     Yes: \_\_\_\_\_  
 Comments: \_\_\_\_\_

**V. SIGNIFICANT OTHER, CHILDREN AND SOCIAL SUPPORT**

Currently Married/Significant Other:  No     Yes, Supportive Relationship     YES, BUT:  Estranged     No Contact     Divorcing/Separating  
 Prior Marriages/Divorces:  No     Yes, #: \_\_\_\_\_ Children:  No     If yes, # and ages: \_\_\_\_\_  
 Custody of children:  No     Yes     N/A      Contact Frequency with Children:  None     Minimal     Occasional     Frequent     Visitation  
 Caregiver to Children:  No     Yes      Permanent Loss of Custody to:  Custodial Parent     Adoption     Foster Care     Relative     Other

NAME: \_\_\_\_\_ TDOC ID: \_\_\_\_\_ DATE: \_\_\_\_\_

Supportive family members you feel closest to NOW: \_\_\_\_\_

Support System:  Spouse/Partner  Family  Friends  Describe contact: \_\_\_\_\_

Recent Loss/Stressors: \_\_\_\_\_ Comments: \_\_\_\_\_

**VI. SUBSTANCE USE HISTORY & TREATMENT**

Inmate Denies Prior Substance Use/Abuse Issues

| Name of Substance             | Use Frequency | Abuse                    | Dependence               | First Use | Last Use | While Incarcerated?                                      |
|-------------------------------|---------------|--------------------------|--------------------------|-----------|----------|--|
| Opioids:                      |               | <input type="checkbox"/> | <input type="checkbox"/> |           |          | <input type="checkbox"/> No <input type="checkbox"/> Yes |
| Stimulants:                   |               | <input type="checkbox"/> | <input type="checkbox"/> |           |          | <input type="checkbox"/> No <input type="checkbox"/> Yes |
| Cannabis/THC:                 |               | <input type="checkbox"/> | <input type="checkbox"/> |           |          | <input type="checkbox"/> No <input type="checkbox"/> Yes |
| ETOH:                         |               | <input type="checkbox"/> | <input type="checkbox"/> |           |          | <input type="checkbox"/> No <input type="checkbox"/> Yes |
| Hallucinogens:                |               | <input type="checkbox"/> | <input type="checkbox"/> |           |          | <input type="checkbox"/> No <input type="checkbox"/> Yes |
| Inhalants:                    |               | <input type="checkbox"/> | <input type="checkbox"/> |           |          | <input type="checkbox"/> No <input type="checkbox"/> Yes |
| Sedative/Hypnotic/Anxiolytic: |               | <input type="checkbox"/> | <input type="checkbox"/> |           |          | <input type="checkbox"/> No <input type="checkbox"/> Yes |
| Other:                        |               | <input type="checkbox"/> | <input type="checkbox"/> |           |          | <input type="checkbox"/> No <input type="checkbox"/> Yes |

Substance Use Treatment:  None  Yes, Outpatient (# \_\_\_\_\_)  Yes, Inpatient (# \_\_\_\_\_) How many completed: \_\_\_\_\_

Age of First Treatment: \_\_\_\_\_ Age of Last Treatment: \_\_\_\_\_ Comments: \_\_\_\_\_

How many prior overdoses with medical attention needed: \_\_\_\_\_ How many medical hospitalizations due to substance use: \_\_\_\_\_

Comments: \_\_\_\_\_

**VII. CRIMINAL HISTORY AND ASSAULTIVE/VIOLENT BEHAVIORS**

Violence:  Yes, Last Date: \_\_\_\_\_  No History

Current conviction(s): \_\_\_\_\_ Sentence (Yrs): \_\_\_\_\_ @ \_\_\_\_\_ %

Responsibility:  Admits  Denies  Shows Remorse  Victim Stance: \_\_\_\_\_

Juvenile convictions: \_\_\_\_\_

Physical Assault:  Without weapon  With weapon Sexual Assault:  Adult victim  Child victim (Age \_\_\_\_\_)  Both Child & Adult

Terroristic threats or acts:  No  Yes /  Homicide, manslaughter or other assault resulting in victim's death: \_\_\_\_\_

History Supports Potential for Violence:  No  Yes  Noted Antisocial Traits Adjustment to Incarceration:  WNL  Fair  Poor  Needs Help

Comments: \_\_\_\_\_ Prior Adjustment:  WNL  Fair  Poor

**VIII. MEDICAL CONCERNS**

No Reported Medical Concerns

Seizures:  No  Yes  On Anticonvulsive Meds Head Trauma:  No  Yes, with loss of consciousness  Yes, but no loss of consciousness

General Medical Conditions: \_\_\_\_\_

Current Pregnancy \_\_\_\_\_ Wks Other Medical Concerns: \_\_\_\_\_

Poor Appetite: \_\_\_\_\_  Weight Loss: \_\_\_\_\_  Eating Disorder: \_\_\_\_\_  Sleep Deficits: \_\_\_\_\_

Past Surgeries/Other Comments: \_\_\_\_\_

**IX. SUICIDAL IDEATION AND SUICIDE ATTEMPTS**

Last suicide attempt:  Never Age: \_\_\_\_\_ Method: \_\_\_\_\_ Medical attention needed:  Yes  No

Number of prior suicide attempts: \_\_\_\_\_ Method(s): \_\_\_\_\_ Medical attention needed:  Yes  No

Identified triggers for suicidal thoughts/behaviors: \_\_\_\_\_

Suicide attempts while incarcerated?  No  Yes: \_\_\_\_\_ Suicide attempts while intoxicated/high?  No  Yes \_\_\_\_\_

History supports suicide potential:  No  Yes  Immediate need for suicide risk assessment:  MH provider and security notified

Comments: \_\_\_\_\_

Place on Clinical Alert Log

NAME: \_\_\_\_\_ TDOC ID: \_\_\_\_\_ DATE: \_\_\_\_\_

**X. NON-SUICIDAL SELF-INJURIOUS BEHAVIOR (NSSIB)**

Last self-injury episode:  Never Age: \_\_\_\_\_ Method: \_\_\_\_\_ Medical attention needed:  Yes  No

Type of NSSIB:  Cutting  Head Banging  Non-Cosmetic Burning  Self-Mutilation  Object Insertion  Other: \_\_\_\_\_

NSSIB while incarcerated?  Yes  No NSSIB while intoxicated or high?  Yes  No  Placed on High Risk Log

Comments: \_\_\_\_\_

**XI. MENTAL HEALTH TREATMENT HISTORY**

Records Available  Records Not Available  Records Requested

**OUTPATIENT TREATMENT**

No History of Outpatient Treatment

Last outpatient treatment:  Never Age: \_\_\_\_\_ # of Sessions: \_\_\_\_\_ Reason for treatment: \_\_\_\_\_

Prior outpatient treatment:  Never Age: \_\_\_\_\_ # of Sessions: \_\_\_\_\_ Reason for treatment: \_\_\_\_\_

Prior outpatient facilities: \_\_\_\_\_

Prior diagnoses: \_\_\_\_\_

Comments: \_\_\_\_\_

**INPATIENT TREATMENT**

History of Hospitalization Related to Suicide Threat

No History of Inpatient Treatment

Last inpatient treatment:  Never Age: \_\_\_\_\_ How long: \_\_\_\_\_ Reason hospitalized: \_\_\_\_\_

Last inpatient facility: \_\_\_\_\_ Number of inpatient stays: \_\_\_\_\_ Longest stay: \_\_\_\_\_

Working diagnoses: \_\_\_\_\_

Age of 1<sup>st</sup> Psychiatric Hospitalization: \_\_\_\_\_ Age of Last Psychiatric Hospitalization \_\_\_\_\_ Age of longest treatment duration: \_\_\_\_\_

Comments: \_\_\_\_\_

**PSYCHOTROPIC MEDICATIONS**

No History of Psychotropic Medications

Current medications (or within last 2-4 weeks): \_\_\_\_\_  None

Yes, prescribed in county jail  Date last dose received: \_\_\_\_\_ Generally med compliant?  Yes  No

Current meds intended to treat: \_\_\_\_\_

Psychotropic meds previously prescribed: \_\_\_\_\_  None

AIMS Completed

Treatment Compliance:  Always  Usually  Sometimes  Infrequently  Primarily When Incarcerated  Likely Confounded with Substance Use

Age first prescribed meds: \_\_\_\_\_ Age last prescribed meds: \_\_\_\_\_  Arrived on meds Allergies: \_\_\_\_\_

**XII. MENTAL HEALTH DIAGNOSTIC CHECKLIST**

**(To be completed by a licensed mental health professional only)**

| SYMPTOMS CONSISTENT WITH ANXIETY, PHOBIAS, OBSESSIVENESS & TRAUMA    |   |  |  |
|--|---|--|--|
| <input type="checkbox"/> Poor Focus / Concentration                  | <input type="checkbox"/> Obsessive Behaviors / Thoughts | <input type="checkbox"/> Flashbacks or Dissociation    | <input type="checkbox"/> Mental Confusion / Amnesia    |
| <input type="checkbox"/> Anxiety / Excessive Worry                   | <input type="checkbox"/> Noted CNS Hyperarousal         | <input type="checkbox"/> Sleep: Insomnia / Hypersomnia | <input type="checkbox"/> Social Avoidance / Withdrawal |
| <input type="checkbox"/> Panic Attacks                               | <input type="checkbox"/> Jumpy / Easily Started         | <input type="checkbox"/> Elevated Noise Sensitivity    | <input type="checkbox"/> Inability to Trust Others     |
| <input type="checkbox"/> Excessive Fear or Phobias                   | <input type="checkbox"/> Nightmares or Night Terrors    | <input type="checkbox"/> Elevated Touch Sensitivity    | <input type="checkbox"/> Paranoid / Suspicious         |
| MOOD-RELATED SYMPTOMS, BEHAVIORAL PROBLEMS & SUICIDALITY/SELF-INJURY |   |  |  |
| <input type="checkbox"/> Chronic Irritability                        | <input type="checkbox"/> Loss of Interest in Activities | <input type="checkbox"/> High Impulsivity              | <input type="checkbox"/> Prior Suicidal Ideation       |
| <input type="checkbox"/> Angry Outbursts                             | <input type="checkbox"/> Poor / Inconsistent ADL's      | <input type="checkbox"/> Chronic Relationship Losses   | <input type="checkbox"/> Prior Suicide Attempts        |
| <input type="checkbox"/> High Hostility / Aggression                 | <input type="checkbox"/> Mood Swings / Lability         | <input type="checkbox"/> Gross Social Deficits         | <input type="checkbox"/> Borderline PD Traits          |
| <input type="checkbox"/> Sadness / Depression                        | <input type="checkbox"/> Manic / Hypo-Manic Symptoms    | <input type="checkbox"/> Suspected Cognitive Deficits  | <input type="checkbox"/> Antisocial PD Traits          |
| <input type="checkbox"/> Fatigue / Lethargy                          | <input type="checkbox"/> Racing Thoughts                | <input type="checkbox"/> Self-Injury / Self-Mutilation | <input type="checkbox"/> Highly Dangerous / Homicidal  |

NAME: \_\_\_\_\_ TDOC ID: \_\_\_\_\_ DATE: \_\_\_\_\_

**AUDITORY / VISUAL HALLUCINATIONS & DELUSIONS**

|   |  |  |  |  |   |
|---|--|--|--|--|---|
| <input type="checkbox"/> <b>Delusions:</b><br><input type="checkbox"/> Grandiose<br><input type="checkbox"/> Persecutory<br><input type="checkbox"/> Religious<br><input type="checkbox"/> Other: _____ | <input type="checkbox"/> N/A<br><input type="checkbox"/><br><input type="checkbox"/> Somatic | <input type="checkbox"/> <b>Visual Hallucinations:</b><br><input type="checkbox"/> N/A<br>_____<br>_____ | <input type="checkbox"/> <b>Auditory Hallucinations:</b><br><input type="checkbox"/> N/A<br>_____<br>_____<br>Type → | <input type="checkbox"/> Olfactory<br><input type="checkbox"/> Tactile<br><input type="checkbox"/> Threatening<br><input type="checkbox"/> Commands to hurt: __Self __Others | <input type="checkbox"/> Hostile<br><input type="checkbox"/> Demeaning<br><input type="checkbox"/> Accusing |
|---|--|--|--|--|---|

**OTHER SYMPTOMS & STRESSORS**

|  |   |  |   |
|--|---|--|---|
| <input type="checkbox"/> Poor appetite<br><input type="checkbox"/> Weight Loss<br><input type="checkbox"/> Eating Disorder | <input type="checkbox"/> Bizarre Behavior<br><input type="checkbox"/> Fecal / Blood Smearing<br><input type="checkbox"/> Suspected Gender Dysphoria | <input type="checkbox"/> Stress: Health Concerns<br><input type="checkbox"/> Stress: Family Concerns<br><input type="checkbox"/> Stress: Recent Losses | <input type="checkbox"/> Stress: Current/Future Sentencing<br><input type="checkbox"/> Other: _____ |
|--|---|--|---|

Comments: \_\_\_\_\_

**XIII. DIAGNOSTIC IMPRESSIONS (DSM-5):** **(To be completed by a licensed mental health professional only)**

| F-CODE | COMPLETE DIAGNOSTIC LABEL | MODIFIERS |
|--------|---------------------------|-----------|
| F      | 1.                        |           |
| F      | 2.                        |           |
| F      | 3.                        |           |
| F      | 4.                        |           |
| F      | 5.                        |           |
| F      | 6.                        |           |
| F      | 7.                        |           |
| F      | 8.                        |           |

Comments: \_\_\_\_\_

Rule-out diagnoses to be considered by treating provider(s) and therapist during ongoing treatment: \_\_\_\_\_

Additional comments/concerns/observations (continued from prior pages): \_\_\_\_\_

**XIV. MENTAL HEALTH TREATMENT RECOMMENDATIONS**

- No mental health treatment/treatment plan currently indicated (based on presenting symptoms).
- Inmate refusing mental health services due to: \_\_\_\_\_
- Pharmacotherapy indicated and referral placed. -OR-  Psychotropics prescribed: \_\_\_\_\_
- Inmate referred for psychotherapy:  Individual  Group  TCOM  GRTH  TC/PC  Veteran's  SLU  Other: \_\_\_\_\_
- Level of care of assigned:  I  II  III  IV  V (Immediate placement on Suicide Precaution/Mental Health Seclusion)
- Inmate referred to medical for: \_\_\_\_\_
- Other recommendations/considerations: \_\_\_\_\_

|   |             |      |      |
|---|-------------|------|------|
| Qualified Mental Health Provider<br>(Completing Sections I – XI Only) | Staff Title | Date | Time |
| Licensed Mental Health Signature                                      | Staff Title | Date | Time |