MEETING
OF
THE

TENNESSEE HIGHER EDUCATION COMMISSION

Summer Quarterly Meeting
18th Floor, Parkway Towers
July 26, 2012

AGENDA

TENNESSEE HIGHER EDUCATION COMMISSION

Summer Quarterly Meeting

Commission Boardroom, Parkway Towers

July 26, 2012, 1:00 p.m. CDT

Adoption of Agenda

Approval of Minutes, April 26, 2012 Meeting

Chairman's Report

Executive Director's Report

Systems' Reports

Tennessee Board of Regents

University of Tennessee

Tennessee Student Assistance Corporation

I. Action Items

A. Approval of New Academic Degree Programs

1. University of Tennessee-Chattanooga- Bachelor of Integrated Studies

2. University of Tennessee-Chattanooga- Occupational Therapy Doctorate

3. Middle Tennessee State University- Assessment, Learning, and School Improvement, Ed.D

B. Postsecondary Education Authorization

1. Authorization of New Institutions

2. Approval of New Programs

C. July 1 2012-13 Operating Budgets

D. University of Tennessee at Chattanooga Master Plan

E. Academic Common Market Policy

F. Election of 2012-13 Officers

G. New Academic Policy: A5.0 Dual Admissions

II. Information Items

A. Tennessee Education Lottery Scholarship Annual Report

B. Status of First to the Top

C. GEAR UP, College Access Challenge Grant, and Latino Student Success Grant Status Report

D. Fall Commission Meeting, November 15, 2012

The meeting was called to order by Chairman Robert White at 1:00 p.m. Commission Members present:

Ms. Sue Atkinson	Mr. Jon Kinsey
Mr. Charles Bone	Mr. David Lillard
Mr. Greg Frye	Mr. Charlie Mann
Mr. Tre Hargett	Dr. Gary Nixon
Ms. Sharon Hayes	Mr. Zack Walden
Mr. Cato Johnson	Mr. Robert White

Adoption of Agenda

Mr. White welcomed all and thanked them for their attendance. Mr. White then called for a motion to adopt the agenda. Mr. Cato Johnson made a motion to approve the agenda. Mr. David Lillard seconded the motion; the motion was duly adopted.

Approval of Minutes, January 26, 2012, Meeting

Mr. White called for a motion to approve the minutes of the January 26, 2012, Commission meeting. Mr. Zack Walden made a motion to approve the minutes as presented. Ms. Sharon Hayes seconded the motion; the motion was duly adopted.

Chairman's Report

Mr. White began his report by commenting on the productive work session earlier in the day. He commented on the Governor's commitment to THEC and the success of higher education in Tennessee.

Executive Director's Report/Tennessee Student Assistance Corporation

Dr. Rhoda began by acknowledging Lori Anne Mitchell, the 2012 Maxine Smith Fellow from ETSU. He then noted Dr. Bob Bell's retirement from Tennessee Tech after 12 years as president of the university. Dr. Rhoda then called on Mr. Troy Grant, Director of College Access Initiatives.

Mr. Grant reported that the U.S. Department of Education recently awarded the Tennessee Higher Education Commission a Gaining Early Awareness and Readiness for Undergraduate Programs (GEAR UP) grant in the amount of $29,590,281 to be disbursed over the next seven years.

He stated that the grant will provide college access and success services statewide while providing direct services to collaboratives consisting of high-need high schools partnering with feeder middle schools, at least one higher education institution, and at least two community-based organizations and will be selected through a competitive request for proposal process.

Dr. Rhoda then introduced new staff members: Anamika Mazumdar, Student Information System Manager in the in the PPR division, and Katherine Meyer, graduate assistant in the academic division.

He discussed the top to bottom review of TSAC as it pertains to the Complete College Tennessee Act, K-12 reform, financial aid programs such as the lottery scholarship, and TN Achieves and how TSAC may improve functions.

Dr. Rhoda then commented on the statewide Completion Academy to bring together campus leadership to assist student success and engaging higher education in the needs of the state. He noted an upcoming conference of the Southern Growth Policy board where THEC was asked to assist in developing the agenda on how higher education can work with the state and local business. In closing, he briefed the Commission on the Lumina-funded Mayoral convening held in Memphis on Latino student success and what mayors could do to help student success in their community.

Systems' Reports

University of Tennessee

Dr. Joe DiPietro, President of the University of Tennessee, was recognized to present his report. Dr. DiPietro discussed research activities and outreach efforts to educate people on solar technology and its benefits. Dr. DiPietro then reviewed the research program and expenditures and graduation and retention increases. He also commented on the compensation issue noting a compensation study revealed that faculty is 87 percent median salary and staff is 78 percent median salary. Dr. DiPietro noted a fourth campus for the Health Science Center for residency training which will produce over a hundred additional residents from the program. In closing, Dr. DiPietro announced that Pat Summit has been named coach emeritus of the Lady Vols.

Tennessee Board of Regents

Mr. John Morgan, Chancellor of the Tennessee Board of Regents, was recognized to present his report. Mr. Morgan began his report by commenting on the Completion Academy and noted the positive impact on higher education in Tennessee such as institutional strategies for success and institutional accountability. He noted that campuses will hold academies to develop and recommend strategies for improvement. Mr. Morgan commented on MTSU's Annual Philanthropic luncheon celebration and the $10 M gift from Woody Miller, a Nashville businessman. In closing, he commented on Dr. Bob Bell's retirement from TTU and noted the contributions he has made to the university and community throughout his presidency.

Action Items

Institutional Reauthorization Under the Postsecondary Authorization Act

Dr. Stephanie Bellard-Chase, Assistant Executive Director for Postsecondary School Authorization, presented the recommendations of staff and the Postsecondary Education Authorization Advisory Committee to grant reauthorization of institutions. A listing of the institutions is included as Attachment A to the official copy of the minutes. Mr. Tre Hargett made a

motion to adopt the recommendations as presented. Mr. Johnson seconded the motion; the motion was duly adopted.

Temporary Authorization of New Institutions, and Approval of New Programs Under the Postsecondary Authorization Act

Dr. Bellard-Chase then presented the recommendations of staff and the Postsecondary Education Authorization Advisory Committee to grant temporary authorization to proposed new institutions and new programs. A listing of the institutions and programs is included as Attachment B to the official copy of the minutes. A motion was made by Mr. Johnson to adopt the recommendations as presented. The motion was seconded by Mr. Charles Bone. There being no further discussion, Mr. White called for a vote on the motion that was duly adopted.

Recommendations for Appointments to the Committee on Postsecondary Educational Institutions

Dr. Bellard-Chase stated that, currently, two positions on the committee are vacant. She presented the staff recommendations that three committee members be reappointed to the Committee on Postsecondary Educational Institutions: Mr. John Keys, Mr. Bill Faour, and Dr. Kittie Myatt. Mr. Johnson made a motion to adopt the recommendations as presented. Mr. Bone seconded the motion; the motion was duly adopted.

Proposed Rule Revisions

Ms. Julie Woodruff, Director of Regulatory Affairs & Complaint Resolution, was recognized to provide the proposed rule revisions. She reviewed that in August 2009, the Division of Postsecondary School Authorization (DPSA) held workshops to provide training to the staff of the non-exempt postsecondary educational institutions. During the training, there were few comments made in regard to the implementation of Rule Chapter 1540-01-02, Authorization and Regulation of Postsecondary Education Institutions and Their Agents.

Ms. Woodruff stated that DPSA drafted proposed rule revisions and allowed for further comment from interested parties and presented the rule revisions to the Commission at the July 2011 meeting. At that time, the Commission voted unanimously to adopt the rule revisions.

However, in October 2011, Chancellor Ellen Hobbs Lyle of the Davidson County Chancery Court declared the 2008 rules to be void. She stated that as a result, the revisions sent to the Attorney General's office pursuant to the July 2011 vote amended rules that were no longer in effect and at the January 2012 meeting, the Commission voted unanimously to withdraw the revised rules from the Attorney General's Office and begin a new rulemaking process.

Ms. Woodruff noted that if the proposed revisions are adopted, they will be sent to the Attorney General pursuant to Tenn. Code Ann. § 4-5-211, the Attorney General will review the legality and constitutionality of the rules and once approved by the Attorney General, will be sent to the Secretary of State's office pursuant to Tenn. Code Ann. § 4-5-202(a)(2).

She also stated that the process does not require a rulemaking hearing on the front end; however, persons or entities may file a petition for a public hearing after the rule revisions have been published by the Secretary of State. In the event that this happens, this matter may be brought back before the Commission. The rules are included as Attachment C to the official copy of the minutes.

Mr. Walden made a motion to adopt the recommendations as presented. Mr. Jon Kinsey seconded the motion; the motion was duly adopted by the following roll call vote:

Ms. Sue Atkinson	Aye
Mr. Charles Bone	Aye
Mr. Tre Hargett	No
Ms. Sharon Hayes	Aye
Mr. Cato Johnson	Aye
Mr. Jon Kinsey	Aye
Mr. David Lillard	
Mr. Charlie Mann	Aye
Mr. Zack Walden	Aye
Mr. Robert White	Aye

Motlow State Community College Master Plan

Dr. Russ Deaton, Associate Executive Director of Fiscal Policy & Administration, was recognized to provide the report. He stated that Mr. Carl Manka from the Tennessee Board of Regents provided a detailed presentation to the Commission at the worksession earlier in the day. Dr. Deaton noted that the master plan addresses the long term needs of the campus with respect to academic facilities, parking, circulation, and visual improvements and the THEC Space Planning Guidelines were utilized to identify and project needs for campus space. Mr. White then called for a motion. Mr. Hargett made a motion to adopt the MSCC Master Plan as presented. Ms. Hayes seconded the motion; the motion was duly adopted.

Information Items

Status of Program Approval Process for Community College System

Ms. Betty Dandridge Johnson, Assistant Executive Director, Academic Affairs, was recognized to provide an update on the program approval process. She stated that the Commission approved the THEC Policy A1.0 (*New Academic Programs: Approval Process*) and Policy A1.1 (*New Academic Programs*) to delegate statutory authority for approving new community college certificates and associate degrees to the Tennessee Board of Regents in July 2011. Ms. Dandridge Johnson noted that the rationale for this policy revision is to support the development of the "Tennessee community college system" as directed by the Complete College Tennessee Act of 2010.

She also noted that since the policy revision, TBR has approved an associate degree program in Advance Integrated Industrial Technology at Columbia State Community College and policy revision allows all new programs that TBR

approves for one community college to be approved for other TBR community colleges, should they wish to meet the same quality and resource standards.

Ms. Dandridge Johnson stated that the Commission did not delegate authority to TBR for conducting post-approval review and evaluation of all community college academic degree programs and certificates and will continue to be monitored and reviewed through the THEC Post-Approval Monitoring process, the evaluation of program productivity, and the program quality assurance requirements of the THEC Performance Funding program.

Amendment to the FY2012-13 Disclosed Capital Projects List

Dr. Deaton reviewed the disclosed capital projects funded through non-state sources and noted that the systems have developed additional disclosed capital projects since the 2012-13 budget recommendations were made, which were submitted to THEC for approval as an amendment s.

He stated that the Tennessee Board of Regents submitted 39 additional projects and the University of Tennessee submitted 13 additional projects to be included on the FY 2012-13 Disclosed Capital Projects List and UT has requested that two projects described in the original FY 2012-13 disclosed capital projects listing be removed from the list. The project listing is included as Attachment D to the official copy of the minutes.

First to the Top Update

Ms. Katrina Miller, Director of THEC First to the Top, was recognized. Ms. Miller reviewed that in March 2010, Tennessee was one of just two states selected in the first round of the federal Race to the Top competition, receiving over $500 million that will allow Tennessee to implement a comprehensive set of school reform plans over the next four years to reward states leading the way in comprehensive, coherent, statewide education reform.

She stated that as part of the effort to expand science, technology, engineering, and math (STEM) educational opportunities, the Tennessee Higher Education Commission released a second Request for Proposals focusing on professional development for K-12 STEM teachers in February 2012 to promote innovative practice in K-12 schools within STEM disciplines.

Ms. Miller noted that in March, 38 proposals were received in response to the Request for Proposals and the 18 proposals selected for funding will serve Tennessee's high-need districts with a total of $3.4 million towards STEM professional development.

Overview of THEC Statutory Reports

Mr. David Wright, Associate Executive Director for Policy, Planning and Research, was recognized to provide an overview of the THEC statutory reports. Mr. Wright provided an overview of the 2011-2012 Tennessee Higher Education *Fact Book*, an annual report on Student Participation, Student Success, and Academic and Fiscal Trends that THEC publishes as a service to the higher education community. He also provided a progress report on other annual reports prepared for the General Assembly.

Legislative Report

Dr. Rhoda provided an overview of the legislative report. He noted that today was the filing deadline for bills and as previously reported; there have been a couple of bills filed that directly impact the Commission. Dr. Rhoda also provided an overview of all bills filed to date that will have an impact on the Commission, including the reorganization of commissions and those related to the lottery scholarship.

Conflict of Interest Disclosure Policy for Commission Members

Dr. Rhoda then briefed the Commission on the conflict of interest policy. He stated that the conflict of interest policy is requested from each member and return the form to him or Ms. Carter within 30 days following the spring meeting, as required by policy. He noted that although a Commission member's term may be nearing completion, we are still required to obtain a form from each member.

Summer Quarterly Meeting

Dr. Rhoda advised the Commission that the next meeting will be Thursday, July 26, 2012, in the THEC board room.

In closing, Dr. Rhoda announced that this is Mr. Zack Walden's last official meeting as a THEC member. He thanked Zack for his commitment and contributions to the Commission and to higher education. Mr. Walden expressed his gratitude for the opportunity to serve on the Commission.

There being no further business, the meeting was adjourned at 2:18 p.m.

Approved:

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Robert White
Chair

Agenda Item: I.A.1.

DATE: July 26, 2012

SUBJECT: New Academic Program
University of Tennessee, Chattanooga
Bachelor of Integrated Studies (BIS)

ACTION RECOMMENDED: Approval

BACKGROUND INFORMATION: The proposed Bachelor's program in Integrated Studies (BIS) is focused on allowing the University of Tennessee, Chattanooga to meet the educational needs of an increasingly diverse community. Specifically, the BIS will focus on the large group of individuals that have previously accrued college credit, but have not yet obtained a degree. Utilizing a personalized program of study, the BIS will be tailored to the educational interests and needs of the student and will result in an interdisciplinary degree that is focused on two disciplinary areas.

The pool of potential students for this program is substantial, with a varied group of candidates for admission that include former UTC students who did not complete, regional residents who have also accrued some credit, but no degree, and working professionals in need of a college credential to improve career prospects.

UTC developed the BIS proposal following an exhaustive review process at the campus level, and the implementation and operation of the program will continue to be formally guided by the Integrated Studies committee.

PROPOSED IMPLEMENTATION DATE: August 2012

1.1.20A   MISSION: The proposed program supports the UTC mission of "meeting the diverse needs of the region." Further, the BIS supports the UTC 2008-2013 Strategic Plan, fulfilling the goal to enhance the region's economic development, while specifically addressing the strategic initiative to foster partnerships that "provide distinctive educational experiences for students".

1.1.20B CURRICULUM: The program will require completion of 120 credit hours, including a 41-hour general education core, a 45-hour core in the selected area of focus, and the remainder of credit hours being elective. It is expected that the vast majority of students enrolling in this program will transfer a substantial number of hours from other institutions or from non-traditional credit (e.g., prior learning assessment, military credit, and seminars related to work experience).

Students in the BIS program will follow an individualized program of study that is developed in close partnership with the Integrated Studies advisor; the final degree plan must be approved by the UTC Integrated Studies Committee. Of special note is the creation of specific course for seniors in the BIS program;

this course, Integrated Studies Senior Portfolio, will evaluate the student's portfolio of work and their success in integrating the disciplines agreed upon in the degree plan.

1.1.20C ACADEMIC STANDARDS: The admission, readmission, retention, and graduation requirements are the same as those described in the UTC *Undergraduate Catalog*.

Projected Program Enrollment and Productivity

Enrollment projections were developed based on productivity trends identified in similar programs already in operation at other institutions. Graduate estimates were further developed with the assumption that the average BIS student will enter the program having already earned 60 credit hours, but will still progress at a more modest rate due to part time attendance.

Year	Full-Time Headcount	Part-Time Headcount	Total FTE	Graduates
1	20	5	21	0
2	35	15	39	5
3	50	25	56	20
4	65	35	73	26
5	85	46	93	35

1.1.20D FACULTY: Due to the fact that all but one course of the BIS curriculum is already in existence, current faculty will be adequate to support the projected enrollment.

1.1.20E LIBRARY RESOURCES: Considerable library planning efforts were conducted to assess what library assistance BIS students may require. Given the non-traditional profile of the BIS students, it was determined that each BIS student will be required to participate in a library orientation with follow-up assistance available as required. It is anticipated that the BIS will consist of largely existing classes, the current collection is sufficient to support the library needs of the proposed program.

1.1.20F ADMINISTRATION/ORGANIZATION: The program will be housed within the UTC Office of Academic Affairs, with the program coordinator reporting directly to the University Provost.

1.1.20G SUPPORT RESOURCES: The BIS program will utilize the services of the Center for Advisement and Student Success. The UTC Center for Advisement and Student Success provides a full range of academic supports, all of which will be available to BIS students. Support resources include advising, tutoring, and supplemental instruction.

1.1.20H FACILITIES AND EQUIPMENT: Facilities, equipment and instructional technology support are adequate to support the program. As with other facets of the proposed program, existing resources will be utilized. Additionally, there are resources

available across the institution to support those students that are enrolled in online courses.

1.1.20I   NEED AND DEMAND: Increasing completion rates are a primary goal of the Complete College Tennessee Act of 2010 and the BIS program will assist the state in increasing the number of college graduates. The proposed program directly addresses a crucial need within the state's citizenry, which is attempting to re-enroll those who have accrued college credit, but have yet to obtain a credential. As part of the program development process, UTC staff researched how many former students left the institution without graduating since 2007, determining that this was the case with over 3,400 individuals. The BIS program will provide an opportunity for these students, as well as others who are in a similar situation, to re-enroll.

1.1.20J NO UNNECESSARY DUPLICATION: While there are similar programs in operation at other public institutions in the state, none conform to the integrated studies model. The proposed program would be the only one of its kind in Tennessee.

1.1.2OK COOPERATING INSTITUTIONS: None at this time.

1.120L DIVERSITY AND ACCESS: The nature of this degree program is clearly focused on providing access to individuals that have not yet obtained a postsecondary credential. It is a stated mission of UTC to support "the diverse needs of the region."

1.1.20M ASSESSMENT/EVALUATION AND ACCREDITATION: No discipline-specific accreditation applies to the BIS program. The proposed program will only require SACS notification. Specific note is made within the proposal that ongoing monitoring will occur to evaluate the method of delivery for the BIS and will submit future notification to SACS, if necessary, regarding online offerings.

In addition, the program will be evaluated commensurate with the schedule for other programs and courses. This includes a program review, three-year recertification for general education course, annual/semi-annual student evaluations and an annual outcomes assessment.   The BIS program will be evaluated through the THEC Performance Funding program traditional program assessment model on a recurring basis.

1.1.200 EXTERNAL JUDGMENT: THEC policy does not require external evaluators for a proposed new undergraduate degree program.

1.1.20P COST/BENEFIT: Expenditures for this program are minimal. Program costs are limited to release time for the Program Coordinator and travel, marketing and operating expenditures. All costs are estimated to be fully covered by tuition and fee revenue.

1.1.30 POST APPROVAL MONITORING: An annual performance review of the proposed program will be conducted for the first five years following program approval. The review will be based on benchmarks established in the approved proposal. At the end of this period, the campus, governing board, and Commission staff will perform a summative evaluation. The benchmarks include, but are not limited to, enrollment and graduation, program cost, progress toward accreditation and other metrics set by

the institution and agreed upon by governing board and Commission staff. If benchmarks are not met during the monitoring period, the Commission may recommend that the governing board terminate the program. If additional time is needed and requested by the governing board, the Commission may choose to extend the monitoring period.

Agenda Item: I.A.2.

DATE: July 26, 2012

SUBJECT: New Academic Program
          University of Tennessee, Chattanooga
          Occupational Therapy Doctorate (OTD)

ACTION RECOMMENDED: Approval

BACKGROUND INFORMATION: The proposed Doctoral program in Occupational Therapy (OTD) is a clinical program focused on training occupational therapy practitioners to address the rehabilitation and long term care needs of regional residents. The OTD is an emerging credential for Occupational Therapists, and this proposed program will provide essential instruction in a field that has, in recent years, experienced rapid growth.

The proposed program will equip students with a significant amount of practical experience, integrate the academic outcomes espoused by the accrediting body, and also provide a foundation of research and scholarly practices for students to build on throughout their career in occupational therapy.

PROPOSED IMPLEMENTATION DATE: August 2013

1.1.20A   MISSION: The proposed program supports the UTC mission of "meeting the diverse needs of the region", and is aligned with the vision and mission of the UTC College of Health, Education, and Professional Studies. Additionally, the program is in full compliance with the UTC Strategic Plan, particularly the priority area of leveraging partnerships to enhance education.

The OTD is in alignment with the goals outlined in the THEC 2010-15 Public Agenda for Higher Education, specifically the focus on strengthening Tennessee's Knowledge Economy and increasing the number of doctoral degrees awarded to the state's citizens.

1.1.20B CURRICULUM: The program curriculum is directly linked with the standards required by the American Occupational Therapy Association, and provides a general foundation of occupational therapy knowledge while also providing some opportunity to specialize (e.g., pediatrics).

The curriculum consists of 117 credit hours, with students completing the program in three years of study. Each year includes didactic courses focused on building a strong foundation in anatomical and therapeutic theory, as well as clinical instruction carried out in a field environment.

All OTD students are required to complete and defend experiential internship focusing on an area of emphasis. The internship occurs during the final semester of study. All course work, clinical experiences and defense of the experiential internship must be completed within ten years.

1.1.20C ACADEMIC STANDARDS: Applicants must meet admission requirements of the School of Graduate Studies and the program. Program admission requires a bachelor's degree; a minimum 3.0 overall undergraduate GPA; three letters of recommendations and completion of the Occupational Therapy Centralized Application.

Projected Program Enrollment and Productivity

All students in the program will attend full-time, completing the degree in three years. OTD programs generally have a very low attrition rate; this proposal forecasts an attrition rate of less than 10%.

Year	Full-Time Headcount	Part-Time Headcount	Total FTE	Graduates
1	24	0	24	0
2	48	0	48	0
3	72	0	72	21
4	72	0	72	21
5	72	0	72	21

1.1.20D FACULTY: The OTD program will result in creation of a full time program director position, responsible for the administration of the program, hiring of additional faculty, and progress towards accreditation. At full implementation, the OTD program will employ five full-time faculty members. In the initial years of operation, the strong curricular overlap with the existing Physical Therapy program will allow for a lower number of faculty. Additionally, students in the program will benefit from clinical faculty on staff at local hospitals and rehabilitation centers.

1.1.20E LIBRARY RESOURCES: The T. Carter and Margaret Rawlings Lupton Library support all UTC academic programs. Students will have access to a broad array of library resources, as well as the medical libraries of Erlanger Health System and Memorial Health Care System.

1.1.20F ADMINISTRATION/ORGANIZATION: This program will result in creation of a new department within the already existing College of Health, Education and Professional Studies. The Department will be led by a Program Director that reports directly to the Dean.

1.1.20G SUPPORT RESOURCES: Students will have access to a wide range of support resources, including advising support from the Program Director, clinical assistance from fieldwork facilities, and research skills assistance from library personnel. OTD admissions will be further supported by ensuring that undergraduate students enrolled at UTC are advised by pre-professional advisors in order to ensure all pre-requisite requirements are satisfied.

1.1.20H FACILITIES AND EQUIPMENT: Some additional space will be required for implantation of this program, and the costs for acquiring such space are included in the program budget. Much of this space will be contiguous to the existing Physical

Therapy facilities. The program will also have substantial facility resources available at nearby Siskin Hospital for Physical Rehabilitation.

1.1.20I   NEED AND DEMAND: According to the U.S Department of Labor, the current demand for occupational therapists exceeds the number of projected OT graduates. This deficit of qualified therapists is only expected to grow with the continued aging of large portion of America's Baby Boom generation and the rising survival rates of trauma victims.

UTC's Center for Applied Social Research surveyed students, occupational therapists and employers to determine demand for the program. The local area surrounding UTC also demonstrated a specific demand for qualified Occupational Therapists, with 80% of local OT respondents indicating a need for an OTD and 71% of potential employers also concurring with the establishment of this program.

1.1.20J NO UNNECESSARY DUPLICATION: The proposed program will be the only OTD program in operation at a public institution in Tennessee.

1.1.2OK COOPERATING INSTITUTIONS: Clinical resource partnerships will be developed with numerous healthcare organizations for students' clinical fieldwork experiences.

1.120L DIVERSITY AND ACCESS: Attracting a diverse group of students to this program is a stated goal of the proposal. Additionally, funds are available from both the Office of Equity and Diversity and the Dean's office to award scholarships to students from diverse backgrounds.

1.1.20M ASSESSMENT/EVALUATION AND ACCREDITATION: This program is eligible for accreditation by the Accreditation Council for Occupational Therapy Education. The accreditation process consists of three stages: developing status, Letter of Review, and on-site evaluation. UTC has already completed an early Letter of Intent, and will complete and Application for Developing Program Status in late 2012 or early 2013. Full accreditation is hoped for by the end of 2014.

In addition to these steps, internal review and evaluation of the program will occur every two years. This evaluation consists of both quantitative and qualitative components and will assess faculty effectiveness, student satisfaction, job placement and performance and other areas that depict the health and quality of the program.

1.1.200 EXTERNAL JUDGMENT: External review of the proposed program was carried out during an institution site visit on May 21-22, 2012. Dr. Brenda Coppard, Associate Dean, School of Pharmacy and Health Professions, Creighton University, and Dr. Julie Thomas, Professor of Occupation Therapy, University of Toledo, served as the external evaluators.

Dr. Coppard and Dr. Thomas made a joint recommendation for the approval of the UTC OTD program, stating: "The proposed Doctor of Occupational Therapy program is an exciting development for the University of Tennessee at Chattanooga and for occupational therapy education nationally."

1.1.20P COST/BENEFIT: The proposed doctoral program will be funded through campus reallocations and additional tuition revenues generated by the program. Additional support comes from the Siskin Hospital for Physical Rehabilitation for $50,000 annually for five years.

1.1.30 POST APPROVAL MONITORING: An annual performance review of the proposed program will be conducted for the first five years following program approval. The review will be based on benchmarks established in the approved proposal. At the end of this period, the campus, governing board, and Commission staff will perform a summative evaluation. The benchmarks include, but are not limited to, enrollment and graduation, program cost, progress toward accreditation and other metrics set by the institution and agreed upon by governing board and Commission staff. If benchmarks are not met during the monitoring period, the Commission may recommend that the governing board terminate the program. If additional time is needed and requested by the governing board, the Commission may choose to extend the monitoring period.

Agenda Item: I.A.3.

DATE: July 26, 2012

SUBJECT: New Academic Program
                Middle Tennessee State University
                Assessment, Learning and School Improvement (EdD)

ACTION RECOMMENDED: Approval

BACKGROUND INFORMATION: The proposed Doctorate of Education (EdD) program in Assessment, Learning and School Improvement is focused on equipping education leaders to improve student achievement. Conceived as a degree program focused on expanding the research and analytical capabilities of educational practitioners, this EdD forges a connection between the data gleaned from student assessment and the measures a school system or individual school can take to improve educational outcomes.

The EdD in Assessment, Learning and School Improvement is a unique degree program, and is distinct from other state doctoral programs in education focused on curriculum and instruction or leadership. The quantitative methods skills embedded within the curriculum, the focus on analysis of assessment results, and the cohort-based schedule result in a degree option that will provide instruction critical to the success of elementary and secondary schools in today's data-driven environment.

PROPOSED IMPLEMENTATION DATE: August 2013

1.1.20A   MISSION: The proposed program supports the MTSU mission of preparing professional educators, and builds upon current reforms of the College of Education under the Tennessee Board of Regents Ready to Teach program. The EdD was previously identified as one of four focus areas in the College of Education strategic plan, and is also consonant with the institutional 2007-2017 Academic Master Plan. This program has been endorsed by the Tennessee Organization of School Superintendents, the Tennessee School Board Association, and the Tennessee Education Association.

1.1.20B CURRICULUM: The program curriculum consists of 60 credit hours, with students completing coursework across three core areas: student learning, assessment, and school improvement. Additionally, students will complete a 9-credit hour research sequence and 12-credit hours of dissertation work. The assessment and research courses, in particular, distinguish the proposed program from other EdD programs currently in operation.

All courses are designed to be completed in a sequential format, with the cohort moving through the curriculum as a group, completing six to eight credit hours each semester. This pace of academic progress, combined with a planned dissertation period, would result in completion of the EdD within three years.

Most courses embedded within the curriculum are not yet in existence, and are currently in development. Course development has been undertaken in partnership with three nationally recognized education leaders. These consultants, distinctive from the external reviewers who participated in the campus site visit, provided input into the design of the curriculum that was ultimately adopted in the final proposal.

1.1.20C ACADEMIC STANDARDS: Applicants must hold a master's degree with an average GPA of 3.5 along with competitive scores on the Graduate Record Examination. Students will be selected for the program based on three letters of recommendations (letter from school leader must address the student's ability to lead an effort to improve student learning). Admission requirements also include submission of a personal statement that addresses professional goals and how the EdD in Assessment, Learning and School Improvement will prepare the applicant to achieve these goals. Finally, candidates who meet all admission requirements will participate in an interview.

Projected Program Enrollment and Productivity

Enrollment projections were developed based on productivity trends identified in similar programs already in operation at other institutions. It is assumed that virtually all students in the EdD program will be working education professionals, and will participate in the program as a cohort, progressing through the curriculum as a group. Historically, cohort programs have an unusually high retention rate, and this fact is reflected within the graduate projections.

Year	Full-Time Headcount	Part-Time Headcount	Total FTE	Graduates
1	0	20	13.4	0
2	0	40	31.2	0
3	0	60	44.6	15
4	0	60	44.6	17
5	0	60	44.6	17

1.1.20D FACULTY: A large portion of the instructional and advisory workload for this program will be assumed by existing faculty, all of whom hold graduate faculty status. In addition, a national search will be conducted for four tenure track faculty members that will play a key role in the EdD program. These searches are focused on recruiting faculty with expertise in research-based school improvement and will fill vacant positions already included in the budget from the current academic year.

1.1.20E LIBRARY RESOURCES: MTSU's academic inventory currently includes several graduate programs in education, including doctoral level programs. As such, the library currently maintains holdings sufficient to support the proposed program.

1.1.20F ADMINISTRATION/ORGANIZATION: In order to facilitate cross-department collaboration, the program will be housed as a direct report to the Dean in the College of Education. This college-wide model has been employed during implementation of several other doctoral programs at MTSU.

1.1.20G SUPPORT RESOURCES: The cohort model of instruction is very effective in mitigating the class scheduling and advising issues that often arise during a student's academic career. Students are able to plan, at the beginning of the program, the exact sequence of courses they will take at each juncture of the program. However, each student will have a dissertation chair that also serves as the primary advisor.

1.1.20H FACILITIES AND EQUIPMENT: Facilities, equipment and technology support are adequate to support the program.

1.1.20I   NEED AND DEMAND: In response to the demand for leaders educated in data application, the National Center for Education Statistics created CIP 13.0601, which will be the appropriate classification for this program. The proposed EdD will be the first and only program of this kind operating in the state. The reform movement that has become central to Tennessee's efforts to improve outcomes for K-12 students will benefit from a cadre of educators steeped in research-based school improvement. Additionally, the EdD will be unique to the SREB region and may be an attractive degree option to Academic Common Market students.

1.1.20J NO UNNECESSARY DUPLICATION: The proposed program would be the only one of its kind operating in the state.

1.1.2OK COOPERATING INSTITUTIONS: None at this time.

1.120L DIVERSITY AND ACCESS: Diversity is a stated goal of the proposal, and it is anticipated that the program will attract students from minority groups and educators who work in and lead schools in underserved communities.

1.1.20M ASSESSMENT/EVALUATION AND ACCREDITATION: Accreditation for this program will be conducted through the National Council for Accreditation of Teacher Education (NCATE). The MTSU College of Education will be reviewed by NCATE in 2014; the EdD will be a component of that review. The program will also comprise a portion of the institutional SACS review in 2016-17.

Internal evaluation will occur as well, measuring program outcomes, student learning outcomes, student satisfaction, and program effectiveness. Assessment results from both external and internal evaluations will be used for program improvements.

1.1.2OO EXTERNAL JUDGMENT: Two external consultants evaluated the EdD program proposal and conducted a site visit. The reviewers were Dr. Van Cooley, Interim Dean College of Education and Human Development at Western Michigan University and Dr. Angela Walmsley, Associate Professor of Educational Studies at Saint Louis University. Both reviewers endorsed the approval of the program, stating "we recommend approval of the proposed program because of the innovation of this particular program, the rigor involved, and the need in assessment education of K-12 leaders."

1.1.20P COST/BENEFIT: The proposed doctoral program will be funded through campus reallocations and additional tuition revenues generated by the program. Reallocation of university resources will only be required for the first year of the program.

1.1.30 POST APPROVAL MONITORING: An annual performance review of the proposed program will be conducted for the first five years following program approval. The review will be based on benchmarks established in the approved proposal. At the end of this period, the campus, governing board, and Commission staff will perform a summative evaluation. The benchmarks include, but are not limited to, enrollment and graduation, program cost, progress toward accreditation and other metrics set by the institution and agreed upon by governing board and Commission staff. If benchmarks are not met during the monitoring period, the Commission may recommend that the governing board terminate the program. If additional time is needed and requested by the governing board, the Commission may choose to extend the monitoring period.

Agenda Item: I.B.1.

DATE: July 26, 2012

SUBJECT: Temporary Authorization of New Institutions under the Postsecondary Authorization Act

ACTION RECOMMENDED: Temporary Authorization

BACKGROUND INFORMATION: The Commission, under the Postsecondary Authorization Act, has the "power and duty" to act upon applications for authorization to operate an educational institution in the state. For the institutions listed below, applications have been reviewed, site visits have been performed, and staff has determined that all necessary documentation and bonds have been secured. The Committee on Postsecondary Educational Institutions met on July 12, 2012 and endorsed staff recommendations for Temporary Authorization of these institutions.

A.   Dental Staff School of Tennessee
4085 Mallory Lane, Suite 116, Franklin, TN 37067

Corporate Structure: S–Corporation
Accreditation: None
Title IV Funding: None

Change of Ownership:

Learn to Earn DBA "Dental Staff School" was purchased from Ms. Janet Waldron and is now owned by Mr. Dan and Mrs. Dawn Bowen. As a part of this purchase the company name was changed to Dental Staff School of Tennessee. This change was made on November 11, 2011. The institution is an S–Corporation and has been authorized by THEC since 2010.

The institution will offer one program that is already approved by THEC:

1.   Program: Dental Assistant 101
      Credential Awarded: Certificate
      Length of Program: 80 Contact Hours (2.5 Months)

B.   HealthCare Educational Institute
6172 Macon Road, Memphis, TN 38134

Corporate Structure: Limited Liability Corporation
Accreditation: None
Title IV Funding: No

Health Care Educational Institute is seeking approval for four new programs. The programs will be offered in a residential format. Instruction will be provided by faculty from their authorized site in Memphis, Tennessee.

1. Program: Assisted Living Facility Administration (Externship)
   Credential Awarded: Certificate
   Length of Program: 12 Semester Hours (10 Months Part-Time)

2. Program: Assisted Living Facility Administration (Non-Externship)
   Credential Awarded: Certificate
   Length of Program: 6 Semester Hours (6 Months Part-Time)

3. Program: Continuous Quality Improvement in Health Care (Externship)
   Credential Awarded: Certificate
   Length of Program: 12 Semester Hours (10 Months Part-Time)

4. Program: Continuous Quality Improvement in Health Care (Non-Externship)
   Credential Awarded: Certificate
   Length of Program: 6 Semester Hours (6 Months Part-Time)

C.   Liberty Tax Service
**   245 S. Calderwood Street, Alcoa, TN 37701**

Corporate Structure: C-Corporation
Accreditation: None
Title IV Funding: No

Liberty Tax Service is seeking approval for two new programs. The programs will be offered in a residential format. Instruction will be provided by faculty from their authorized site in Alcoa, Tennessee.

1. Program: Basic Income Tax Course
   Credential Awarded: Certificate
   Length of Program: 36-60 Contact Hours (1.5 to 2.5 Months)

2. Program: Rapid Class
   Credential Awarded: Certificate
   Length of Program: 30 Contact Hours (6 Days)

D.   Liberty Tax Service
**   5948 Brainerd Road, Chattanooga, TN 37411**

Corporate Structure: C-Corporation
Accreditation: None
Title IV Funding: No

Liberty Tax Service is seeking approval for two new programs. The programs will be offered in a residential format. Instruction will be provided by faculty from their authorized site in Chattanooga, Tennessee.

1.   Program: Basic Income Tax Course
        Credential Awarded: Certificate
        Length of Program: 36-60 Contact Hours (1.5 to 2.5 Months)

2.   Program: Rapid Class
        Credential Awarded: Certificate
        Length of Program: 30 Contact Hours (6 Days)

E.   Liberty Tax Service
1212 Dodds Avenue, Chattanooga, TN 37404

Corporate Structure: C-Corporation
Accreditation: None
Title IV Funding: No

Liberty Tax Service is seeking approval for two new programs. The programs will be offered in a residential format. Instruction will be provided by faculty from their authorized site in Chattanooga, Tennessee.

1.   Program: Basic Income Tax Course
        Credential Awarded: Certificate
        Length of Program: 36-60 Contact Hours (1.5 to 2.5 Months)

2.   Program: Rapid Class
        Credential Awarded: Certificate
        Length of Program: 30 Contact Hours (6 Days)

F.   Liberty Tax Service
7401 East Brainerd Road, Chattanooga, TN 37421

Corporate Structure: C-Corporation
Accreditation: None
Title IV Funding: No

Liberty Tax Service is seeking approval for two new programs. The programs will be offered in a residential format. Instruction will be provided by faculty from their authorized site in Chattanooga, Tennessee.

1.   Program: Basic Income Tax Course
        Credential Awarded: Certificate
        Length of Program: 36-60 Contact Hours (1.5 to 2.5 Months)

2.   Program: Rapid Class
        Credential Awarded: Certificate
        Length of Program: 30 Contact Hours (6 Days)

G.   Liberty Tax Service
**   4736 Highway 58, Suite I, Chattanooga, TN 37416**

Corporate Structure: C-Corporation
Accreditation: None
Title IV Funding: No

Liberty Tax Service is seeking approval for two new programs. The programs will be offered in a residential format. Instruction will be provided by faculty from their authorized site in Chattanooga, Tennessee.

1.   Program: Basic Income Tax Course
       Credential Awarded: Certificate
       Length of Program: 36-60 Contact Hours (1.5 to 2.5 Months)

2.   Program: Rapid Class
       Credential Awarded: Certificate
       Length of Program: 30 Contact Hours (6 Days)

H.   Liberty Tax Service
**   6940 Lee Highway, Suite 103, Chattanooga, TN 37421**

Corporate Structure: C-Corporation
Accreditation: None
Title IV Funding: No

Liberty Tax Service is seeking approval for two new programs. The programs will be offered in a residential format. Instruction will be provided by faculty from their authorized site in Chattanooga, Tennessee.

1.   Program: Basic Income Tax Course
       Credential Awarded: Certificate
       Length of Program: 36-60 Contact Hours (1.5 to 2.5 Months)

2.   Program: Rapid Class
       Credential Awarded: Certificate
       Length of Program: 30 Contact Hours (6 Days)

I.   Liberty Tax Service
**   3220 Rossville Boulevard, Chattanooga, TN 37407**

Corporate Structure: C-Corporation
Accreditation: None
Title IV Funding: No

Liberty Tax Service is seeking approval for two new programs. The programs will be offered in a residential format. Instruction will be provided by faculty from their authorized site in Chattanooga, Tennessee.

1. Program: Basic Income Tax Course
    Credential Awarded: Certificate
    Length of Program: 36-60 Contact Hours (1.5 to 2.5 Months)

2. Program: Rapid Class
    Credential Awarded: Certificate
    Length of Program: 30 Contact Hours (6 Days)

J.   Liberty Tax Service
2657 APD 40, Cleveland, TN 37323

Corporate Structure: C-Corporation
Accreditation: None
Title IV Funding: No

Liberty Tax Service is seeking approval for two new programs. The programs will be offered in a residential format. Instruction will be provided by faculty from their authorized site in Cleveland, Tennessee.

1. Program: Basic Income Tax Course
    Credential Awarded: Certificate
    Length of Program: 36-60 Contact Hours (1.5 to 2.5 Months)

2. Program: Rapid Class
    Credential Awarded: Certificate
    Length of Program: 30 Contact Hours (6 Days)

K.   Liberty Tax Service
2425 Keith Street NW, Cleveland, TN 37311

Corporate Structure: C-Corporation
Accreditation: None
Title IV Funding: No

Liberty Tax Service is seeking approval for two new programs. The programs will be offered in a residential format. Instruction will be provided by faculty from their authorized site in Cleveland, Tennessee.

1. Program: Basic Income Tax Course
    Credential Awarded: Certificate
    Length of Program: 36-60 Contact Hours (1.5 to 2.5 Months)

2. Program: Rapid Class
    Credential Awarded: Certificate
    Length of Program: 30 Contact Hours (6 Days)

L.   Liberty Tax Service
105 E. James M. Campbell Boulevard, Suite 3, Columbia, TN 38401

Corporate Structure: C-Corporation
Accreditation: None
Title IV Funding: No

Liberty Tax Service is seeking approval for two new programs. The programs will be offered in a residential format. Instruction will be provided by faculty from their authorized site in Columbia, Tennessee.

1.   Program: Basic Income Tax Course
      Credential Awarded: Certificate
      Length of Program: 36-60 Contact Hours (1.5 to 2.5 Months)

2.   Program: Rapid Class
      Credential Awarded: Certificate
      Length of Program: 30 Contact Hours (6 Days)

M.   Liberty Tax Service
4128-B Ringgold Road, East Ridge, TN 37412

Corporate Structure: C-Corporation
Accreditation: None
Title IV Funding: No

Liberty Tax Service is seeking approval for two new programs. The programs will be offered in a residential format. Instruction will be provided by faculty from their authorized site in East Ridge, Tennessee.

1.   Program: Basic Income Tax Course
      Credential Awarded: Certificate
      Length of Program: 36-60 Contact Hours (1.5 to 2.5 Months)

2.   Program: Rapid Class
      Credential Awarded: Certificate
      Length of Program: 30 Contact Hours (6 Days)

N.   Liberty Tax Service
3101 W. Market Street, Johnson City, TN 37604

Corporate Structure: C-Corporation
Accreditation: None
Title IV Funding: No

Liberty Tax Service is seeking approval for two new programs. The programs will be offered in a residential format. Instruction will be provided by faculty from their authorized site in Johnson City, Tennessee.

1. Program: Basic Income Tax Course
    Credential Awarded: Certificate
    Length of Program: 36-60 Contact Hours (1.5 to 2.5 Months)

2. Program: Rapid Class
    Credential Awarded: Certificate
    Length of Program: 30 Contact Hours (6 Days)

O.   Liberty Tax Service
311 Foothills Mall Drive, Maryville, TN 37801

Corporate Structure: C-Corporation
Accreditation: None
Title IV Funding: No

Liberty Tax Service is seeking approval for two new programs. The programs will be offered in a residential format. Instruction will be provided by faculty from their authorized site in Maryville, Tennessee.

1. Program: Basic Income Tax Course
    Credential Awarded: Certificate
    Length of Program: 36-60 Contact Hours (1.5 to 2.5 Months)

2. Program: Rapid Class
    Credential Awarded: Certificate
    Length of Program: 30 Contact Hours (6 Days)

P.   Liberty Tax Service
1006 Parkway, Sevierville, TN 37862

Corporate Structure: C-Corporation
Accreditation: None
Title IV Funding: No

Liberty Tax Service is seeking approval for two new programs. The programs will be offered in a residential format. Instruction will be provided by faculty from their authorized site in Sevierville, Tennessee.

1. Program: Basic Income Tax Course
    Credential Awarded: Certificate
    Length of Program: 36-60 Contact Hours (1.5 to 2.5 Months)

2. Program: Rapid Class
    Credential Awarded: Certificate
    Length of Program: 30 Contact Hours (6 Days)

Q.   Liberty Tax Service
307 N. Lowry Street, Smyrna, TN 37167

Corporate Structure: C-Corporation
Accreditation: None
Title IV Funding: No

Liberty Tax Service is seeking approval for two new programs. The programs will be offered in a residential format. Instruction will be provided by faculty from their authorized site in Smyrna, Tennessee.

1.   Program: Basic Income Tax Course
        Credential Awarded: Certificate
        Length of Program: 36-60 Contact Hours (1.5 to 2.5 Months)

2.   Program: Rapid Class
        Credential Awarded: Certificate
        Length of Program: 30 Contact Hours (6 Days)

Agenda Item: I.B.2.

DATE: July 26, 2012

SUBJECT: Approval of New Programs under the Postsecondary Authorization Act

ACTION RECOMMENDED: Approval

BACKGROUND INFORMATION: The Commission, under the Postsecondary Authorization Act, has the "power and duty" to act upon applications for authorization of educational programs in the state. Applications have been reviewed and staff has determined that all necessary documentation for the institutions submitting new program applications is in accordance with the Act and postsecondary rules. The Committee on Postsecondary Educational Institutions, which is a review and advisory committee to the Commission, met on July 12, 2012 and affirmed staff recommendations for approval.

A.   Argosy University – Phoenix (On-line)   **Phoenix, AZ**

Corporate Structure: C–Corporation
Authorization Date: April 26, 2007
Accreditation: Western Association of Schools and Colleges (WASC)
Title IV Funding: Yes
Highest Credential Offered: Doctorate Degree

Argosy University – On-line is seeking authorization for four new programs. The programs will be offered in a distance learning format. This institution is recruitment only and all classes are available on-line.

1.   Program: Human Services
     Credential Awarded: Master of Science
     Length of Program: 36 Semester Credit Hours   (24 Months)

2.   Program: Psychology
     Credential Awarded: Associate of Arts
     Length of Program: 60 Semester Credit Hours   (20 Months Full-Time)
                                                      (40 Months Part-Time)

3.   Program: Business Administration
     Credential Awarded: Associate of Science
     Length of Program: 60 Semester Credit Hours   (20 Months Full-Time)
                                                      (40 Months Part-Time)

4. Program: Criminal Justice
    Credential Awarded: Associate of Science
    Length of Program: 60 Semester Credit Hours   (20 Months Full-Time)
                                                       (40 Months Part-Time)

B.   Argosy University – Nashville   **Nashville, TN**

Corporate Structure: C–Corporation
Authorization Date: August 26, 2011
Accreditation: Western Association of Schools and Colleges (WASC)
Title IV Funding: Yes
Highest Credential Offered: Doctorate Degree

Argosy University – Nashville is seeking authorization for three new programs. The programs will be offered in a blended format. Instruction will be provided by faculty from their authorized site in Nashville, Tennessee, as well as on-line.

1. Program: Psychology
    Credential Awarded: Associate of Arts
    Length of Program: 60 Semester Credit Hours   (20 Months Full-Time)
                                                       (40 Months Part-Time)

2. Program: Business Administration
    Credential Awarded: Associate of Science
    Length of Program: 60 Semester Credit Hours   (20 Months Full-Time)
                                                       (40 Months Part-Time)

3. Program: Criminal Justice
    Credential Awarded: Associate of Science
    Length of Program: 60 Semester Credit Hours   (20 Months Full-Time)
                                                       (40 Months Part-Time)

C.   Arkansas State University   **Jonesboro, AR**

Corporate Structure: Government Agency
Authorization Date: January 29, 2009
Accreditation: Higher Learning Commission (HLC)
Title IV Funding: Yes
Highest Credential Offered: Masters Degree

Arkansas State University – Jonesboro is seeking authorization for one new program. The program will be offered in a distance learning format. The institution is recruitment only and all classes are available on-line.

1. Program: Public Administration
    Credential Awarded: Master of Public Administration (MPA)
    Length of Program: 36 Semester Credit Hours (18 Months Full-Time)
                                                     (72 Months Part-Time)

D.   Cambridge College – Memphis   **Memphis, TN**

Corporate Structure: Not-for-Profit Corporation
Authorization Date: June 21, 2007
Accreditation: New England Association of Schools and Colleges
                          (NEASC)
Title IV Funding: Yes
Highest Credential Offered: Masters Degree

Cambridge College is seeking authorization for five new programs. The programs will
be offered in a residential format. Instruction will be provided by faculty from their
authorized site in Memphis, Tennessee.

1. Program: Human Services/Addiction Studies
    Credential Awarded: Bachelor of Science
    Length of Program: 120 Semester Credit Hours   (24 Months Full-Time)
                                                        (48 Months Part-Time)

2. Program: Human Services/Family Studies
    Credential Awarded: Bachelor of Science
    Length of Program: 120 Semester Credit Hours   (24 Months Full-Time)
                                                        (48 Months Part-Time)

3. Program: Management Studies/General Management
    Credential Awarded: Bachelor of Science
    Length of Program: 120 Semester Credit Hours   (24 Months Full-Time)
                                                        (48 Months Part-Time)

4. Program: Multidisciplinary Studies/Early Education and Care
    Credential Awarded: Bachelor of Arts
    Length of Program: 120 Semester Credit Hours   (24 Months Full-Time)
                                                        (48 Months Part-Time)

5. Program: Multidisciplinary Studies/General Studies
    Credential Awarded: Bachelor of Arts
    Length of Program: 120 Semester Credit Hours   (24 Months Full-Time)
                                                        (48 Months Part-Time)

E.   Concorde Career College   **Memphis, TN**

Corporate Structure: For-Profit Corporation
Authorization Date: January 1, 1985
Accreditation: Council on Occupational Education (COE)
Title IV Funding: Yes
Highest Credential Offered: Associate Degree

Concorde Career College is seeking authorization for two new programs. The programs will be offered in a residential format. Instruction will be provided by faculty from their authorized site in Memphis, Tennessee.

1.   Program: Health Information Technology
      Credential Awarded: Associate of Applied Science
      Length of Program: 71 Semester Credit Hours   (15 Months)

Concorde Career College is seeking accreditation from the Commission on Accreditation for Health Informatics and Information Management Education (CAHIIM). The CAHIIM accreditation process does not begin until the first cohort of students has started the program. The process includes a self study and a two day site visit by CAHIIM during the last half of the program. The CAHIIM board of directors will meet prior to graduation of the first cohort to grant approval of the program. If approval is not granted, the students will be taught out at another institution with a Health Information Technology Program or Concorde Career College will refund any monies paid by the students.

2.   Program: Polysomnographic Technology
      Credential Awarded: Diploma
      Length of Program: 24.5 Semester Credit Hours (8 Months)

Concorde Career College is seeking accreditation from the Commission on Accreditation of Allied Health Education Programs (CAAHEP) which accredits Polysomnographic Technology Programs based upon the recommendation of the Committee on Accreditation for Education in Neurodiagnostic Technology (CoA-NDT). Therefore, Concorde Career College's Polysomnographic Technology Program is designed to meet both CAHEEP accreditation requirements and CoA-NDT competencies.

CoA-NDT/CAAHEP accreditation process does not begin until the first cohort of students has started the program. The process includes a self study report to CoA-NDT after the start of the first student cohort and a two day site visit will be performed at a point when the students are engaged in clinical experiences. The CoA-NDT will then make a recommendation to CAAHEP to approve the program. The CAAHEP Board of Directors will meet prior to graduation of the first cohort to grant approval of the program. If approval is not granted, the students will be taught out at another institution with a Polysomnography Technology Program or Concorde Career College will refund any monies paid by the students.

F.   DeVry University   **Alpharetta, GA**

Corporate Structure: C-Corporation
Authorization Date: January 28, 1994
Accreditation: The Higher Learning Commission (HLC)
Title IV Funding: Yes
Highest Credential Offered: Masters Degree

DeVry University – Alpharetta is seeking authorization for one new program. The program will be offered in a blended format. The program will be taught by faculty from their authorized site in Alpharetta, Georgia, as well as on-line.

1.   Program: Electronics Engineering Technology with
                              Renewable Energy Engineering Technology
     Credential Awarded: Bachelor of Science
     Length of Program: 139 Semester Credit Hours   (36 Months)

G.   DeVry University   **Decatur, GA**

Corporate Structure: C-Corporation
Authorization Date: January 28, 1994
Accreditation: The Higher Learning Commission (HLC)
Title IV Funding: Yes
Highest Credential Offered: Masters Degree

DeVry University – Decatur is seeking authorization for one new program. The program will be offered in a blended format. The program will be taught by faculty from their authorized site in Decatur, Georgia, as well as on-line.

1.   Program: Electronics Engineering Technology with
                              Renewable Energy Engineering Technology
     Credential Awarded: Bachelor of Science
     Length of Program: 139 Semester Credit Hours   (36 Months)

H.   DeVry University   **Naperville, IL**

Corporate Structure: C-Corporation
Authorization Date: January 31, 2002
Accreditation: The Higher Learning Commission (HLC)
Title IV Funding: Yes
Highest Credential Offered: Masters Degree

DeVry University – On-line is seeking authorization for one new program. The program will be offered in a distance learning format. The institution is recruitment only, and all classes are available on-line.

1.   Program: Engineering Technology/Electronics with
                              Renewable Energy Engineering Technology
     Credential Awarded: Bachelor of Science
     Length of Program: 139 Semester Credit Hours   (36 Months)

I.   Embry-Riddle Aeronautical University   **Memphis, TN**

Corporate Structure: Not-For-Profit Corporation
Authorization Date: January 1, 1976
Accreditation: Southern Association of Colleges and Schools,
                            Commission on Colleges (SACSCOC)
Title IV Funding: Yes
Highest Credential Offered: Masters Degree

Embry-Riddle Aeronautical University is seeking authorization for four new programs.
The programs will be offered in a distance learning and residential format. Instruction
will be provided by faculty from their authorized site in Memphis, Tennessee, as well
as on-line.

1.   Program: Management/Technical Management – Civilian
                              (Distance Learning)
     Credential Awarded: Master of Science
     Length of Program: 36 Semester Credit Hours   (30 Months Full-Time)
                                                           (84 Months Part-Time)

2.   Program: Management/Technical Management – Civilian
                              (Residential)
     Credential Awarded: Master of Science
     Length of Program: 36 Semester Credit Hours   (30 Months Full-Time)
                                                           (84 Months Part-Time)

3.   Program: Management/Technical Management – Military
                              (Distance Learning)
     Credential Awarded: Master of Science
     Length of Program: 36 Semester Credit Hours   (30 Months Full-Time)
                                                           (84 Months Part-Time)

4.   Program: Management/Technical Management – Military
                              (Residential)
     Credential Awarded: Master of Science
     Length of Program: 36 Semester Credit Hours   (30 Months Full-Time)
                                                           (84 Months Part-Time)

J.   **Grand Canyon University**   **Phoenix, AZ**

Corporate Structure: C–Corporation
Authorization Date: July 23, 2009
Accreditation: Higher Learning Commission (HLC)
Title IV Funding: Yes
Highest Credential Offered: Doctorate Degree

Grand Canyon University is seeking authorization for six new programs. The programs will be offered in a distance learning format. The institution is recruitment only and all classes are available on-line.

1.   Program: Business Education
      Credential Awarded: Associates of Arts
      Length of Program: 60 Semester Credit Hours   (26 Months Part-Time)

2.   Program: Christian Studies
      Credential Awarded: Associates of Arts
      Length of Program: 60 Semester Credit Hours   (26 Months Part-Time)

3.   Program: Education
      Credential Awarded: Associates of Arts
      Length of Program: 60 Semester Credit Hours   (26 Months Part-Time)

4.   Program: General Studies
      Credential Awarded: Associates of Arts
      Length of Program: 60 Semester Credit Hours   (26 Months Part-Time)

5.   Program: Health Care Administration
      Credential Awarded: Associates of Arts
      Length of Program: 60 Semester Credit Hours   (26 Months Part-Time)

6.   Program: Justice Studies
      Credential Awarded: Associates of Arts
      Length of Program: 60 Semester Credit Hours   (26 Months Part-Time)

K.   **Huntington College of Health Sciences**   **Knoxville, TN**

Corporate Structure: S-Corporation
Authorization Date: May 19, 1995
Accreditation: Distance Education and Training Council (DETC)
Title IV Funding: No
Highest Credential Offered: Masters Degree

Huntington College of Health Sciences is seeking authorization for one new program. The program will be offered in a distance learning format and all classes are available on-line.

1.   Program: Trichology
      Credential Awarded: Diploma
      Length of Program: 18 Semester Credit Hours   (15 Months Part-Time)

L.   The Institute for Global Outreach Development International   Old Hickory, TN

Corporate Structure: Not-For-Profit
Authorization Date: January 26, 2006
Accreditation: None
Title IV Funding: No
Highest Credential Offered: Masters Degree

The Institute for Global Outreach Developments International is seeking authorization for three new programs.   The programs will be offered in a residential format. Instruction will be provided by faculty from their authorized site in Old Hickory, Tennessee.

1.   Program: Biblical Studies
      Credential Awarded: Certificate of Completion
      Length of Program: 30 Semester Credit Hours   (12 Months Full-Time)
                                                           (18 Months Part-Time)

2.   Program: Missiology
      Credential Awarded: Certificate of Completion
      Length of Program: 31 Semester Credit Hours   (12 Months Full-Time)
                                                           (18 Months Part-Time)

3.   Program: Missio-theology
      Credential Awarded: Certificate of Completion
      Length of Program: 49 Semester Credit Hours   (24 Months Full-Time)
                                                           (36 Months Part-Time)

M.   Kaplan University   Chicago, IL

Corporate Structure: C-Corporation
Authorization Date: November 19, 2009
Accreditation: Higher Learning Commission (HLC)
Title IV Funding: Yes
Highest Credential Offered: Masters Degree

Kaplan University is seeking authorization for twenty-three new programs. The programs will be offered in a distance learning format. The institution is recruitment only, and all classes are available on-line.

1. Program: Applied Research Track
   Credential Awarded: Master of Science in Legal Studies
   Length of Program: 55 Quarter Credit Hours   (15 Months Full-Time)
       (30 Months Part-Time)

2. Program: Applied Research Track/Education and the Legal System
   Credential Awarded: Master of Science in Legal Studies
   Length of Program: 55 Quarter Credit Hours   (15 Months Full-Time)
       (30 Months Part-Time)

3. Program: Applied Research Track/Health Care Delivery
   Credential Awarded: Master of Science in Legal Studies
   Length of Program: 55 Quarter Credit Hours   (15 Months Full-Time)
       (30 Months Part-Time)

4. Program: Applied Research Track/Legal Systems and the Media
   Credential Awarded: Master of Science in Legal Studies
   Length of Program: 55 Quarter Credit Hours   (15 Months Full-Time)
       (30 Months Part-Time)

5. Program: Applied Research Track/State and Local Government
   Credential Awarded: Master of Science in Legal Studies
   Length of Program: 55 Quarter Credit Hours   (15 Months Full-Time)
       (30 Months Part-Time)

6. Program: Comprehensive Exam Track
   Credential Awarded: Master of Science in Legal Studies
   Length of Program: 55 Quarter Credit Hours   (15 Months Full-Time)
       (30 Months Part-Time)

7. Program: Comprehensive Exam Track/Education and the Legal System
   Credential Awarded: Master of Science in Legal Studies
   Length of Program: 55 Quarter Credit Hours   (15 Months Full-Time)
       (30 Months Part-Time)

8. Program: Comprehensive Exam Track/Health Care Delivery
   Credential Awarded: Master of Science in Legal Studies
   Length of Program: 55 Quarter Credit Hours   (15 Months Full-Time)
       (30 Months Part-Time)

9. Program: Comprehensive Exam Track/Legal Systems and the Media
   Credential Awarded: Master of Science in Legal Studies
   Length of Program: 55 Quarter Credit Hours   (15 Months Full-Time)
       (30 Months Part-Time)

10. Program: Comprehensive Exam Track/State and Local
Government
    Credential Awarded: Master of Science in Legal Studies
    Length of Program: 55 Quarter Credit Hours   (15 Months Full-Time)
(30 Months Part-Time)

11. Program: Non-Certification Track
    Credential Awarded: Master of Arts in Teaching
    Length of Program: 40 Quarter Credit Hours   (12.5 Months Full-Time)
(25 Months Part-Time)

12. Program: Standard Emphasis Area Track/Teaching Literacy
and Language (Grades K-6)
    Credential Awarded: Master of Science in Education
    Length of Program: 46 Quarter Credit Hours   (12.5 Months Full-Time)
(25 Months Part-Time)

13. Program: Standard Emphasis Area Track/Teaching Literacy
and Language (Grades 6-12)
    Credential Awarded: Master of Science in Education
    Length of Program: 46 Quarter Credit Hours   (12.5 Months Full-Time)
(25 Months Part-Time)

14. Program: Standard Emphasis Area Track/Teaching with
Technology
    Credential Awarded: Master of Science in Education
    Length of Program: 46 Quarter Credit Hours   (12.5 Months Full-Time)
(25 Months Part-Time)

15. Program: Standard Emphasis Area Track/Teaching Students
with Special Needs
    Credential Awarded: Master of Science in Education
    Length of Program: 46 Quarter Credit Hours   (12.5 Months Full-Time)
(25 Months Part-Time)

16. Program: Standard Emphasis Area Track/Teaching
Mathematics (Grades K-6)
    Credential Awarded: Master of Science in Education
    Length of Program: 46 Quarter Credit Hours   (12.5 Months Full-Time)
(25 Months Part-Time)

17. Program: Standard Emphasis Area Track/Teaching
Mathematics (Grades 6-8)
    Credential Awarded: Master of Science in Education
    Length of Program: 46 Quarter Credit Hours   (12.5 Months Full-Time)
(25 Months Part-Time)

18. Program: Standard Emphasis Area Track/Teaching
                              Mathematics (Grades 9-12)
     Credential Awarded: Master of Science in Education
     Length of Program: 46 Quarter Credit Hours   (12.5 Months Full-Time)
                                                           (25 Months Part-Time)

19. Program: Standard Emphasis Area Track/Teaching
                              Science (Grades K-6)
     Credential Awarded: Master of Science in Education
     Length of Program: 46 Quarter Credit Hours   (12.5 Months Full-Time)
                                                           (25 Months Part-Time)

20. Program: Standard Emphasis Area Track/Teaching
                              Science (Grades 6-12)
     Credential Awarded: Master of Science in Education
     Length of Program: 46 Quarter Credit Hours   (12.5 Months Full-Time)
                                                           (25 Months Part-Time)

21. Program: Instructional Technology/Specialization in K-12
     Credential Awarded: Master of Science in Education
     Length of Program: 50 Quarter Credit Hours   (12.5 Months Full-Time)
                                                           (25 Months Part-Time)

22. Program: Instructional Technology/Specialization in Adult
                              Learning – Higher Education
     Credential Awarded: Master of Science in Education
     Length of Program: 51 Quarter Credit Hours   (12.5 Months Full-Time)
                                                           (25 Months Part-Time)

23. Program: Instructional Technology/Specialization in Adult
                              Learning – Organizations
     Credential Awarded: Master of Science in Education
     Length of Program: 51 Quarter Credit Hours   (12.5 Months Full-Time)
                                                           (25 Months Part-Time)

N.   Lab Four Career Training Institute   **Memphis, TN**

Corporate Structure: Sole Proprietorship
Accreditation: None
Title IV Funding: No

Lab Four Career Institute - Memphis is seeking approval for one new program. The
program will be offered in a blended format. Instruction will be provided by faculty
from their authorized site in Memphis, Tennessee, as well as on-line.

1.   Program: Advance Manufacturing Technology E-Learning
                              (Blended)
     Credential Awarded: Certificate of Completion
     Length of Program: 302 Contact Hours   (5 Months)

O.   Miller-Motte Technical College   **Chattanooga, TN**

Corporate Structure: C-Corporation
Authorization Date: July 11, 2002
Accreditation: Accrediting Council for Independent Colleges
                            and Schools (ACICS)
Title IV Funding: Yes
Highest Credential Offered: Associate Degree

Miller-Motte Technical College - Chattanooga is seeking authorization for ten new
programs. The programs will be offered in a residential format. Instruction will be
provided by faculty from their authorized site in Chattanooga, Tennessee.

1.   Program: Accounting Clerk
     Credential Awarded: Certificate of Completion
     Length of Program: 250 Contact Hours   (2.25 Months)

2.   Program: Cisco Network Technician
     Credential Awarded: Certificate of Completion
     Length of Program: 150 Contact Hours   (2.25 Months)

3.   Program: CompTIA Network Security Technician
     Credential Awarded: Certificate of Completion
     Length of Program: 200 Contact Hours   (2.25 Months)

4.   Program: CompTIA Network Security Technician/Linux
     Credential Awarded: Certificate of Completion
     Length of Program: 250 Contact Hours   (2.25 Months)

5.   Program: CompTIA PC and Network Technician
     Credential Awarded: Certificate of Completion
     Length of Program: 150 Contact Hours   (2.25 Months)

6.   Program: CompTIA PC Technician
     Credential Awarded: Certificate of Completion
     Length of Program: 100 Contact Hours   (2.25 Months)

7.   Program: Microsoft Office Specialist
     Credential Awarded: Certificate of Completion
     Length of Program: 210 Contact Hours   (2.25 Months)

8. Program: Microsoft Windows 7
    Credential Awarded: Certificate of Completion
    Length of Program: 50 Contact Hours   (2.25 Months)

9. Program: Office Applications Specialist
    Credential Awarded: Certificate of Completion
    Length of Program: 250 Contact Hours   (2.25 Months)

10. Program: QuickBooks 2010
    Credential Awarded: Certificate of Completion
    Length of Program: 50 Contact Hours   (2.25 Months)

P.   Miller-Motte Technical College   **Clarksville, TN**

Corporate Structure: C-Corporation
Authorization Date: April 18, 2002
Accreditation: Accrediting Council for Independent Colleges
                            and Schools (ACICS)
Title IV Funding: Yes
Highest Credential Offered: Associate Degree

Miller-Motte Technical College - Clarksville is seeking authorization for ten new
programs. The programs will be offered in a residential format. Instruction will be
provided by faculty from their authorized site in Clarksville, Tennessee.

1. Program: Accounting Clerk
    Credential Awarded: Certificate of Completion
    Length of Program: 250 Contact Hours   (2.25 Months)

2. Program: Cisco Network Technician
    Credential Awarded: Certificate of Completion
    Length of Program: 150 Contact Hours   (2.25 Months)

3. Program: CompTIA Network Security Technician
    Credential Awarded: Certificate of Completion
    Length of Program: 200 Contact Hours   (2.25 Months)

4. Program: CompTIA Network Security Technician/Linux
    Credential Awarded: Certificate of Completion
    Length of Program: 250 Contact Hours   (2.25 Months)

5. Program: CompTIA PC and Network Technician
    Credential Awarded: Certificate of Completion
    Length of Program: 150 Contact Hours   (2.25 Months)

6. Program: CompTIA PC Technician
    Credential Awarded: Certificate of Completion
    Length of Program: 100 Contact Hours   (2.25 Months)

7. Program: Microsoft Office Specialist
    Credential Awarded: Certificate of Completion
    Length of Program: 210 Contact Hours   (2.25 Months)

8. Program: Microsoft Windows 7
    Credential Awarded: Certificate of Completion
    Length of Program: 50 Contact Hours   (2.25 Months)

9. Program: Office Applications Specialist
    Credential Awarded: Certificate of Completion
    Length of Program: 250 Contact Hours   (2.25 Months)

10. Program: QuickBooks 2010
    Credential Awarded: Certificate of Completion
    Length of Program: 50 Contact Hours   (2.25 Months)

Q.   Miller-Motte Technical College   **Madison, TN**

Corporate Structure: C-Corporation
Authorization Date: November 18, 2004
Accreditation: Accrediting Council for Independent Colleges
                           and Schools (ACICS)
Title IV Funding: Yes
Highest Credential Offered: Associate Degree

Miller-Motte Technical College - Madison is seeking authorization for ten new
programs. The programs will be offered in a residential format. Instruction will be
provided by faculty from their authorized site in Madison, Tennessee.

1. Program: Accounting Clerk
    Credential Awarded: Certificate of Completion
    Length of Program: 250 Contact Hours   (2.25 Months)

2. Program: Cisco Network Technician
    Credential Awarded: Certificate of Completion
    Length of Program: 150 Contact Hours   (2.25 Months)

3. Program: CompTIA Network Security Technician
    Credential Awarded: Certificate of Completion
    Length of Program: 200 Contact Hours   (2.25 Months)

4. Program: CompTIA Network Security Technician/Linux
    Credential Awarded: Certificate of Completion
    Length of Program: 250 Contact Hours   (2.25 Months)

5. Program: CompTIA PC and Network Technician
    Credential Awarded: Certificate of Completion
    Length of Program: 150 Contact Hours   (2.25 Months)

6. Program: CompTIA PC Technician
    Credential Awarded: Certificate of Completion
    Length of Program: 100 Contact Hours   (2.25 Months)

7. Program: Microsoft Office Specialist
    Credential Awarded: Certificate of Completion
    Length of Program: 210 Contact Hours   (2.25 Months)

8. Program: Microsoft Windows 7
    Credential Awarded: Certificate of Completion
    Length of Program: 50 Contact Hours   (2.25 Months)

9. Program: Office Applications Specialist
    Credential Awarded: Certificate of Completion
    Length of Program: 250 Contact Hours   (2.25 Months)

10. Program: QuickBooks 2010
    Credential Awarded: Certificate of Completion
    Length of Program: 50 Contact Hours   (2.25 Months)

R.   National College of Business and Technology   Bristol, TN

Corporate Structure: For-Profit Corporation
Authorization Date: January 27, 2005
Accreditation: Accrediting Council for Independent Colleges and
                          Schools (ACICS)
Title IV Funding: Yes
Highest Credential Offered: Bachelors Degree

National College of Business and Technology is seeking authorization for two new
programs. The programs will be offered in a blended format. Instruction will be
provided by faculty from their authorized site in Bristol, Tennessee, as well as on-line.

1. Program: Business Administration/Accounting
    Credential Awarded: Bachelor of Applied Science
    Length of Program: 180 Quarter Credit Hours   (45 Months Full-Time)
                                                      (66 Months Part-Time)

2. Program: Business Administration/Management
    Credential Awarded: Bachelor of Applied Science
    Length of Program: 180 Quarter Credit Hours   (45 Months Full-Time)
                                                      (66 Months Part-Time)

S.   New Horizons Computer Learning Center   **Chattanooga, TN**

Corporate Structure: Limited Liability Corporation (LLC)
Authorization Date: July 11, 2002
Accreditation: None
Title IV Funding: No
Highest Credential Offered: Certificate of Completion

New Horizons Computer Learning Center - Chattanooga is seeking authorization for two new programs. The programs will be offered in a residential format. Instruction will be provided by faculty from their authorized site in Chattanooga, Tennessee.

1.   Program: Cisco Administrator
        Credential Awarded: Certificate of Completion
        Length of Program: 195 Contact Hours   (3 Months)

2.   Program: IT Professional
        Credential Awarded: Certificate of Completion
        Length of Program: 936 Contact Hours   (12 Months)

T.   South College – Goody's Lane   **Knoxville, TN**

Corporate Structure: S-Corporation
Authorization Date: July 27, 2006
Accreditation: Southern Association of Colleges and Schools,
                               Commission on Colleges (SACSCOC)
Title IV Funding: Yes
Highest Credential Offered: Masters Degree

South College is seeking authorization for three new programs. The programs will be offered in a blended format. Instruction will be provided by faculty from their authorized site on Lonas Drive in Knoxville, Tennessee as well as on-line.

1.   Program: Health Care Administration
        Credential Awarded: Bachelors of Business Administration
        Length of Program: 190 Quarter Credit Hours   (42 Months Full-Time)
                                                         (63 Months Part-Time)

2.   Program: Business Administration/Human Resources
        Credential Awarded: Bachelors of Business Administration
        Length of Program: 190 Quarter Credit Hours   (42 Months Full-Time)
                                                         (63 Months Part-Time)

3.   Program: Business Administration/Marketing
        Credential Awarded: Bachelors of Business Administration
        Length of Program: 190 Quarter Credit Hours   (42 Months Full-Time)
                                                         (63 Months Part-Time)

U.   South College – Lonas Drive   **Knoxville, TN**

Corporate Structure: S-Corporation
Authorization Date: July 27, 2006
Accreditation: Southern Association of Colleges and Schools,
                                  Commission on Colleges (SACSCOC)
Title IV Funding: Yes
Highest Credential Offered: Masters Degree

South College is seeking authorization for three new programs. The programs will be offered in a blended format. Instruction will be provided by faculty from their authorized site on Goody's Lane in Knoxville, Tennessee as well as on-line.

1.   Program: Health Care Administration
      Credential Awarded: Bachelors of Business Administration
      Length of Program: 190 Quarter Credit Hours   (42 Months Full-Time)
                                                              (63 Months Part-Time)

2.   Program: Business Administration/Human Resources
      Credential Awarded: Bachelors of Business Administration
      Length of Program: 190 Quarter Credit Hours   (42 Months Full-Time)
                                                              (63 Months Part-Time)

3.   Program: Business Administration/Marketing
      Credential Awarded: Bachelors of Business Administration
      Length of Program: 190 Quarter Credit Hours   (42 Months Full-Time)
                                                              (63 Months Part-Time)

V.   Southeastern Institute   **Nashville, TN**

Corporate Structure: For-Profit Corporation
Authorization Date: April 28, 2008
Accreditation: Accrediting Commission of Career Schools and
                                  Colleges (ACCSC)
Title IV Funding: Yes
Highest Credential Offered: Associate Degree

Southeastern Institute is seeking authorization for one new program. The program will be offered in a residential format. Instruction will be provided by faculty from their authorized site in Nashville, Tennessee.

1.   Program: Pharmacy Technology
      Credential Awarded: Diploma
      Length of Program: 43 Semester Credit Hours   (10 Months)

W.   Universal Technical Institute of Texas   **Houston, TX**

Corporate Structure: C–Corporation
Authorization Date: January 1, 1990
Accreditation: Accrediting Commission of Career Schools & Colleges
                            (ACCSC)
Title IV Funding: Yes
Highest Credential Offered: Diploma

Universal Technical Institute of Texas, Inc. is seeking authorization for two new programs. The programs will be offered in a residential format. Instruction will be provided by faculty from their authorized site in Houston, Texas.

1.   Program: Automotive Technology with FACT
        Credential Awarded: Diploma
        Length of Program: 93.5 Semester Credit Hours   (16.5 Months)

2.   Program: Automotive/Diesel & Industrial Technology with
                            FACT
        Credential Awarded: Diploma
        Length of Program: 126 Semester Credit Hours   (22.5 Months)

X.   University of Phoenix   **Chattanooga, TN**

Corporate Structure: For-Profit Corporation
Authorization Date: July 27, 2006
Accreditation: Higher Learning Commission (HLC)
Title IV Funding: Yes
Highest Credential Offered: Doctoral Degree

University of Phoenix – Chattanooga is seeking authorization to revise two previously approved programs and offer eight new programs. The programs will be offered in a residential format. Instruction will be provided by faculty from their authorized site in Chattanooga, Tennessee.

1.   Program: Master of Information Systems
        Credential Awarded: Master of Information Systems
        Length of Program: 42 Semester Credit Hours   (21 Months)

2.   Program: Project Management
        Credential Awarded: Graduate Certificate
        Length of Program: 18 Semester Credit Hours   (50 Months)

3.   Program: Communication/Journalism
        Credential Awarded: Bachelor of Science
        Length of Program: 120 Semester Credit Hours   (50 Months)

4.   Program: Human Services/Addictions
       Credential Awarded: Bachelor of Science
       Length of Program: 120 Semester Credit Hours   (50 Months)

5.   Program: Human Services/Family and Child Services
       Credential Awarded: Bachelor of Science
       Length of Program: 120 Semester Credit Hours   (50 Months)

6.   Program: Human Services/Gerontology
       Credential Awarded: Bachelor of Science
       Length of Program: 120 Semester Credit Hours   (50 Months)

7.   Program: Human Services/Management
       Credential Awarded: Bachelor of Science
       Length of Program: 120 Semester Credit Hours   (50 Months)

8.   Program: A+ Fundamentals
       Credential Awarded: Certificate of Completion
       Length of Program: 6 Semester Credit Hours   (3 Months)

9.   Program: Cisco Networking
       Credential Awarded: Certificate of Completion
       Length of Program: 12 Semester Credit Hours   (5 Months)

10.   Program: Network+ Technologies
       Credential Awarded: Certificate of Completion
       Length of Program: 12 Semester Credit Hours   (5 Months)

Y.   University of Phoenix   **Clarksville, TN**

Corporate Structure: For-Profit Corporation
Authorization Date: July 28, 2010
Accreditation: Higher Learning Commission (HLC)
Title IV Funding: Yes
Highest Credential Offered: Doctoral Degree

University of Phoenix – Clarksville is seeking authorization to revise two previously approved programs and offer eight new programs. The programs will be offered in a residential format. Instruction will be provided by faculty from their authorized site in Clarksville, Tennessee.

1.   Program: Master of Information Systems
       Credential Awarded: Master of Information Systems
       Length of Program: 42 Semester Credit Hours   (21 Months)

2.   Program: Project Management
       Credential Awarded: Graduate Certificate
       Length of Program: 18 Semester Credit Hours   (50 Months)

3.   Program: Communication/Journalism
      Credential Awarded: Bachelor of Science
      Length of Program: 120 Semester Credit Hours   (50 Months)

4.   Program: Human Services/Addictions
      Credential Awarded: Bachelor of Science
      Length of Program: 120 Semester Credit Hours   (50 Months)

5.   Program: Human Services/Family and Child Services
      Credential Awarded: Bachelor of Science
      Length of Program: 120 Semester Credit Hours   (50 Months)

6.   Program: Human Services/Gerontology
      Credential Awarded: Bachelor of Science
      Length of Program: 120 Semester Credit Hours   (50 Months)

7.   Program: Human Services/Management
      Credential Awarded: Bachelor of Science
      Length of Program: 120 Semester Credit Hours   (50 Months)

8.   Program: A+ Fundamentals
      Credential Awarded: Certificate of Completion
      Length of Program: 6 Semester Credit Hours   (3 Months)

9.   Program: Cisco Networking
      Credential Awarded: Certificate of Completion
      Length of Program: 12 Semester Credit Hours   (5 Months)

10.   Program: Network+ Technologies
      Credential Awarded: Certificate of Completion
      Length of Program: 12 Semester Credit Hours   (5 Months)

Z.   University of Phoenix   **Knoxville, TN**

Corporate Structure: For-Profit Corporation
Authorization Date: April 29, 2010
Accreditation: Higher Learning Commission (HLC)
Title IV Funding: Yes
Highest Credential Offered: Doctoral Degree

University of Phoenix – Knoxville is seeking authorization to revise two previously approved programs and offer eight new programs. The programs will be offered in a residential format. Instruction will be provided by faculty from their authorized site in Knoxville, Tennessee.

1.   Program: Master of Information Systems
      Credential Awarded: Master of Information Systems
      Length of Program: 42 Semester Credit Hours   (21 Months)

2. Program: Project Management
    Credential Awarded: Graduate Certificate
    Length of Program: 18 Semester Credit Hours   (50 Months)

3. Program: Communication/Journalism
    Credential Awarded: Bachelor of Science
    Length of Program: 120 Semester Credit Hours   (50 Months)

4. Program: Human Services/Addictions
    Credential Awarded: Bachelor of Science
    Length of Program: 120 Semester Credit Hours   (50 Months)

5. Program: Human Services/Family and Child Services
    Credential Awarded: Bachelor of Science
    Length of Program: 120 Semester Credit Hours   (50 Months)

6. Program: Human Services/Gerontology
    Credential Awarded: Bachelor of Science
    Length of Program: 120 Semester Credit Hours   (50 Months)

7. Program: Human Services/Management
    Credential Awarded: Bachelor of Science
    Length of Program: 120 Semester Credit Hours   (50 Months)

8. Program: A+ Fundamentals
    Credential Awarded: Certificate of Completion
    Length of Program: 6 Semester Credit Hours   (3 Months)

9. Program: Cisco Networking
    Credential Awarded: Certificate of Completion
    Length of Program: 12 Semester Credit Hours   (5 Months)

10. Program: Network+ Technologies
    Credential Awarded: Certificate of Completion
    Length of Program: 12 Semester Credit Hours   (5 Months)

AA.   University of Phoenix   **Memphis, TN**

Corporate Structure: For-Profit Corporation
Authorization Date: January 13, 2002
Accreditation: Higher Learning Commission (HLC)
Title IV Funding: Yes
Highest Credential Offered: Doctoral Degree

University of Phoenix – Memphis is seeking authorization for ten new programs. The
programs will be offered in a residential format. Instruction will be provided by faculty
from their authorized site in Memphis, Tennessee.

1.   Program: Master of Information Systems
      Credential Awarded: Master of Information Systems
      Length of Program: 42 Semester Credit Hours   (21 Months)

2.   Program: Project Management
      Credential Awarded: Graduate Certificate
      Length of Program: 18 Semester Credit Hours   (50 Months)

3.   Program: Communication/Journalism
      Credential Awarded: Bachelor of Science
      Length of Program: 120 Semester Credit Hours   (50 Months)

4.   Program: Human Services/Addictions
      Credential Awarded: Bachelor of Science
      Length of Program: 120 Semester Credit Hours   (50 Months)

5.   Program: Human Services/Family and Child Services
      Credential Awarded: Bachelor of Science
      Length of Program: 120 Semester Credit Hours   (50 Months)

6.   Program: Human Services/Gerontology
      Credential Awarded: Bachelor of Science
      Length of Program: 120 Semester Credit Hours   (50 Months)

7.   Program: Human Services/Management
      Credential Awarded: Bachelor of Science
      Length of Program: 120 Semester Credit Hours   (50 Months)

8.   Program: A+ Fundamentals
      Credential Awarded: Certificate of Completion
      Length of Program: 6 Semester Credit Hours   (3 Months)

9.   Program: Cisco Networking
      Credential Awarded: Certificate of Completion
      Length of Program: 12 Semester Credit Hours   (5 Months)

10.   Program: Network+ Technologies
      Credential Awarded: Certificate of Completion
      Length of Program: 12 Semester Credit Hours   (5 Months)

BB.   University of Phoenix   Murfreesboro, TN

Corporate Structure: For-Profit Corporation
Authorization Date: November 19, 2009
Accreditation: Higher Learning Commission (HLC)
Title IV Funding: Yes
Highest Credential Offered: Doctoral Degree

University of Phoenix – Murfreesboro is seeking authorization to revise two previously approved programs and offer eight new programs. The programs will be offered in a residential format. Instruction will be provided by faculty from their authorized site in Murfreesboro, Tennessee.

1. Program: Master of Information Systems
    Credential Awarded: Master of Information Systems
    Length of Program: 42 Semester Credit Hours   (21 Months)

2. Program: Project Management
    Credential Awarded: Graduate Certificate
    Length of Program: 18 Semester Credit Hours   (50 Months)

3. Program: Communication/Journalism
    Credential Awarded: Bachelor of Science
    Length of Program: 120 Semester Credit Hours   (50 Months)

4. Program: Human Services/Addictions
    Credential Awarded: Bachelor of Science
    Length of Program: 120 Semester Credit Hours   (50 Months)

5. Program: Human Services/Family and Child Services
    Credential Awarded: Bachelor of Science
    Length of Program: 120 Semester Credit Hours   (50 Months)

6. Program: Human Services/Gerontology
    Credential Awarded: Bachelor of Science
    Length of Program: 120 Semester Credit Hours   (50 Months)

7. Program: Human Services/Management
    Credential Awarded: Bachelor of Science
    Length of Program: 120 Semester Credit Hours   (50 Months)

8. Program: A+ Fundamentals
    Credential Awarded: Certificate of Completion
    Length of Program: 6 Semester Credit Hours   (3 Months)

9. Program: Cisco Networking
    Credential Awarded: Certificate of Completion
    Length of Program: 12 Semester Credit Hours   (5 Months)

10. Program: Network+ Technologies
    Credential Awarded: Certificate of Completion
    Length of Program: 12 Semester Credit Hours   (5 Months)

CC. University of Phoenix **Nashville, TN**

Corporate Structure: For-Profit Corporation
Authorization Date: January 13, 2002
Accreditation: Higher Learning Commission (HLC)
Title IV Funding: Yes
Highest Credential Offered: Doctoral Degree

University of Phoenix – Nashville is seeking authorization to revise two previously approved programs and offer eight new programs. The programs will be offered in a residential format. Instruction will be provided by faculty from their authorized site in Nashville, Tennessee.

1.   Program: Master of Information Systems
        Credential Awarded: Master of Information Systems
        Length of Program: 42 Semester Credit Hours   (21 Months)

2.   Program: Project Management
        Credential Awarded: Graduate Certificate
        Length of Program: 18 Semester Credit Hours   (50 Months)

3.   Program: Communication/Journalism
        Credential Awarded: Bachelor of Science
        Length of Program: 120 Semester Credit Hours   (50 Months)

4.   Program: Human Services/Addictions
        Credential Awarded: Bachelor of Science
        Length of Program: 120 Semester Credit Hours   (50 Months)

5.   Program: Human Services/Family and Child Services
        Credential Awarded: Bachelor of Science
        Length of Program: 120 Semester Credit Hours   (50 Months)

6.   Program: Human Services/Gerontology
        Credential Awarded: Bachelor of Science
        Length of Program: 120 Semester Credit Hours   (50 Months)

7.   Program: Human Services/Management
        Credential Awarded: Bachelor of Science
        Length of Program: 120 Semester Credit Hours   (50 Months)

8.   Program: A+ Fundamentals
        Credential Awarded: Certificate of Completion
        Length of Program: 6 Semester Credit Hours   (3 Months)

9.   Program: Cisco Networking
        Credential Awarded: Certificate of Completion
        Length of Program: 12 Semester Credit Hours   (5 Months)

10. Program: Network+ Technologies
     Credential Awarded: Certificate of Completion
     Length of Program: 12 Semester Credit Hours   (5 Months)

DD.   University of Phoenix   **Phoenix, AZ**

Corporate Structure: For-Profit Corporation
Authorization Date: November 15, 2001
Accreditation: Higher Learning Commission (HLC)
Title IV Funding: Yes
Highest Credential Offered: Doctoral Degree

University of Phoenix – On-line is seeking authorization for ten new programs. The
programs will be offered in a distance learning format. The institution is recruitment
only, and all classes are available on-line.

1.   Program: Master of Information Systems
     Credential Awarded: Master of Information Systems
     Length of Program: 42 Semester Credit Hours   (21 Months)

2.   Program: Project Management
     Credential Awarded: Graduate Certificate
     Length of Program: 18 Semester Credit Hours   (50 Months)

3.   Program: Communication/Journalism
     Credential Awarded: Bachelor of Science
     Length of Program: 120 Semester Credit Hours   (50 Months)

4.   Program: Human Services/Addictions
     Credential Awarded: Bachelor of Science
     Length of Program: 120 Semester Credit Hours   (50 Months)

5.   Program: Human Services/Family and Child Services
     Credential Awarded: Bachelor of Science
     Length of Program: 120 Semester Credit Hours   (50 Months)

6.   Program: Human Services/Gerontology
     Credential Awarded: Bachelor of Science
     Length of Program: 120 Semester Credit Hours   (50 Months)

7.   Program: Human Services/Management
     Credential Awarded: Bachelor of Science
     Length of Program: 120 Semester Credit Hours   (50 Months)

8.   Program: A+ Fundamentals
     Credential Awarded: Certificate of Completion
     Length of Program: 6 Semester Credit Hours   (3 Months)

9.   Program: Cisco Networking
     Credential Awarded: Certificate of Completion
     Length of Program: 12 Semester Credit Hours   (5 Months)

10. Program: Network+ Technologies
     Credential Awarded: Certificate of Completion
     Length of Program: 12 Semester Credit Hours   (5 Months)

EE.   Victory University   **Memphis, TN**

Corporate Structure: For-Profit Corporation
Authorization Date: April 29, 2010
Accreditation: Southern Association of Colleges and Schools,
                            Commission on Colleges (SACSCOC)
Title IV Funding: Yes
Highest Credential Offered: Masters Degree

Victory University is seeking authorization for twelve new programs. The programs
will be offered in a blended format. Instruction will be provided by faculty from their
authorized site in Memphis, Tennessee, as well as on-line.

1.   Program: Business Risk Management
     Credential Awarded: Graduate Certificate
     Length of Program: 12 Semester Credit Hours (8 Months Full-Time)
                                                       (16 Months Part-Time)

2.   Program: Entrepreneurship
     Credential Awarded: Graduate Certificate
     Length of Program: 12 Semester Credit Hours (8 Months Full-Time)
                                                       (16 Months Part-Time)

3.   Program: Financial Risk Management
     Credential Awarded: Graduate Certificate
     Length of Program: 12 Semester Credit Hours (8 Months Full-Time)
                                                       (16 Months Part-Time)

4.   Program: Global Leadership
     Credential Awarded: Graduate Certificate
     Length of Program: 12 Semester Credit Hours (8 Months Full-Time)
                                                       (16 Months Part-Time)

5.   Program: Marketing Leadership
     Credential Awarded: Graduate Certificate
     Length of Program: 12 Semester Credit Hours (8 Months Full-Time)
                                                       (16 Months Part-Time)

6. Program: History/Military History
    Credential Awarded: Bachelor of Arts
    Length of Program: 120 Semester Credit Hours (40 Months Full-Time)
                                                     (80 Months Part-Time)

7. Program: Theatre
    Credential Awarded: Bachelor of Fine Arts
    Length of Program: 120 Semester Credit Hours (40 Months Full-Time)
                                                     (80 Months Part-Time)

8. Program: Chemistry
    Credential Awarded: Bachelor of Science
    Length of Program: 120 Semester Credit Hours (40 Months Full-Time)
                                                     (80 Months Part-Time)

9. Program: Chemistry/Biochemistry
    Credential Awarded: Bachelor of Science
    Length of Program: 120 Semester Credit Hours (40 Months Full-Time)
                                                     (80 Months Part-Time)

10. Program: Organizational Management/Logistics
    Credential Awarded: Bachelor of Science
    Length of Program: 120 Semester Credit Hours (40 Months Full-Time)
                                                     (80 Months Part-Time)

11. Program: Military Leadership
    Credential Awarded: Associate of Applied Science
    Length of Program: 64 Semester Credit Hours   (21 Months Full-Time)
                                                     (42 Months Part-Time)

12. Program: Software Engineering Technology
    Credential Awarded: Associate of Applied Science
    Length of Program: 64 Semester Credit Hours   (21 Months Full-Time)
                                                     (42 Months Part-Time)

FF. Virginia College School of Business and Health   Chattanooga, TN

Corporate Structure: Limited Liability Corporation
Authorization Date: July 27, 2006
Accreditation: Accrediting Council for Independent Colleges and
                            Schools (ACICS)
Title IV Funding: Yes
Highest Credential Offered: Bachelors Degree

Virginia College School of Business and Health - Chattanooga is seeking authorization for two new programs. The programs will be offered in a residential format. Instruction will be provided by faculty from their authorized site in Chattanooga, Tennessee.

1. Program: Culinary Arts
    Credential Awarded: Diploma
    Length of Program: 48 Quarter Credit Hours   (9 Months)

2. Program: Pastry Arts
    Credential Awarded: Diploma
    Length of Program: 48 Quarter Credit Hours   (9 Months))

At the time that the institution provides evidence sufficient to DPSA staff of possession and installation of the required program equipment and a successful site visit is conducted, the condition will be lifted without further Commission action and this program will receive Regular Authorization Status. At that time, the institution may begin to advertise this program, recruit and enroll students into the program.

GG.   Visible School of Music   **Memphis, TN**

Corporate Structure: Not-for-Profit Corporation
Authorization Date: January 30, 2003
Accreditation: Transnational Association of Christian Colleges and
                           Schools (TRACS)
Title IV Funding: Yes
Highest Credential Offered: Bachelors Degree

Visible Music College – Memphis is seeking authorization to revise three programs. The programs will be offered in a blended format. Instruction will be provided by the faculty from their authorized site in Memphis, Tennessee, as well as on-line.

1. Program: Modern Music Ministry
    Credential Awarded: Bachelors of Ministry
    Length of Program: 122 Semester Credit Hours   (36 Months Full-Time)

2. Program: Music Business Ministry
    Credential Awarded: Bachelors of Ministry
    Length of Program: 121 Semester Credit Hours   (36 Months Full-Time)

3. Program: Music Production Ministry
    Credential Awarded: Bachelor of Ministry
    Length of Program: 122 Semester Credit Hours   (36 Months Full-Time)

Agenda Item: I.C.

DATE: July 26, 2012

SUBJECT: July 1 Proposed Budgets, FY 2012-13

ACTION RECOMMENDED: Approval

BACKGROUND INFORMATION: The General Appropriations Act requires that the operating budgets of all higher education units be submitted by the respective governing boards to the Higher Education Commission. The budgets are to then be submitted, with the Commission's action and comments, to the Department of Finance and Administration for review and approval.

SUMMARY OF COMMENTS: The FY 2012-13 proposed operating budgets for higher education are balanced. Campuses have again directed the majority of their resources to the teaching functions. Expenditures for auxiliary enterprises have not exceeded revenues plus unallocated auxiliary fund balances. All higher education budget entities have submitted the required financial data to the Commission and are in compliance with all the budget guidelines and legislative directives.

Tuition and fees revenue accounted for 53.6 percent of overall revenue, while state appropriations accounted for 36.0 percent. The teaching functions – instruction, research, public service, and academic support – accounted for 63.2 percent of overall expenditures.

RECOMMENDATION: It is recommended that the Commission approve the FY 2012-13 July 1 budgets and the Executive Director transmit the approval of the FY 2012-13 July 1 budgets, along with the appropriate commentary, to the Commissioner of Finance and Administration.

Table 1
HIGHER EDUCATION
STATE APPROPRIATIONS
2012-13

Academic Formula Units	THEC FY 2012-13 Formula Calculation	Total FY 2011-12 Appropriation*	2012-13 Preliminary State Appropriations*	2012-13 Preliminary NR State Appr**	2012-13 Preliminary Total
TBR Universities					
Austin Peay	$51,042,000	$26,107,600	$28,573,800	$42,000	$28,615,800
East Tennessee	77,194,000	44,000,700	45,850,000	847,200	46,697,200
Middle Tennessee	132,983,500	73,423,800	77,319,400	125,600	77,445,000
Tennessee State	52,712,300	29,335,100	30,846,900	45,400	30,892,300
Tennessee Tech	64,860,900	35,086,300	37,228,700	70,300	37,299,000
University of Memphis	146,727,000	85,464,300	87,505,400	6,780,600	94,286,000
Subtotal	**$525,519,700**	**$293,417,800**	**$307,324,200**	**$7,911,100**	**$315,235,300**
Two-Year Colleges					
Chattanooga	$39,587,000	$19,970,200	$22,013,300	$39,700	$22,053,000
Cleveland	15,220,000	8,421,200	8,807,000	135,900	8,942,900
Columbia	19,812,700	11,121,800	11,516,300	15,200	11,531,500
Dyersburg	12,172,000	6,484,500	6,942,000	12,500	6,954,500
Jackson	19,247,000	10,518,500	11,084,400	17,600	11,102,000
Motlow	18,242,000	9,662,900	10,289,400	14,100	10,303,500
Nashville	24,871,000	13,794,900	14,483,000	21,700	14,504,700
Northeast	22,486,000	11,924,900	12,811,600	24,100	12,835,700
Pellissippi	37,141,000	18,692,600	20,633,200	32,700	20,665,900
Roane	25,800,000	14,750,900	15,167,600	752,400	15,920,000
Southwest	44,186,000	28,648,100	27,771,000	4,012,800	31,783,800
Volunteer	26,054,000	15,281,400	15,630,200	196,000	15,826,200
Walters	30,370,000	15,745,100	17,068,500	29,000	17,097,500
Subtotal	**$335,188,700**	**$185,017,000**	**$194,217,500**	**$5,303,700**	**$199,521,200**
UT Universities					
UT Chattanooga	$58,681,000	$33,294,400	$34,651,900	$84,500	$34,736,400
UT Knoxville	269,971,000	144,150,000	153,583,000	291,400	153,874,400
UT Martin	41,581,000	23,636,300	24,583,800	889,800	25,473,600
Subtotal	**$370,233,000**	**$201,080,700**	**$212,818,700**	**$1,265,700**	**$214,084,400**
Total Colleges and Universities	**$1,230,941,400**	**$679,515,500**	**$714,360,400**	**$14,480,500**	**$728,840,900**
Technology Centers	**$93,566,000**	**$52,260,300**	**$53,881,500**	**$790,600**	**$54,672,100**
Total Academic Formula Units	**$1,324,507,400**	**$731,775,800**	**$768,241,900**	**$15,271,100**	**$783,513,000**

*Recurring

**Non-recurring; includes $10m for Hold Harmless Adjustment, $4m to UM for Lambuth operations, and $1.6m for a 401k match

Table 1
HIGHER EDUCATION
STATE APPROPRIATIONS
2012-13

Specialized Units	THEC FY 2012-13 Formula Calculation	Total FY 2011-12 Appropriation*	2012-13 Preliminary State Appropriations*	2012-13 Preliminary NR State Appr**	2012-13 Preliminary Total
Medical Education					
ETSU College of Medicine	$59,209,000	$25,859,200	$27,367,200	$22,600	$27,389,800
ETSU Family Practice	6,866,000	5,322,000	5,737,500	5,900	5,743,400
UT College of Medicine	128,732,000	42,820,200	44,839,100	51,100	44,890,200
UT Family Practice	10,017,000	9,313,200	9,882,600	12,000	9,894,600
UT Memphis	142,118,000	63,089,600	67,101,000	71,500	67,172,500
UT College of Vet Medicine	23,387,000	14,416,600	15,417,900	24,400	15,442,300
Subtotal	$370,329,000	$160,820,800	$170,345,300	$187,500	$170,532,800
Research and Public Service					
UT Ag. Experiment Station	$65,137,000	$23,111,900	$24,345,800	$29,500	$24,375,300
UT Ag. Extension Service	40,749,000	27,825,100	29,430,300	42,200	29,472,500
TSU McMinnville Center	1,200,000	527,300	543,300	300	543,600
TSU Institute of Agr and Environmental Research	2,867,500	2,145,000	2,206,300	-	2,206,300
TSU Cooperative Extension	2,233,800	2,918,200	3,006,500	-	3,006,500
TSU McIntire-Stennis Forestry Research	179,600	170,600	174,100	-	174,100
UT Space Institute	22,110,000	7,276,600	7,593,200	7,700	7,600,900
UT Institute for Public Service	10,144,000	4,341,200	4,534,200	3,600	4,537,800
UT County Tech Asst. Service	2,384,000	1,521,800	1,650,200	3,000	1,653,200
UT Municipal Tech Adv. Service	3,370,000	2,554,300	2,738,000	4,000	2,742,000
Subtotal	$150,374,900	$72,392,000	$76,221,900	$90,300	$76,312,200
Other Specialized Units					
UT University-Wide Admn.	$5,637,000	$4,209,000	$4,509,000	$56,000	$4,565,000
TN Board of Regents Admn.	6,217,000	4,563,400	4,908,300	8,100	4,916,400
TN Student Assistance Corp.	55,019,300	48,579,200	55,168,100	-	55,168,100
Tennessee Student Assist. Awards	49,362,500	46,162,500	52,762,500	-	52,762,500
Tenn. Students Assist. Corporation	1,235,200	1,225,700	1,214,600	-	1,214,600
Loan/Scholarships Program	1,191,000	1,191,000	1,191,000	-	1,191,000
TN Higher Education Comm.	2,224,900	2,224,500	2,204,400	-	2,204,400
TN Foreign Language Institute	623,000	352,800	349,600	-	349,600
Contract Education	3,018,100	2,198,200	2,178,400	-	2,178,400
Subtotal	$69,508,700	$62,127,100	$69,317,800	$64,100	$69,381,900
Total Specialized Units	$590,212,600	$295,339,900	$315,885,000	$341,900	$316,226,900
Total Formula and Specialized Units	$1,914,720,000	$1,027,115,700	$1,084,126,900	$15,613,000	$1,099,739,900
Program Initiatives					
Campus Centers of Excellence	$30,738,400	$17,328,000	$17,538,300	$0	$17,538,300
Campus Centers of Emphasis	2,205,900	1,247,600	1,265,900	-	1,265,900
Ned McWherter Scholars Program	$401,800	401,800	401,800	-	$401,800
UT Access and Diversity Initiative	$6,181,900	5,600,600	5,550,100	-	$5,550,100
TBR Access and Diversity Initiative	10,919,100	9,892,900	9,803,700	-	9,803,700
THEC Grants	$3,633,600	2,339,200	2,318,100	-	$2,318,100
Research Initiatives - UT	10,000,000	5,645,200	5,594,300	-	5,594,300
Subtotal	$64,080,700	$42,455,300	$42,472,200	$0	$42,472,200
Total Operating	$1,978,800,700	$1,069,571,000	$1,126,599,100	$15,613,000	$1,142,212,100

*Recurring

**Non-recurring; includes $10m for Hold Harmless Adjustment, $4m to UM for Lambuth operations, and $1.6m for a 401k match

Table 2
Capital Projects
Legislative Action - FY 2012-13

Capital Outlay Projects

1	MTSU	Science Facilities Improvements	$	107,900,000
2	UTK	Strong Hall Addition and Renovation	$	75,250,000
3	UTHSC	Multidisciplinary Simulation Center	$	21,690,000
4	UTHSC	Buildings Demolition	$	4,000,000
5	Roane	Oak Ridge Allied Health Building	$	1,000,000
	Capital Outlay - Total		**$**	**209,840,000**

Capital Maintenance Projects

1	Statewide	ADA Compliance	$	400,000
2	UTC	Campus Safety & Security Improvements	$	3,700,000
3	UTK	Roof Repair and Replacement	$	4,000,000
4	UTM	Chiller Replacement	$	3,120,000
5	UTIA	West TN Greenhouse Improvements	$	975,000
6	UTK	Electrical Distribution Improvements Phase V	$	4,000,000
7	UTSI	Upgrade Water Distribution & Waste Water System	$	300,000
8	UTM	Reroof Several Buildings - Phase III	$	3,660,000
9	UTHSC	Alexander Building Phase II	$	3,000,000
10	UTC	Grote Hall - Roof Replacement	$	1,200,000
11	UTIA	Clyde Austin 4-H Center Improvements	$	4,500,000
12	UTK	Masonry Repairs - Phase V	$	3,000,000
	Capital Maintenance Subtotal - UT (12 Projects)		**$**	**31,855,000**

1	Statewide	ADA Compliance	$	400,000
2	Statewide	Management Support Services	$	500,000
3	Northeast	Gray Campus Roof Replacement	$	120,000
4	ETSU CoM	Kingsport Family Practice Roof Replacement	$	500,000
5	Walters	Library Roof Replacement	$	590,000
6	Dyersburg	Gibson County Center Roof Replacement	$	230,000
7	ETSU	Memorial Center Roof Replacement	$	4,000,000
8	Statewide	TTC Roof Replacements	$	2,370,000
9	MTSU	Water Sewer System Updates	$	510,000
10	UM	Psychology and Manning Hall HVAC	$	3,000,000
11	Chattanooga	Underground Piping Corrections	$	1,180,000
12	Jackson	Several Buildings Plumbing Corrections	$	390,000
13	Southwest	Macon Cove Mechanical Updates A	$	1,020,000
14	TSU	Hankle Hall HVAC	$	2,500,000
15	APSU	Library Mechanical Updates	$	2,300,000
16	Roane	Several Buildings HVAC	$	480,000
17	Nashville	Campus Security Updates	$	340,000
18	Volunteer	Mattox Building HVAC	$	500,000
19	Cleveland	HVAC Update	$	710,000
20	Columbia	Several Buildings Exterior Repair	$	300,000
21	Pellissippi	Campus Settlement Repairs	$	500,000
22	Motlow	Several Buildings Exterior Repairs	$	370,000
23	TTU	Several Buildings Upgrades A	$	2,900,000
24	MTSU	Murphy center HVAC	$	1,620,000
25	UM	Underground Utility Updates	$	3,000,000
26	MTSU	Underground Electrical Update	$	2,140,000
27	Statewide	TTC Chiller Replacements	$	610,000
28	UM	Elevator Modernization	$	2,000,000
29	Dyersburg	Maintenance Roof Replacement	$	130,000
30	TTU	Several Buildings Roof Replacements	$	700,000
31	MTSU	Walker Library Roof Replacement	$	1,050,000
32	TTU	Fire Alarm System Update	$	1,320,000
33	TSU	Electrical Distribution Updates	$	1,250,000
	Capital Maintenance Subtotal - TBR (33 Projects)		**$**	**39,530,000**
	Capital Maintenance - Total		**$**	**71,385,000**

Original THEC Recommendations			
Capital Outlay	3 Projects	$	204,850,000
Capital Maintenance	55 Projects	$	84,473,600

Original Governor Recommendations			
Capital Outlay	4 Projects	$	208,840,000
Capital Maintenance	45 Projects	$	71,385,000

Table 3
CAPITAL OUTLAY
FY 2012-13

THEC Priority	System Priority	Institution	Project Name	Previous State Funding	2012-13 Disclosed Institutional Match Funds	2012-13 Legislative Action	Governor's Recommendation	Future State Funding Required	Total Project Cost	2012-13 Cumulative State Appr.
1	1	MTSU	Science Facilities Improvements	$ 16,820,000	$ 18,750,000	$ 107,900,000	$ 107,900,000	$ -	$ 146,590,000	$ 107,900,000
2	1	UTK	Strong Hall Addition and Renovation	$ -	$ 18,750,000	$ 75,250,000	$ 75,250,000	$ -	$ 94,000,000	$ 183,150,000
3	2	UTHSC	Multidisciplinary Simulation Center	$ -	$ 2,410,000	$ 21,690,000	$ 21,690,000	$ -	$ 24,100,000	$ 204,840,000
Projects Funded that Were Not Part of THEC Recommendation										
		UTHSC	Buildings Demolition	$ -	$ -	$ 4,000,000	$ 4,000,000	$ -	$ 4,000,000	$ 208,840,000
		Roane	Oak Ridge Allied Health Building	$ 9,000,000	$ -	$ 1,000,000	$ -	$ -	$ 14,300,000	$ 209,840,000
Projects Approved for Planning Funds*										
		Nashville	Academic & Support Building	$ -	$ 1,100,000	$ -	$ -	$ 18,387,000	$ 19,487,000	$ 209,840,000
		Northeast	Technical Education Complex	$ -	$ 810,000	$ -	$ -	$ 31,680,000	$ 32,490,000	$ 209,840,000
		UM	Biochemistry and Biology Facility	$ -	$ 1,200,000	$ -	$ -	$ 24,712,500	$ 25,912,500	$ 209,840,000
		Volunteer	Humanities Building	$ -	$ 1,500,000	$ -	$ -	$ 26,352,000	$ 27,852,000	$ 209,840,000
		Columbia	Williamson County Center	$ -	$ 1,800,000	$ -	$ -	$ 32,607,000	$ 34,407,000	$ 209,840,000
		UTHSC	Classroom and Laboratory Building	$ -	$ 2,000,000	$ -	$ -	$ 40,500,000	$ 42,500,000	$ 209,840,000
		UTK	Science Lab Facility	$ -	$ 3,000,000	$ -	$ -	$ 71,250,000	$ 74,250,000	$ 209,840,000
		UTK	Academic and Instructional Center	$ -	$ 3,000,000	$ -	$ -	$ 40,500,000	$ 43,500,000	$ 209,840,000
			TOTAL CAPITAL OUTLAY PROJECTS FOR FY2012-13	$ 25,820,000	$ 54,320,000	$ 209,840,000	$ 208,840,000	$ 285,988,500	$ 583,388,500	

					Projects
TBR Total for 2012-13	$ 25,820,000	$ 25,160,000	$ 108,900,000	$ 107,900,000	52%	2
UT Total for 2012-13	$ -	$ 29,160,000	$ 100,940,000	$ 100,940,000	48%	3
	$ 25,820,000	$ 54,320,000	$ 209,840,000	$ 208,840,000		

*Planning projects represent institutional costs to plan future projects.

Table 4

CAPITAL MAINTENANCE
FY 2012-13

THE UNIVERSITY OF TENNESSEE

System Priority	Institution	Project	THEC Recommendation	Governor's Recommendation	Legislative Action
1	Statewide	ADA Compliance	$ -	$ 400,000	$ 400,000
2	UTC	Campus Safety & Security Improvements	$ 3,700,000	$ 3,700,000	$ 3,700,000
3	UTK	Roof Repair and Replacement	$ 4,000,000	$ 4,000,000	$ 4,000,000
4	UTM	Chiller Replacement	$ 3,115,000	$ 3,120,000	$ 3,120,000
5	UTIA	West TN Greenhouse Improvements	$ 975,000	$ 975,000	$ 975,000
6	UTK	Electrical Distribution Improvements Phase V	$ 4,000,000	$ 4,000,000	$ 4,000,000
7	UTSI	Upgrade Water Distribution & Waste Water System	$ 300,000	$ 300,000	$ 300,000
8	UTM	Reroof Several Buildings - Phase III	$ 3,656,100	$ 3,660,000	$ 3,660,000
9	UTHSC	Alexander Building Phase II	$ 3,000,000	$ 3,000,000	$ 3,000,000
10	UTC	Grote Hall - Roof Replacement	$ 1,200,000	$ 1,200,000	$ 1,200,000
11	UTIA	Clyde Austin 4-H Center Improvements	$ 4,471,700	$ 4,500,000	$ 4,500,000
12	UTK	Masonry Repairs - Phase V	$ 3,000,000	$ 3,000,000	$ 3,000,000
13	UTM	Building Envelope Upgrades - Four Buildings	$ 1,875,800		
14	UTC	CEP Chiller & Oak St. Distribution Extension	$ 4,000,000		
15	UTHSC	Johnson Building Upgrades	$ 2,000,000		
		FY 2012-13 Capital Maintenance Total for The University of Tennessee	**$ 39,293,600**	**$ 31,855,000**	**$ 31,855,000**

TENNESSEE BOARD OF REGENTS

System Priority	Institution	Project	THEC Recommendation	Governor's Recommendation	Legislative Action
1	Statewide	ADA Compliance	$ -	$ 400,000	$ 400,000
2	Statewide	Management Support Services	$ -	$ 500,000	$ 500,000
3	Northeast	Gray Campus Roof Replacement	$ 120,000	$ 120,000	$ 120,000
4	ETSU CoM	Kingsport Family Practice Roof Replacement	$ 500,000	$ 500,000	$ 500,000
5	Walters	Library Roof Replacement	$ 590,000	$ 590,000	$ 590,000
6	Dyersburg	Gibson County Center Roof Replacement	$ 230,000	$ 230,000	$ 230,000
7	ETSU	Memorial Center Roof Replacement	$ 4,000,000	$ 4,000,000	$ 4,000,000
8	Statewide	TTC Roof Replacements	$ 2,370,000	$ 2,370,000	$ 2,370,000
9	MTSU	Water Sewer System Updates	$ 510,000	$ 510,000	$ 510,000
10	UM	Psychology and Manning Hall HVAC	$ 3,000,000	$ 3,000,000	$ 3,000,000
11	Chattanooga	Underground Piping Corrections	$ 590,000	$ 1,180,000	$ 1,180,000
12	Jackson	Several Buildings Plumbing Corrections	$ 390,000	$ 390,000	$ 390,000
13	Southwest	Macon Cove Mechanical Updates A	$ 1,020,000	$ 1,020,000	$ 1,020,000
14	TSU	Hankle Hall HVAC	$ 2,500,000	$ 2,500,000	$ 2,500,000
15	APSU	Library Mechanical Updates	$ 1,600,000	$ 2,300,000	$ 2,300,000
16	Roane	Several Buildings HVAC	$ 480,000	$ 480,000	$ 480,000
17	Nashville	Campus Security Updates	$ 340,000	$ 340,000	$ 340,000
18	Volunteer	Mattox Building HVAC	$ 500,000	$ 500,000	$ 500,000
19	Cleveland	HVAC Update	$ 710,000	$ 710,000	$ 710,000
20	Columbia	Several Buildings Exterior Repair	$ 300,000	$ 300,000	$ 300,000
21	Pellissippi	Campus Settlement Repairs	$ 500,000	$ 500,000	$ 500,000
22	Motlow	Several Buildings Exterior Repairs	$ 370,000	$ 370,000	$ 370,000
23	TTU	Several Buildings Upgrades A	$ 2,900,000	$ 2,900,000	$ 2,900,000
24	MTSU	Murphy center HVAC	$ 1,620,000	$ 1,620,000	$ 1,620,000
25	UM	Underground Utility Updates	$ 3,000,000	$ 3,000,000	$ 3,000,000
26	MTSU	Underground Electrical Update	$ 2,140,000	$ 2,140,000	$ 2,140,000
27	Statewide	TTC Chiller Replacements	$ 610,000	$ 610,000	$ 610,000
28	UM	Elevator Modernization	$ 2,000,000	$ 2,000,000	$ 2,000,000
29	Dyersburg	Maintenance Roof Replacement	$ 130,000	$ 130,000	$ 130,000
30	TTU	Several Buildings Roof Replacements	$ 700,000	$ 700,000	$ 700,000
31	MTSU	Walker Library Roof Replacement	$ 1,050,000	$ 1,050,000	$ 1,050,000
32	APSU	Library Mechanical Updates	$ 700,000	Combined with TBR #15	Combined with TBR #15
33	TTU	Fire Alarm System Update	$ 1,320,000	$ 1,320,000	$ 1,320,000
34	TSU	Electrical Distribution Updates	$ 1,250,000	$ 1,250,000	$ 1,250,000
35	MTSU	Peck Hall HVAC	$ 860,000		$ -
36	ETSU	Campuswide Water Line Repairs	$ 1,000,000		$ -
37	Columbia	Warf Building HVAC	$ 380,000		$ -
38	Chattanooga	Underground Piping Corrections	$ 590,000	Combined with TBR #11	Combined with TBR #11
39	TTU	Craft Center Sewage Treatment Plant Replacement	$ 400,000		$ -
40	Columbia	Several Buildings Electrical Updates	$ 140,000		$ -
41	ETSU CoM	Clinical Education Building Electrical Updates	$ 750,000		$ -
42	Southwest	Union Campus Mechanical Systems Update	$ 1,020,000		$ -
43	UM	Building Code and Safety Updates	$ 2,000,000		$ -
		FY 2012-13 Capital Maintenance Total for the Tennessee Board of Regents	**$ 45,180,000**	**$ 39,530,000**	**$ 39,530,000**

	UT: 14 Projects Recommended by THEC. 12 Projects Recommended by Governor		**$ 39,293,600**	**$ 31,855,000**	**$ 31,855,000**
	TBR: 41 Projects Recommended by THEC. 33 Recommended by Governor		**$ 45,180,000**	**$ 39,530,000**	**$ 39,530,000**
	CAPITAL MAINTENANCE PROJECT TOTAL FOR FY 2012-13		**$ 84,473,600**	**$ 71,385,000**	**$ 71,385,000**

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Table 5

**SUMMARY OF UNRESTRICTED EDUCATIONAL AND GENERAL REVENUE DOLLARS AND PERCENT BY SOURCE
FOR THE TBR AND UT SYSTEMS, JULY 1 BUDGET 2012-13**

	APSU	ETSU	MTSU	TSU	TTU	UM	Total TBR Universities	Chattanooga	Cleveland
Tuition & Fees									
Dollar	$72,164,900	$107,260,900	$181,153,600	$74,667,500	$77,522,000	$178,222,600	$690,991,500	$34,515,200	$11,404,300
Percent	68.66%	63.79%	66.07%	66.61%	62.80%	55.01%	62.42%	60.41%	55.80%
State Appropriation									
Dollar	$28,615,800	$46,697,200	$76,955,500	$29,866,000	$36,598,100	$94,221,000	$312,953,600	$21,997,200	$8,878,800
Percent	27.23%	27.77%	28.07%	26.64%	29.65%	29.08%	28.27%	38.50%	43.44%
Sales & Service									
Dollar	$3,485,600	$6,683,200	$13,729,200	$4,405,000	$6,892,200	$24,329,600	$59,524,800	$337,000	$12,200
Percent	3.32%	3.97%	5.01%	3.93%	5.58%	7.51%	5.38%	0.59%	0.06%
Other Sources									
Dollar	$831,000	$7,504,800	$2,342,300	$3,157,100	$2,438,400	$27,190,200	$43,463,800	$280,900	$142,000
Percent	0.79%	4.46%	0.85%	2.82%	1.98%	8.39%	3.93%	0.49%	0.69%
Total Educ. & Gen.									
Dollar	$105,097,300	$168,146,100	$274,180,600	$112,095,600	$123,450,700	$323,963,400	$1,106,933,700	$57,130,300	$20,437,300
Percent	100.00%	100.00%	100.00%	100.00%	100.00%	100.00%	100.00%	100.00%	100.00%

	Columbia	Dyersburg	Jackson	Motlow	Nashville	Northeast	Pellissippi	Roane	Southwest
Tuition & Fees									
Dollar	$15,453,200	$10,486,500	$14,878,200	$13,472,900	$24,165,300	$19,120,600	$36,006,000	$20,969,500	$42,780,500
Percent	57.19%	59.75%	56.80%	56.19%	60.74%	58.49%	61.94%	56.11%	56.78%
State Appropriation									
Dollar	$11,299,000	$6,829,400	$10,855,400	$10,340,200	$14,566,000	$12,960,800	$20,882,800	$15,601,200	$31,258,600
Percent	41.81%	38.91%	41.44%	43.13%	36.61%	39.65%	35.93%	41.74%	41.48%
Sales & Service									
Dollar	$60,300	$8,300	$110,900	$0	$3,900	$0	$0	$16,200	$13,400
Percent	0.22%	0.05%	0.42%	0.00%	0.01%	0.00%	0.00%	0.04%	0.02%
Other Sources									
Dollar	$209,000	$226,500	$351,200	$162,900	$1,052,700	$606,200	$1,239,200	$786,500	$1,297,600
Percent	0.77%	1.29%	1.34%	0.68%	2.65%	1.85%	2.13%	2.10%	1.72%
Total Educ. & Gen.									
Dollar	$27,021,500	$17,550,700	$26,195,700	$23,976,000	$39,787,900	$32,687,600	$58,128,000	$37,373,400	$75,350,100
Percent	100.00%	100.00%	100.00%	100.00%	100.00%	100.00%	100.00%	100.00%	100.00%

Table 5 (cont.)

**SUMMARY OF UNRESTRICTED EDUCATIONAL AND GENERAL REVENUE DOLLARS AND PERCENT BY SOURCE
FOR THE TBR AND UT SYSTEMS, JULY 1 BUDGET 2012-13**

	Volunteer	Walters	Total Two-Year Institutions	Technology Centers	ETSU Medical School	ETSU Family Practice	ETSU College of Pharmacy	Tennessee Board of Regents	TSU McMinnville Center
Tuition & Fees									
Dollar	$23,773,700	$23,238,200	$290,264,100	$28,574,300	$8,900,900	$0	$9,826,100	$0	$0
Percent	59.21%	56.23%	58.39%	34.96%	16.64%	0.00%	95.19%	0.00%	0.00%
State Appropriation									
Dollar	$15,783,900	$17,097,500	$198,350,800	$50,236,900	$27,389,800	$5,743,400	$0	$10,299,300	$543,600
Percent	39.31%	41.37%	39.90%	61.46%	51.22%	40.45%	0.00%	43.58%	100.00%
Sales & Service									
Dollar	$20,100	$135,500	$717,800	$557,600	$15,547,800	$7,948,400	$0	$0	$0
Percent	0.05%	0.33%	0.14%	0.68%	29.07%	55.98%	0.00%	0.00%	0.00%
Other Sources									
Dollar	$573,100	$859,100	$7,786,900	$2,369,000	$1,637,300	$507,300	$496,700	$13,331,900	$0
Percent	1.43%	2.08%	1.57%	2.90%	3.06%	3.57%	4.81%	56.42%	0.00%
Total Educ. & Gen.									
Dollar	$40,150,800	$41,330,300	$497,119,600	$81,737,800	$53,475,800	$14,199,100	$10,322,800	$23,631,200	$543,600
Percent	100.00%	100.00%	100.00%	100.00%	100.00%	100.00%	100.00%	100.00%	100.00%

	TSU McIntire-Stennis	TSU Institute of Agr and Envir. Research	TSU Cooperative Education	Sub-Total TBR System	UTC	UTK	UTM	Total UT Formula Universities	UT Space Institute
Tuition & Fees									
Dollar	$0	$0	$0	$1,028,556,900	$80,078,077	$307,125,023	$56,063,897	$443,266,997	$2,037,648
Percent	0.00%	0.00%	0.00%	57.35%	65.57%	60.35%	64.70%	61.77%	19.17%
State Appropriation									
Dollar	$174,100	$2,206,300	$3,006,500	$610,904,300	$35,497,564	$156,240,800	$26,145,717	$217,884,081	$7,684,651
Percent	100.00%	100.00%	100.00%	34.06%	29.06%	30.70%	30.18%	30.36%	72.28%
Sales & Service									
Dollar	$0	$0	$0	$84,296,400	$4,146,604	$7,772,417	$2,608,263	$14,527,284	$145,000
Percent	0.00%	0.00%	0.00%	4.70%	3.40%	1.53%	3.01%	2.02%	1.36%
Other Sources									
Dollar	$0	$0	$0	$69,592,900	$2,412,107	$37,744,513	$1,828,877	$41,985,497	$764,481
Percent	0.00%	0.00%	0.00%	3.88%	1.97%	7.42%	2.11%	5.85%	7.19%
Total Educ. & Gen.									
Dollar	$174,100	$2,206,300	$3,006,500	$1,793,350,500	$122,134,352	$508,882,753	$86,646,754	$717,663,859	$10,631,780
Percent	100.00%	100.00%	100.00%	100.00%	100.00%	100.00%	100.00%	100.00%	100.00%

Table 5 (cont.)

SUMMARY OF UNRESTRICTED EDUCATIONAL AND GENERAL REVENUE DOLLARS AND PERCENT BY SOURCE
FOR THE TBR AND UT SYSTEMS, JULY 1 BUDGET 2012-13

	UT Memphis	UT College of Medicine	UT Family Medicine	Agricultural Experiment Station	Agricultural Extension Service	College of Veterinary Medicine	Institute for Public Service	MTAS	CTAS
Tuition & Fees									
Dollar	$45,388,247	$23,242,700	$0	$0	$0	$10,676,585	$0	$0	$0
Percent	32.80%	31.76%	0.00%	0.00%	0.00%	26.85%	0.00%	0.00%	0.00%
State Appropriation									
Dollar	$67,376,707	$44,845,300	$9,880,800	$24,462,723	$29,560,066	$15,719,622	$5,062,659	$2,738,469	$1,650,969
Percent	48.69%	61.28%	49.14%	65.65%	67.48%	39.54%	74.82%	48.07%	34.29%
Sales & Service									
Dollar	$7,675,115	$1,750,000	$9,455,930	$3,227,443	$4,371,316	$11,673,797	$0	$0	$0
Percent	5.55%	2.39%	47.02%	8.66%	9.98%	29.36%	0.00%	0.00%	0.00%
Other Sources									
Dollar	$17,930,578	$3,343,751	$772,440	$9,573,471	$9,871,164	$1,689,777	$1,703,619	$2,958,643	$3,163,730
Percent	12.96%	4.57%	3.84%	25.69%	22.54%	4.25%	25.18%	51.93%	65.71%
Total Educ. & Gen.									
Dollar	$138,370,647	$73,181,751	$20,109,170	$37,263,637	$43,802,546	$39,759,781	$6,766,278	$5,697,112	$4,814,699
Percent	100.00%	100.00%	100.00%	100.00%	100.00%	100.00%	100.00%	100.00%	100.00%

	UT University-Wide Admin.	Sub-Total UT System	July 1 Grand Total
Tuition & Fees			
Dollar	$0	$524,612,177	$1,553,169,077
Percent	0.00%	47.47%	53.58%
State Appropriation			
Dollar	$4,578,828	$431,444,875	$1,042,349,175
Percent	64.18%	39.04%	35.96%
Sales & Service			
Dollar	$0	$52,825,885	$137,122,285
Percent	0.00%	4.78%	4.73%
Other Sources			
Dollar	$2,555,000	$96,312,151	$165,905,051
Percent	35.82%	8.71%	5.72%
Total Educ. & Gen.			
Dollar	$7,133,828	$1,105,195,088	$2,898,545,588
Percent	100.00%	100.00%	100.00%

Table 6

SUMMARY OF PERCENT UNRESTRICTED EDUCATIONAL AND GENERAL EXPENDITURES
BY FUNCTIONAL AREA BY INSTITUTION FOR THE TBR AND UT SYSTEMS
July 1 Budget 2012-13

	APSU	ETSU	MTSU	TSU	TTU	UM	Total TBR Univ.	Chattanooga	Cleveland
Instruction									
Dollar	$50,648,900	$83,762,500	$133,417,100	$52,987,000	$54,941,500	$143,863,100	$519,620,100	$30,484,300	$9,770,300
Percent	49.50%	50.67%	51.57%	47.65%	46.98%	45.12%	48.41%	53.44%	46.83%
Research									
Dollar	$570,300	$2,607,800	$4,529,400	$1,421,000	$1,468,800	$12,425,600	$23,022,900	$0	$0
Percent	0.56%	1.58%	1.75%	1.28%	1.26%	3.90%	2.14%	0.00%	0.00%
Public Service									
Dollar	$281,400	$2,125,900	$3,585,200	$831,400	$2,025,600	$6,151,200	$15,000,700	$75,000	$195,400
Percent	0.28%	1.29%	1.39%	0.75%	1.73%	1.93%	1.40%	0.13%	0.94%
Academic Support									
Dollar	$7,327,800	$17,934,800	$23,105,200	$9,972,000	$9,611,600	$28,595,600	$96,547,000	$5,144,600	$1,366,800
Percent	7.16%	10.85%	8.93%	8.97%	8.22%	8.97%	8.99%	9.02%	6.55%
SubTotal									
Dollar	**$58,828,400**	**$106,431,000**	**$164,636,900**	**$65,211,400**	**$68,047,500**	**$191,035,500**	**$654,190,700**	**$35,703,900**	**$11,332,500**
Percent	**57.50%**	**64.38%**	**63.64%**	**58.64%**	**58.18%**	**59.91%**	**60.95%**	**62.59%**	**54.31%**
Student Services									
Dollar	$18,154,800	$20,226,400	$38,609,300	$17,135,400	$18,788,400	$56,295,100	$169,209,400	$6,742,200	$2,932,600
Percent	17.74%	12.24%	14.92%	15.41%	16.06%	17.65%	15.76%	11.82%	14.06%
Institutional Support									
Dollar	$10,746,500	$14,103,500	$23,234,300	$13,275,500	$11,334,200	$29,150,600	$101,844,600	$8,336,700	$3,795,800
Percent	10.50%	8.53%	8.98%	11.94%	9.69%	9.14%	9.49%	14.62%	18.19%
Operation & Maintenance									
Dollar	$9,189,700	$13,307,900	$20,118,700	$10,525,700	$11,484,100	$29,511,200	$94,137,300	$5,383,800	$2,191,800
Percent	8.98%	8.05%	7.78%	9.47%	9.82%	9.25%	8.77%	9.44%	10.50%
Scholarships & Fellowships									
Dollar	$5,397,100	$11,242,100	$12,094,000	$5,050,200	$7,303,400	$12,876,700	$53,963,500	$873,000	$612,200
Percent	5.27%	6.80%	4.68%	4.54%	6.24%	4.04%	5.03%	1.53%	2.93%
Total Educational & General Expenditures									
Dollar	$102,316,500	$165,310,900	$258,693,200	$111,198,200	$116,957,600	$318,869,100	$1,073,345,500	$57,039,600	$20,864,900
Percent	100.00%	100.00%	100.00%	100.00%	100.00%	100.00%	100.00%	100.00%	100.00%

Table 6 (cont.)

SUMMARY OF PERCENT UNRESTRICTED EDUCATIONAL AND GENERAL EXPENDITURES
BY FUNCTIONAL AREA BY INSTITUTION FOR THE TBR AND UT SYSTEMS
July 1 Budget 2012-13

	Columbia	Dyersburg	Jackson	Motlow	Nashville	Northeast	Pellissippi	Roane	Southwest
Instruction									
Dollar	$14,563,400	$9,354,200	$13,759,400	$11,814,300	$22,983,500	$16,703,700	$31,513,100	$19,507,200	$35,878,200
Percent	54.33%	54.01%	52.53%	49.63%	57.29%	49.00%	54.03%	53.44%	48.17%
Research									
Dollar	$0	$0	$0	$0	$0	$0	$0	$0	$0
Percent	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%
Public Service									
Dollar	$118,300	$47,500	$63,400	$108,000	$515,400	$183,900	$435,000	$497,900	$85,300
Percent	0.44%	0.27%	0.24%	0.45%	1.28%	0.54%	0.75%	1.36%	0.11%
Academic Support									
Dollar	$1,386,400	$861,400	$1,662,300	$2,068,700	$4,443,300	$3,727,300	$6,308,900	$2,044,400	$9,578,400
Percent	5.17%	4.97%	6.35%	8.69%	11.08%	10.93%	10.82%	5.60%	12.86%
SubTotal									
Dollar	**$16,068,100**	**$10,263,100**	**$15,485,100**	**$13,991,000**	**$27,942,200**	**$20,614,900**	**$38,257,000**	**$22,049,500**	**$45,541,900**
Percent	**59.94%**	**59.26%**	**59.12%**	**58.77%**	**69.65%**	**60.47%**	**65.59%**	**60.40%**	**61.15%**
Student Services									
Dollar	$3,682,700	$2,005,600	$2,767,800	$3,137,700	$3,117,400	$4,200,800	$6,114,700	$4,336,500	$8,760,700
Percent	13.74%	11.58%	10.57%	13.18%	7.77%	12.32%	10.48%	11.88%	11.76%
Institutional Support									
Dollar	$4,238,300	$2,946,700	$4,988,300	$3,827,800	$5,264,600	$4,833,800	$7,604,900	$5,141,500	$12,284,700
Percent	15.81%	17.01%	19.04%	16.08%	13.12%	14.18%	13.04%	14.08%	16.49%
Operation & Maintenance									
Dollar	$2,468,100	$1,791,900	$2,306,700	$2,346,200	$3,355,800	$4,205,400	$4,845,800	$4,215,700	$6,076,300
Percent	9.21%	10.35%	8.81%	9.86%	8.36%	12.34%	8.31%	11.55%	8.16%
Scholarships & Fellowships									
Dollar	$349,000	$311,400	$646,800	$501,900	$437,900	$234,400	$1,505,500	$762,900	$1,817,000
Percent	1.30%	1.80%	2.47%	2.11%	1.09%	0.69%	2.58%	2.09%	2.44%
Total Educational & General Expenditures									
Dollar	$26,806,200	$17,318,700	$26,194,700	$23,804,600	$40,117,900	$34,089,300	$58,327,900	$36,506,100	$74,480,600
Percent	100.00%	100.00%	100.00%	100.00%	100.00%	100.00%	100.00%	100.00%	100.00%

Table 6 (cont.)

SUMMARY OF PERCENT UNRESTRICTED EDUCATIONAL AND GENERAL EXPENDITURES
BY FUNCTIONAL AREA BY INSTITUTION FOR THE TBR AND UT SYSTEMS
July 1 Budget 2012-13

	Volunteer	Walters	Total Two-Year Schools	Total Technology Centers	ETSU College of Medicine	ETSU Family Practice	ETSU College of Pharmacy	TBR Admin.	TSU McMinnville Center
Instruction									
Dollar	$22,360,500	$22,454,600	$261,146,700	$50,128,200	$36,369,500	$9,843,100	$6,092,700	$0	$0
Percent	55.43%	53.91%	52.49%	59.77%	66.52%	70.83%	63.07%	0.00%	0.00%
Research									
Dollar	$0	$0	$0	$0	$2,874,000	$391,600	$894,200	$0	$541,800
Percent	0.00%	0.00%	0.00%	0.00%	5.26%	2.82%	9.26%	0.00%	100.00%
Public Service									
Dollar	$244,700	$510,900	$3,080,700	$500	$0	$0	$0	$0	$0
Percent	0.61%	1.23%	0.62%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%
Academic Support									
Dollar	$2,212,500	$2,638,700	$43,443,700	$105,100	$5,071,100	$2,034,300	$1,188,100	$0	$0
Percent	5.49%	6.33%	8.73%	0.13%	9.28%	14.64%	12.30%	0.00%	0.00%
SubTotal									
Dollar	**$24,817,700**	**$25,604,200**	**$307,671,100**	**$50,233,800**	**$44,314,600**	**$12,269,000**	**$8,175,000**	**$0**	**$541,800**
Percent	**61.53%**	**61.47%**	**61.84%**	**59.90%**	**81.05%**	**88.28%**	**84.62%**	**0.00%**	**100.00%**
Student Services									
Dollar	$5,068,500	$5,133,400	$58,000,600	$10,103,100	$1,322,600	$0	$482,300	$0	$0
Percent	12.57%	12.32%	11.66%	12.05%	2.42%	0.00%	4.99%	0.00%	0.00%
Institutional Support									
Dollar	$6,206,800	$4,792,300	$74,262,200	$13,342,000	$2,714,400	$1,338,200	$506,500	$20,791,400	$0
Percent	15.39%	11.50%	14.93%	15.91%	4.96%	9.63%	5.24%	97.86%	0.00%
Operation & Maintenance									
Dollar	$3,548,800	$5,323,300	$48,059,600	$9,550,800	$6,263,000	$290,000	$497,000	$444,600	$0
Percent	8.80%	12.78%	9.66%	11.39%	11.46%	2.09%	5.14%	2.09%	0.00%
Scholarships & Fellowships									
Dollar	$694,900	$801,400	$9,548,300	$634,100	$60,000	$0	$0	$10,000	$0
Percent	1.72%	1.92%	1.92%	0.76%	0.11%	0.00%	0.00%	0.05%	0.00%
Total Educational & General Expenditures									
Dollar	$40,336,700	$41,654,600	$497,541,800	$83,863,800	$54,674,600	$13,897,200	$9,660,800	$21,246,000	$541,800
Percent	100.00%	100.00%	100.00%	100.00%	100.00%	100.00%	100.00%	100.00%	100.00%

Table 6 (cont.)

SUMMARY OF PERCENT UNRESTRICTED EDUCATIONAL AND GENERAL EXPENDITURES
BY FUNCTIONAL AREA BY INSTITUTION FOR THE TBR AND UT SYSTEMS
July 1 Budget 2012-13

	TSU McIntire-Stennis Forestry Research	TSU Institute of Ag. and Env. Research	TSU Cooperative Education	Sub-Total TBR System	UTC	UTK	UTM	Total UT Univ.	UT Space Institute
Instruction									
Dollar	$0	$0	$0	$883,200,300	$52,804,590	$228,344,157	$40,313,278	$321,462,025	$5,448,682
Percent	0.00%	0.00%	0.00%	50.18%	43.28%	43.89%	46.49%	44.10%	51.65%
Research									
Dollar	$173,900	$2,214,300	$0	$30,112,700	$1,865,077	$20,073,223	$284,283	$22,222,583	$949,984
Percent	100.00%	100.00%	0.00%	1.71%	1.53%	3.86%	0.33%	3.05%	9.00%
Public Service									
Dollar	$0	$0	$3,004,700	$21,086,600	$2,187,189	$10,535,472	$545,376	$13,268,037	$0
Percent	0.00%	0.00%	100.00%	1.20%	1.79%	2.03%	0.63%	1.82%	0.00%
Academic Support									
Dollar	$0	$0	$0	$148,389,300	$8,146,335	$62,699,506	$10,472,369	$81,318,210	$282,230
Percent	0.00%	0.00%	0.00%	8.43%	6.68%	12.05%	12.08%	11.16%	2.68%
SubTotal									
Dollar	**$173,900**	**$2,214,300**	**$3,004,700**	**$1,082,788,900**	**$65,003,191**	**$321,652,358**	**$51,615,306**	**$438,270,855**	**$6,680,896**
Percent	**100.00%**	**100.00%**	**100.00%**	**61.52%**	**53.27%**	**61.83%**	**59.53%**	**60.12%**	**63.33%**
Student Services									
Dollar	$0	$0	$0	$239,118,000	$19,230,087	$41,426,919	$9,431,606	$70,088,612	$45,705
Percent	0.00%	0.00%	0.00%	13.58%	15.76%	7.96%	10.88%	9.62%	0.43%
Institutional Support									
Dollar	$0	$0	$0	$214,799,300	$12,007,855	$51,530,879	$6,949,301	$70,488,035	$1,928,027
Percent	0.00%	0.00%	0.00%	12.20%	9.84%	9.91%	8.01%	9.67%	18.27%
Operation & Maintenance									
Dollar	$0	$0	$0	$159,242,300	$15,602,839	$58,713,888	$11,152,103	$85,468,830	$1,754,939
Percent	0.00%	0.00%	0.00%	9.05%	12.79%	11.29%	12.86%	11.73%	16.63%
Scholarships & Fellowships									
Dollar	$0	$0	$0	$64,215,900	$10,172,890	$46,896,913	$7,558,322	$64,628,125	$140,513
Percent	0.00%	0.00%	0.00%	3.65%	8.34%	9.01%	8.72%	8.87%	1.33%
Total Educational & General Expenditures									
Dollar	$173,900	$2,214,300	$3,004,700	$1,760,164,400	$122,016,862	$520,220,957	$86,706,638	$728,944,457	$10,550,080
Percent	100.00%	100.00%	100.00%	100.00%	100.00%	100.00%	100.00%	100.00%	100.00%

Table 6 (cont.)

**SUMMARY OF PERCENT UNRESTRICTED EDUCATIONAL AND GENERAL EXPENDITURES
BY FUNCTIONAL AREA BY INSTITUTION FOR THE TBR AND UT SYSTEMS
July 1 Budget 2012-13**

	UT Memphis	UT College of Med	UT Family Medicine	UT Agri. Exp. Station	UT Ext. Service	UT College of Vet. Med	Institute for Pub. Service	MTAS	CTAS
Instruction									
Dollar	$47,284,057	$55,500,018	$18,951,960	$0	$0	$31,244,936	$0	$0	$0
Percent	33.22%	85.72%	94.71%	0.00%	0.00%	68.90%	0.00%	0.00%	0.00%
Research									
Dollar	$6,861,836	$872,452	$0	$33,718,003	$0	$5,162,293	$0	$0	$0
Percent	4.82%	1.35%	0.00%	90.68%	0.00%	11.38%	0.00%	0.00%	0.00%
Public Service									
Dollar	$25,000	$13,091	$0	$0	$41,990,706	$73,967	$4,905,210	$5,647,899	$4,848,486
Percent	0.02%	0.02%	0.00%	0.00%	96.15%	0.16%	76.88%	94.52%	99.16%
Academic Support									
Dollar	$28,453,334	$4,362,388	$0	$1,362,556	$757,468	$4,856,999	$0	$280,839	$0
Percent	19.99%	6.74%	0.00%	3.66%	1.73%	10.71%	0.00%	4.70%	0.00%
SubTotal									
Dollar	**$82,624,227**	**$60,747,949**	**$18,951,960**	**$35,080,559**	**$42,748,174**	**$41,338,195**	**$4,905,210**	**$5,928,738**	**$4,848,486**
Percent	**58.05%**	**93.83%**	**94.71%**	**94.34%**	**97.89%**	**91.16%**	**76.88%**	**99.22%**	**99.16%**
Student Services									
Dollar	$4,054,685	$741,628	$0	$0	$0	$0	$0	$0	$0
Percent	2.85%	1.15%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%
Institutional Support									
Dollar	$24,448,045	$1,336,998	$872,368	$1,661,648	$922,442	$908,519	$1,475,210	$46,747	$41,249
Percent	17.18%	2.07%	4.36%	4.47%	2.11%	2.00%	23.12%	0.78%	0.84%
Operation & Maintenance									
Dollar	$24,487,729	$0	$185,446	$442,030	$0	$3,100,970	$0	$0	$0
Percent	17.20%	0.00%	0.93%	1.19%	0.00%	6.84%	0.00%	0.00%	0.00%
Scholarships & Fellowships									
Dollar	$6,728,185	$1,916,910	$0	$0	$0	$0	$0	$0	$0
Percent	4.73%	2.96%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%
Total Educational & General Expenditures									
Dollar	$142,342,871	$64,743,485	$20,009,774	$37,184,237	$43,670,616	$45,347,684	$6,380,420	$5,975,485	$4,889,735
Percent	100.00%	100.00%	100.00%	100.00%	100.00%	100.00%	100.00%	100.00%	100.00%

Table 6 (cont.)

SUMMARY OF PERCENT UNRESTRICTED EDUCATIONAL AND GENERAL EXPENDITURES
BY FUNCTIONAL AREA BY INSTITUTION FOR THE TBR AND UT SYSTEMS
July 1 Budget 2012-13

	UT Univ.-Wide Admin.	Sub-Total UT System	July 1 GRAND TOTAL
Instruction			
Dollar	$0	$479,891,678	**$1,363,091,978**
Percent	0.00%	42.55%	**47.20%**
Research			
Dollar	$0	$69,787,151	**$99,899,851**
Percent	0.00%	6.19%	**3.46%**
Public Service			
Dollar	$0	$70,772,396	**$91,858,996**
Percent	0.00%	6.28%	**3.18%**
Academic Support			
Dollar	$0	$121,674,024	**$270,063,324**
Percent	0.00%	10.79%	**9.35%**
SubTotal			
Dollar	**$0**	**$742,125,249**	**$1,824,914,149**
Percent	**0.00%**	**65.80%**	**63.19%**
Student Services			
Dollar	$0	$74,930,630	**$314,048,630**
Percent	0.00%	6.64%	**10.87%**
Institutional Support			
Dollar	$17,744,523	$121,873,811	**$336,673,111**
Percent	100.00%	10.81%	**11.66%**
Operation & Maintenance			
Dollar	$0	$115,439,944	**$274,682,244**
Percent	0.00%	10.24%	**9.51%**
Scholarships & Fellowships			
Dollar	$0	$73,413,733	**$137,629,633**
Percent	0.00%	6.51%	**4.77%**
Total Educational & General Expenditures			
Dollar	$17,744,523	$1,127,783,367	**$2,887,947,767**
Percent	100.00%	100.00%	**100.00%**

Table 7

MANDATORY STUDENT FEE CHARGES
2011-12 & 2012-13

	2011-12			2012-13			Percent Increase		
	Total Mandatory Fees	Undergraduate Maintenance Fees	Total Undergraduate Resident	Total Mandatory Fees	Undergraduate Maintenance Fees	Total Undergraduate Resident	Total Mandatory Fees	Undergraduate Maintenance Fees	Total Undergraduate Resident
Austin Peay	$1,224	$5,466	$6,690	$1,224	$5,694	$6,918	0.0%	4.2%	3.4%
East Tennessee	$1,063	$5,466	$6,529	$1,075	$5,922	$6,997	1.1%	8.3%	7.2%
Middle Tennessee	$1,498	$5,520	$7,018	$1,594	$5,898	$7,492	6.4%	6.8%	6.8%
Tennessee State	$880	$5,466	$6,346	$930	$5,772	$6,702	5.7%	5.6%	5.6%
Tennessee Tech	$1,178	$5,520	$6,698	$1,200	$5,748	$6,948	1.9%	4.1%	3.7%
University of Memphis	$1,246	$6,450	$7,696	$1,256	$6,978	$8,234	0.8%	8.2%	7.0%
UT Chattanooga	$1,320	$5,398	$6,718	$1,490	$5,722	$7,212	12.9%	6.0%	7.3%
UT Knoxville	$1,172	$7,224	$8,396	$1,290	$7,802	$9,092	10.1%	8.0%	8.3%
UT Martin	$1,078	$5,640	$6,718	$1,103	$5,978	$7,081	2.3%	6.0%	5.4%
Chattanooga	$315	$3,252	$3,567	$335	$3,402	$3,737	6.3%	4.6%	4.8%
Cleveland	$269	$3,252	$3,521	$269	$3,402	$3,671	0.0%	4.6%	4.3%
Columbia	$271	$3,252	$3,523	$271	$3,402	$3,673	0.0%	4.6%	4.3%
Dyersburg	$281	$3,252	$3,533	$291	$3,402	$3,693	3.6%	4.6%	4.5%
Jackson	$277	$3,252	$3,529	$283	$3,402	$3,685	2.2%	4.6%	4.4%
Motlow	$276	$3,252	$3,528	$276	$3,402	$3,678	0.0%	4.6%	4.3%
Nashville	$225	$3,252	$3,477	$225	$3,402	$3,627	0.0%	4.6%	4.3%
Northeast	$281	$3,252	$3,533	$281	$3,402	$3,683	0.0%	4.6%	4.2%
Pellissippi	$317	$3,252	$3,569	$317	$3,402	$3,719	0.0%	4.6%	4.2%
Roane	$285	$3,252	$3,537	$283	$3,402	$3,685	-0.7%	4.6%	4.2%
Southwest	$295	$3,252	$3,547	$315	$3,402	$3,717	6.8%	4.6%	4.8%
Volunteer	$267	$3,252	$3,519	$267	$3,402	$3,669	0.0%	4.6%	4.3%
Walters	$279	$3,252	$3,531	$279	$3,402	$3,681	0.0%	4.6%	4.2%
Technology Centers	$200	$2,775	$2,975	$200	$2,946	$3,146	0.0%	6.2%	5.7%

Table 8

COMPARISON OF AUXILIARY ENTERPRISE REVENUES, EXPENDITURES AND TRANSFERS
FOR THE TBR AND UT SYSTEMS

	Estimated 2011-12			July 1 2012-13		
	Revenue	Expenditures/ Transfers	Difference	Revenue	Expenditures/ Transfers	Difference
Austin Peay	$9,229,100	$9,229,100	-	$9,687,300	$9,687,300	-
East Tennessee	18,791,980	$18,698,580	93,400	19,307,620	19,281,830	25,790
Middle Tennessee	38,947,005	38,947,005	-	31,779,820 *	31,779,820	-
Tennessee State	16,199,700 *	16,199,700	-	16,896,300 *	16,896,300	-
Tennessee Tech	14,327,720	14,327,720	-	14,482,130	14,482,130	-
University of Memphis	22,251,300	22,181,300	70,000	22,589,500	22,589,500	-
subtotal	**$119,746,805**	**$119,583,405**	**$163,400**	**$114,742,670**	**$114,716,880**	**$25,790**
Chattanooga	$1,140,000	$791,334	$348,666	$1,140,000	$768,554	$371,446
Cleveland	232,100	51,400	180,700	219,900	47,000	172,900
Columbia	315,000 *	315,000	-	315,000	315,000	-
Dyersburg	125,000	125,000	-	125,000	125,000	-
Jackson	225,000	-	225,000	225,000	-	225,000
Motlow	267,000	12,710	254,290	267,000	12,710	254,290
Nashville	272,600	19,900	252,700	268,300	19,900	248,400
Northeast	227,500	11,380	216,120	227,500	11,380	216,120
Pellissippi	700,000	700,000	-	700,000 *	700,000	-
Roane	345,300	314,295	31,005	347,800 *	347,800	-
Southwest	650,000	192,500	457,500	650,000	192,500	457,500
Volunteer	367,700	84,750	282,950	367,400	84,750	282,650
Walters	324,500 *	324,500	-	324,500 *	324,500	-
subtotal	**$5,191,700**	**$2,942,769**	**$2,248,931**	**$5,177,400**	**$2,949,094**	**$2,228,306**
UT Chattanooga	$7,608,329	$7,608,329	-	$8,413,431	$8,413,431	-
UT Knoxville	174,669,433	174,669,433	-	172,554,109	172,554,109	-
UT Martin	12,723,562	12,723,562	-	12,890,062	12,890,062	-
subtotal	**$195,001,324**	**$195,001,324**	**$0**	**$193,857,602**	**$193,857,602**	**$0**
UT Space Institute	$95,400	$95,400	-	$175,500	$175,500	-
UT Memphis	2,760,389	2,760,389	-	2,754,485	2,754,485	-
Technology Centers	4,437,500	4,332,300	105,200	4,437,300	3,762,100	675,200
subtotal	**$7,293,289**	**$7,188,089**	**$105,200**	**$7,367,285**	**$6,692,085**	**$675,200**
TOTAL	**$327,233,118**	**$324,715,587**	**$2,517,531**	**$321,144,957**	**$318,215,661**	**$2,929,296**

*Revenues include transfers from Fund Balance in order to balance out Auxiliary Enterprises

Table 9

Athletics Data
2011-12 & 2012-13

	2011-12 General Fund Support	Athletics General Fund as Percent of E&G	2011-12 Student Athletics Fee	2011-12 Athletics Fee Revenue	2011-12 Athletics Budget		2012-13 General Fund Support	Athletics General Fund as Percent of E&G	2012-13 Student Athletics Fee	2012-13 Athletics Fee Revenue	2012-13 Athletics Budget
APSU	$4,770,692	4.9%	$250	$2,105,300	$8,772,492	APSU	$4,830,100	4.7%	$250	$2,105,300	$8,831,900
ETSU	4,515,250	2.8%	250	3,650,000	9,805,510	ETSU	4,590,120	2.8%	250	3,650,000	9,861,520
MTSU	7,527,400	3.1%	350	8,277,500	21,753,200	MTSU	7,686,500	3.0%	350	8,277,500	21,611,740
TSU	4,887,200	4.8%	224	2,100,000	9,192,200	TSU	4,800,000	4.3%	224	2,100,000	9,105,000
TTU	4,908,700	4.6%	350	3,590,000	10,838,880	TTU	5,012,400	4.3%	400	4,081,500	10,702,790
UM	7,200,000	2.3%	450	8,690,000	41,770,387	UM	1,310,941	0.4%	450	8,990,000	36,181,328
UTC	5,018,593	4.5%	360	3,976,695	12,837,979	UTC	5,303,481	4.3%	480	4,942,633	13,988,805
UTM	5,023,019	6.1%	308	2,075,000	8,943,732	UTM	5,023,019	5.8%	308	2,075,000	8,943,732
UTK*	0	NA	0	1,000,000	103,250,000	UTK*	0	NA	0	1,000,000	100,900,000
Subtotal	$43,850,854			$35,464,495	$227,164,380	Subtotal	$38,556,561			$37,221,933	$220,126,815
Chattanooga	$714,648	1.3%	$0	$0	$1,085,457	Chattanooga	$709,248	1.2%	$0	$0	$1,007,248
Cleveland	575,857	2.8%	0	0	799,607	Cleveland	551,100	2.6%	0	0	778,350
Columbia	371,240	1.4%	0	0	752,740	Columbia	409,330	1.5%	0	0	672,730
Dyersburg	367,800	2.3%	0	0	461,500	Dyersburg	366,800	2.1%	0	0	463,300
Jackson	370,692	1.5%	0	0	480,892	Jackson	388,974	1.5%	0	0	499,174
Motlow	422,501	1.9%	0	0	676,501	Motlow	429,790	1.8%	0	0	676,790
Roane	519,140	1.5%	0	0	758,335	Roane	431,327	1.2%	0	0	676,722
Southwest	712,700	0.9%	0	0	963,100	Southwest	721,700	1.0%	0	0	972,100
Volunteer	708,470	1.8%	0	0	956,979	Volunteer	721,827	1.8%	0	0	970,339
Walters	716,900	1.8%	0	0	1,087,279	Walters	609,558	1.5%	0	0	979,937
Subtotal	$5,479,948				$8,022,390	Subtotal	$5,339,654				$7,696,690
Total	$49,330,802			$35,464,495	$235,186,770	Total	$43,896,215			$37,221,933	$227,823,505

*Athletics at UTK are self supporting.

Table 10
2012-13 Formula Needs Analysis

Preliminary FY 2012-13

Institution/Unit	Legislative* Appropriation	Hold-Harmless Adjustment**	Maintenance Fees	Technology Access Fee	Out-of-State Tuition	Total Revenue	Formula Estimated Total Need	Difference (Short)	Percent Funded	% Funded Without Hold Harmless Funds
Austin Peay	$ 28,573,800	$ -	$ 56,853,300	$ 2,426,000	$ 3,179,600	$ 91,032,700	$ 93,818,000	$ (2,785,300)	97.0%	97.0%
East Tennessee	45,850,000	755,800	81,261,730	3,165,000	11,005,460	142,037,990	148,038,000	(6,000,010)	95.9%	95.4%
Middle Tennessee	77,319,400	-	140,830,050	5,300,000	13,524,050	236,973,500	244,983,500	(8,010,000)	96.7%	96.7%
Tennessee State	30,846,900	-	49,131,000	1,855,000	18,000,000	99,832,900	103,314,300	(3,481,400)	96.6%	96.6%
Tennessee Tech	37,228,700	-	59,237,480	2,321,300	7,487,500	106,274,980	119,739,900	(13,464,920)	88.8%	88.8%
University of Memphis	87,505,400	2,697,400	141,897,676	4,262,700	10,319,438	246,682,614	277,916,000	(31,233,386)	88.8%	87.8%
Subtotal TBR Universities	$ 307,324,200	$ 3,453,200	$ 529,211,236	$ 19,330,000	$ 63,516,048	$ 922,834,684	$ 987,809,700	$ (64,975,016)	93.4%	93.1%
Chattanooga	$ 22,013,300	$ -	$ 28,500,000	$ 1,905,000	$ 720,000	$ 53,138,300	$ 59,548,000	$ (6,409,700)	89.2%	89.2%
Cleveland	8,807,000	123,400	9,822,300	722,000	192,600	19,667,300	22,899,000	(3,231,700)	85.9%	85.3%
Columbia	11,516,300	-	13,078,700	899,000	396,600	25,890,600	29,758,700	(3,868,100)	87.0%	87.0%
Dyersburg	6,942,000	-	8,699,400	766,600	110,500	16,518,500	18,282,000	(1,763,500)	90.4%	90.4%
Jackson	11,084,400	-	13,246,400	869,700	116,500	25,317,000	28,895,000	(3,578,000)	87.6%	87.6%
Motlow	10,289,400	-	11,761,100	800,000	224,500	23,075,000	27,449,000	(4,374,000)	84.1%	84.1%
Nashville	14,483,000	-	20,900,000	1,800,000	700,000	37,883,000	37,440,000	443,000	101.2%	101.2%
Northeast	12,811,600	-	17,132,180	1,186,300	53,700	31,183,780	33,748,000	(2,564,220)	92.4%	92.4%
Pellissippi	20,633,200	-	29,765,000	2,350,000	1,195,000	53,943,200	56,043,000	(2,099,800)	96.3%	96.3%
Roane	15,167,600	730,100	17,107,300	1,340,000	421,400	34,766,400	38,738,000	(3,971,600)	89.7%	87.9%
Southwest	27,771,000	3,969,700	35,524,600	3,251,000	1,393,000	71,909,300	66,559,000	5,350,300	108.0%	102.1%
Volunteer	15,630,200	168,300	20,307,000	1,388,300	428,500	37,922,300	39,195,000	(1,272,700)	96.8%	96.3%
Walters	17,068,500	-	19,179,300	1,322,500	435,100	38,005,400	45,666,000	(7,660,600)	83.2%	83.2%
Subtotal 2-Year Institutions	$ 194,217,500	$ 4,991,500	$ 245,023,280	$ 18,600,400	$ 6,387,400	$ 469,220,080	$ 504,220,700	$ (35,000,620)	93.1%	92.1%
UT Chattanooga	$ 34,651,900	$ -	$ 60,749,985	$ 1,530,203	$ 6,382,995	$ 103,315,083	$ 109,524,000	$ (6,208,917)	94.3%	94.3%
UT Knoxville	153,583,000	-	214,320,600	5,200,000	35,577,300	408,680,900	509,562,000	(100,881,100)	80.2%	80.2%
UT Martin	24,583,800	825,700	45,019,500	1,392,000	4,265,500	76,086,500	76,776,000	(689,500)	99.1%	98.0%
Subtotal UT Universities	$ 212,818,700	$ 825,700	$ 320,090,085	$ 8,122,203	$ 46,225,795	$ 588,082,483	$ 695,862,000	$ (107,779,517)	84.5%	84.4%
Technology Centers	$ 53,881,500	$ 729,600	$ 25,477,150	$ 1,906,000	$ -	$ 81,994,250	$ 116,956,995	$ (34,962,745)	70.1%	69.5%
Total Formula Units	$ 768,241,900	$ 10,000,000	$ 1,119,801,751	$ 47,958,603	$ 116,129,243	$ 2,062,131,497	$ 2,304,849,395	$ (242,717,898)	89.5%	89.0%

*Recurring funds only.
**Non-recurring funds facilitating the phase-out of the Hold Harmless provision.

Agenda Item: I.D.

DATE: July 26, 2012

SUBJECT: Presentation of the University of Tennessee at Chattanooga Master Plan

ACTION RECOMMENDED: Approval

The 2012 University of Tennessee at Chattanooga (UTC) Master Plan will be presented by Krisan Osterby from the architectural firm Perkins & Will. The UTC Master Plan provides a guide for expanding and renovating space at the main campus to support anticipated enrollment growth.

The UTC Master Plan uses the THEC Space Planning Guidelines to address the short, intermediate, and long-term needs of the campus with respect to building and land use, residential student life, open space, circulation and parking, transit and bicycle, utilities and land acquisition.

The Master Plan accounts for projected enrollment growth at the main campus over the next 15 years to range from 4,000 to 7,000 students. Current enrollment is approximately 11,000 students. UTC believes actual growth will be at the lower end of these estimates. The THEC Space Guidelines estimate a deficiency of office and study space, and research and service labs based on these enrollment projections.

The Master Plan identifies various campus-wide capital outlay projects and renovations in three phases. These projects include new building and open space construction, pathway and streetscape development, roadway improvements and utility maintenance. The Master Plan asserts that the University's long-term building needs exceed its current land holdings. New boundaries for possible land acquisitions are identified in the plan.

Over the three phases, the UTC Master Plan identifies 11 new academic/learning buildings, as well as athletic facilities, parking structures, residential buildings and additional projects to accommodate projected enrollment increases. These projects are estimated to cost $650 million, with state appropriations accounting for 44 percent of the total and other sources funding the remaining 56 percent. Phase One (short-term) projects are estimated to cost $317.1 million, Phase Two (intermediate-term) $207.2 million, and Phase Three (long-term) $125.6 million. The University also anticipates an additional $15 million to complete academic building upgrades over the first two phases of the master plan.

The UTC Campus Master Plan has been thoroughly reviewed and THEC staff recommend it for approval.

Agenda Item: I.E.

DATE: July 26, 2012

SUBJECT: New Academic Common Market Policy

ACTION RECOMMENDED: Approval

BACKGROUND INFORMATION: The SREB (SREB) Academic Common Market (ACM) is a tuition-savings program designed for postsecondary students who live in the 16 SREB member states. The ACM allows students to pay in-state tuition to study in a program not offered in their home state, provided they have been accepted into an approved degree program at a participating ACM institution.

THEC is responsible for certifying students as Tennessee residents for participation as well as facilitating student program nominations for new access to out-of-state programs. To date there is no comprehensive document outlining the policies and procedures surrounding student ACM certification or the program nomination process. Reconciling THEC rules, policies, and common practices, this document provides clear directions for each facet of the program in one location that is to be made available to the public. It is intended to clear up gray areas and offer consistency in the program's administration allowing for increased efficiency among staff and participants.

Changes in current practice are: defining primary residency proof; adding an appellate process for denied certifications; adding deadlines for each semester of certification as well as recertification; and clarifying protocol on incomplete applications.

Academic Common Market in Tennessee

Handbook of Policies and Procedures for ACM Participation

Table of Contents

Overview of the Program

The Academic Common Market (ACM) is a tuition-savings program designed for college students who live in the 16 Southern Regional Education Board (SREB) member states. The ACM allows students to pay in-state tuition to study in a program not offered in their home state, provided they have been accepted into an approved degree program at a participating ACM institution.

Program eligibility varies by state according to the specific agreements made with its colleges and universities. Each state maintains its own ACM coordinator who certifies student residencies for ACM participation and facilitates nominations for new programs. Only programs that culminate in a baccalaureate degree or higher are available for ACM participation in Tennessee.

For participation in the ACM, interested students must:

- Live in Tennessee;
- Select a program eligible for Tennessee residents through the SREB program search;
- Be admitted into the eligible program by a participating institution; and
- Be certified as a resident by the Tennessee ACM coordinator.

This handbook outlines the Tennessee Higher Education Commission's ACM policies and procedures. The following chapter details the Student Certification Process.

Student Certification Process

Tennessee students who wish to participate in the ACM must complete the student certification process. This process certifies the student as a Tennessee resident, and thereby eligible to participate in the ACM. Prior to applying for certification, students must have been admitted into an approved ACM program. Only after the student has received an official written notice of admission into an eligible program (not only the institution) from a participating institution may he or she apply for Tennessee residency certification.

Three documents constitute a completed application:

1) **Application for Tennessee residency** – Found on the Tennessee Higher Education Commission website through the following link: ACM Application
2) **Proof of admission into an eligible program** – An official, unconditional letter of acceptance from the college, stating the applicant's name, the institution of attendance, semester of anticipated enrollment, and the specific ACM-eligible program in which the applicant is enrolled.
3) **Proof of residency in Tennessee** – At least one piece of evidence from the criteria listed below (p. 4, "Proof of Residency").

Decisions will not be made on incomplete applications. All materials must arrive in their completed form in order to be processed by the ACM coordinator. Note that the Tennessee ACM coordinator does not certify residents of other states for ACM participation in Tennessee; this is the purview of the ACM coordinator of their home state. Below are detailed descriptions of what constitutes proof of admission and residency per the Tennessee Higher Education Commission's guidelines.

Proof of Admission

Proof of admission refers to verification of acceptance to or enrollment in an ACM-eligible baccalaureate or graduate-level program at a participating institution. Students must furnish their official, unconditional letter of acceptance to the program (not only the institution), stating the applicant's name, the institution of attendance, semester of anticipated enrollment, and the specific ACM-eligible program in which the applicant is enrolled. Official letters may be mailed to the Tennessee ACM coordinator. Alternatively, a **color copy** showing the institution letterhead and signature of the appropriate admissions officer or authority may be mailed as well.

Note that admission into some ACM-eligible programs is dependent upon additional institutional requirements. For example, some programs have minimum GPA requirements. The Tennessee Higher Education Commission defers to the institution for all decisions regarding admission into specific programs.

Primary Proof of Residency

For the purposes of ACM participation, Commission policy maintains that residency is established by being continuously domiciled in Tennessee over the previous **12 months**. College attendance does not apply to establishing or relinquishing residency status.

Per the rules of Tennessee Higher Education Commission, Chapter 1540-1- "Regulations for Classifying Persons as Tennessee Residents for the Purpose of Participating in Tennessee Higher Education Commission Programs,"

> If a person asserts that he or she has established domicile in this State, he or she has the burden of proving he or she has done so. Such a person is entitled to provide to the Commission any and all evidence which he or she believes will sustain his or her burden of proof. Said Commission will consider any and all evidence provided to it concerning such claim of domicile but will not treat any particular type or item of such evidence as conclusive evidence that domicile has or has not been established. Examples of such evidence include home ownership, marriage to a bona fide Tennessee resident, and possession of a Tennessee driver's license. (1540-1-1-.07)

Both independent and dependent students must furnish at least one piece of evidence of residency to the Tennessee ACM coordinator. The Tennessee Higher Education Commission considers primary proof of residency to be government issued documents or those from a bona fide educational institution such as the following provided they demonstrate 12 months of continuous domicile.

- Voter registration card
- Driver's license
- Proof of permanent military residence
- Vehicle registration
    - With issue date twelve months prior to the application deadline.
    - Address must match that provided in the application.
- Federal tax return
    - Address must match that provided in the application.
- W-2 form
    - Address must match that provided in the application.

For example, a driver's license must indicate that it **was issued twelve months prior to the application deadline**, not the expected date of enrollment in the program to which the student has been accepted.

Note that for the purposes of ACM residency, obtaining a voter registration card, driver's license, or vehicle registration in another state implies establishment of domicile in that state.

Dependent Students

For dependent students (also known as unemancipated students)**,** the following evidence may be considered proof of residency in addition to the examples above:

- **A current** high school transcript, demonstrating continuous enrollment in a Tennessee high school for the previous academic year
    - Must be the original document, showing school letterhead or watermark, and indicating the same home address provided in the application.
- Parent or guardian's federal tax return
    - Address must match that provided in the application.
    - Parents/guardians are welcome to block out all financial information so long as the student's name, address, and social security number are visible.

Dependent students are entitled to certain provisions as outlined in the Rules of Tennessee Higher Education Commission. They include:

- An unemancipated, currently enrolled student shall be reclassified out-of-state should his or her parent, having theretofore been domiciled in this State, remove from this State. However, such student shall be permitted to participate in Commission programs as if they were classified in-state so long as his or her enrollment at a public or private higher educational institution or institutions shall be continuous. (1540-1-1-.05)
- An unemancipated person whose parent is not domiciled in this State but whose parent is a member of the armed forces and stationed in this State or at Fort Campbell pursuant to military orders shall be classified out-of-state but shall be permitted to participate in Commission programs as if they were classified in-state. Such person's status, while in continuous attendance toward the degree for which he or she is currently enrolled, shall not be affected should his or her parent thereafter be transferred on military orders. (1540-1-1-.06)

Dependent applicants should note that it is only the **student's** domicile that counts toward residency in the state. For example, in the case that a student's parents maintain separate domiciles—one in Tennessee and one in another state—the student must live with the parent who is domiciled in Tennessee **for at least 12 months** in order to be eligible for ACM. In all cases determining the validity of dependents' residency status, the applicant's address must match that of the evidence provided of continuous domicile.

Additional Exceptions for Out-of-State Persons

- A person whose domicile is in a county of another state lying immediately adjacent to Montgomery County, or whose place of residence is within thirty (30) miles of Austin Peay State University shall be classified out-of-state but shall be permitted to participate in Commission programs as if they were classified in-state provided, however, that there be no teacher's college or normal school within the non-resident's own state, of equal distance to said non-resident's bona fide place of residence.

- Part-time students who are not domiciled in this State but who are employed full-time in the State, or who are stationed at Fort Campbell pursuant to military orders, shall be classified out-of-state but shall be permitted to participate in Commission programs as if they were classified in-state.
- Military personnel and their spouses stationed in the State of Tennessee who would be classified out-of-state in accordance with other provisions of these regulations will be classified out-of-state but shall be permitted to participate in Commission programs as if they were classified in-state. This provision shall not apply to military personnel and their spouses who are stationed in this State primarily for educational purposes.
- Persons from the counties of Fulton, Hickman, and Graves in the Commonwealth of Kentucky shall be permitted to participate in Commission programs as if they were classified in-state on the condition that Murray State University in Murray, Kentucky, continue to admit Tennessee residents from selected Tennessee counties to enroll at that institution without payment of out-of-state tuition, as is being done at this time.
- Persons whose bona fide place of residence is in Mississippi County, Arkansas, or either Dunklin County or Pemiscot County, Missouri, shall be permitted to participate in Commission programs as if they were classified in-state.
- Active-duty military personnel who begin a degree program while stationed in Tennessee or Ft. Campbell, Kentucky and are deployed or transferred prior to completion of their degree program may continue to enroll in the Tennessee institution and be classified as out-of-state residents, but shall be permitted to participate in Commission programs as if they were classified in-state residents. This classification remains in effect as long as he / she completes at least one (1) course for credit each twelve (12) month period after the transfer or deployment. Exceptions may be made in cases where the service member is deployed to an area of armed conflict for periods exceeding twelve (12) months.

In all cases listed above, proper documentation should be furnished to the ACM coordinator in lieu of evidence of Tennessee residency.

Supplemental Materials

Documents verified by a third party will **not** be considered primary proof of continuous Tennessee residence for ACM purposes, but may be provided as supplemental evidence. Supplemental materials may include:

- Pay stubs or letters from employers stating continuous employment.
- Utility bills or any materials documenting 12-month consecutive payment on a domicile.
- Executed lease agreements or any letters from landlords.

Submission of Application Materials

Completed applications are to be sent to:

Academic Common Market Coordinator
Tennessee Higher Education Commission
404 James Robertson Pkwy Suite 1900
Nashville, TN 37243

Decisions will not be made on partial applications; all materials must arrive in their completed form to the ACM coordinator before they will be processed. The ACM coordinator is not responsible for following up with students to ensure they have sent in all the required documents. This stipulation also refers to any additional steps required by the institution offering the program. For cases in which additional requirements must be met in order to qualify for admission, students are responsible for completing all steps **before** applying for certification with the Tennessee ACM coordinator. Additional queries and follow-ups may be directed to ACM.Coordinator@tn.gov.

Deadlines

The Tennessee Higher Education Commission observes the following dates as firm deadlines for receipt of student certification applications.

- Fall semester: May 30th
- Spring semester: November 15th
- Summer: April 30th
- Recertification: July 1st (see next chapter, "Continuing Certification," p. 8)

Completed applications must be received by the ACM coordinator by the deadline indicated. Receipt of an incomplete application does not mean automatic denial of certification; however, it is the responsibility of the student to ensure that all missing materials are provided by the deadline.

In the event that a student is denied certification, he or she may reapply up until the deadline for the semester of enrollment. Such a scenario might happen, for example, if a student was denied certification because he or she provided unacceptable evidence of Tennessee residency, and wishes to reapply with an alternative piece of evidence.

Continuing Certification

Once enrolled in an ACM program at an institution outside of the state, students must continue to meet all requirements established by the institution for retention in the specified program. This refers to departmental requirements, GPA minimums, disciplinary and academic standing with the university, and all other stipulations for which the student is held accountable. In all cases regarding student retention in an approved ACM program, institutional requirements take precedence over any complementary Commission policies. That is, the Commission will never act as arbiter over student disputes regarding retention in specified programs; all decisions regarding program retention shall remain the exclusive domain of the institution.

Continuing certification is also contingent on maintaining Tennessee residency throughout the student's participation in ACM. If at any time it comes to the attention of the Tennessee ACM coordinator that a student has either voluntarily or involuntarily forfeited residency in the state, the Commission will revoke ACM status effective immediately and notify the student in writing (see next chapter, "Coordinator Responsibilities," p. 9). Examples of voluntary forfeiture of residency include, but are not limited to, establishing residency in another state at the time of participation in ACM. By obtaining a driver's license, voter registration card, or vehicle registration in another state, it shall be inferred by the Commission that the student has relinquished Tennessee residency, and is therefore no longer eligible to receive ACM benefits. The ACM coordinator reserves the right to audit students at any time to ensure they are complying with Tennessee residency requirements.

Occasionally, institutions may request that students be recertified in order to continue receiving ACM benefits. The coordinator must notify the student in such a case using the appropriate letter found in the next chapter. ***In all cases, the Commission maintains the firm date of July 1st for receipt of all new materials requested for recertification.***

Competing Scholarships

The privileges of ACM participation in effect amount to a tuition waiver granting certified Tennessee students out-of-state tuition discounted to the in-state rate. By participating in the ACM and attending an institution outside of the state, students forfeit their eligibility for other scholarships administered in the state of Tennessee. The Tennessee Education Lottery Scholarship (TELS), for example, including all HOPE, GAMS, ASPIRE, and Access awards, does not follow the student out of state. Therefore, if students elect to receive ACM benefits, they do so at the risk of rendering themselves ineligible for any award with competing eligibility requirements as outlined per the specific provisions of that award. In all cases in which there may be conflicts with other scholarship provisions, ACM status does not exempt students from the requirements of those awards.

ACM participation, however, may or may not affect student eligibility for other awards either administered by the institution or a third party such as a foundation. It falls to the institution's discretion whether ACM status affects a student's ability to receive departmental or institutional grants. It is the responsibility of the student to investigate these policies before applying for ACM certification.

Program Nomination Process

In order to nominate a program, the student must fill out the Program Nomination Form available here: Program Nomination Form. The proposed out-of-state program must have a curriculum that is at least 51% different from an in-state program regardless of program name in order to be included in the ACM. The nominating student is required to review the THEC API and certify they believe no similar program exists in Tennessee. The API search is available here: THEC API Search. When it arrives via the "submit by e-mail" function to the acm.coordinator@tn.gov e-mail, the coordinator will document the program nomination and forward to both the UT and TBR systems for institutional review. Each system will distribute the form to campuses and report back to the ACM coordinator if there are any objections. This process is allotted three months for completion.

If an institution objects to the program nomination, the coordinator will notify the student and include the comparable in-state program for their information. The nominating student has the option to seek further review of this decision. The process requires the student compare the curriculums of each school (the in-state program the institution objected on behalf of and the out-of-state program nominated) class by class to prove 51% difference. The student will be given ten (10) business days to provide this documentation. Upon receipt, the ACM coordinator will forward this information to the objecting institution as well as the system coordinator for review. The institution will then respond with their decision to the ACM coordinator who will notify the student of the final decision.

If both systems approve the program nomination, the ACM coordinator will request access to the program through SREB. When the ACM coordinator receives a response from the out-of-state institution regarding the request for access, the student will be informed of the program nomination result. If the out-of-state institution denies the request for access, no further action can be taken.

When a new program is added to the ACM for Tennessee residents, SREB will automatically update the public website (SREB ACM Programs) to reflect the changes. Once the out-of-state institution confirms Tennessee ACM access to the specified program, the program is available to all students for the next semester.

Certification Appellate Process

Any student who is denied residency certification or continued certification may appeal that determination. A request for appeal must be filed in writing and sent to the attention of the ACM State Coordinator. The request must be received at least ten (10) business days from the date the certification was mailed or otherwise transmitted to the student. Requests may be received through hand delivery, mail, electronic mail or facsimile. The request must be signed, list each instance where the ACM State Coordinator erred, and provide a detailed argument for each alleged error, including references to specific statutes and/or rules. If these requirements are not met, your request may be denied.

Any request for appeal shall be forwarded to and considered by the Executive Director of the Tennessee Higher Education Commission. If the student is not satisfied with the determination of the Executive Director, the student may request that the Tennessee Higher Education Commission make a final determination after hearing and fully considering the merits of the appeal. Unless circumstances dictate a shorter time period, the student shall be afforded at least ten (10) business days within which to file a written statement with supporting documentation explaining the alleged error. No opportunity for oral argument will be provided. A student may be advised and represented at the student's own expense by counsel or, unless prohibited by any provision of law, other representative.

Any request for appeal shall be sent to the following address:

ACM Coordinator
404 James Roberston Parkway
Suite 1900
Nashville, TN 37243
or
ACM.Coordinator@tn.gov

Appendices

A. Application for Residency Certification

B. Participating Tennessee Institutions

C. Current Out-of-State Institutional Restrictions

D. Useful Links

Application for Residency Certification

PROGRAM ADMISSION

Please attach a copy of an official unconditional admission letter stating the applicant's name, the institution of attendance and the specific ACM program or major in which the applicant is enrolled.

RESIDENCY

Assuming all other criteria are met, please attach a copy of a voter registration card (issued 12 months ago or more), a driver license (issued 12 months ago or more), or proof of permanent military residence.

A resident is one who has lived continuously in Tennessee for at least 12 months and whose domicile is in Tennessee. A domicile is a true, fixed, permanent home or habitation. It is the place where the resident intends to remain and to which he or she expects to return.

Dependent student: under age 24, claimed as a dependent on a parent's/ guardian's income tax forms.

Independent student: over age 24, not claimed as a dependent on anyone's income tax form; a graduate student.

Armed forces: a member, a spouse or dependent of a member of the U.S. Armed Forces.

*Please note that you cannot establish TN as a domicile while enrolled full time in a TN postsecondary institution.

SREB's Academic Common Market
Uncommon opportunities. Real savings.

APPLICANT INFORMATION

Last Name	First		Middle
Street Address			
City	State	ZIP	Birthdate
County			
Phone	Social Security Number		
E-mail Address			

ACADEMIC COMMON MARKET PROGRAM INFORMATION

Institution		State
Degree (e.g. BA)	Major / Program Concentration	

Requesting certification starting semester   Fall   Spring   Summer   20____

Have you been fully admitted to the major without conditions? YES NO | Will you enroll fulltime? YES NO

EDUCATION HISTORY

High School		Address	
From	To	Date of graduation	
College		Address	
From	To	Date of graduation	Degree
Other		Address	
From	To	Date of graduation	Degree

TENNESSEE RESIDENCY

☐ Dependent Student | ☐ Independent Student | ☐ Armed Forces

List all primary places of residence during the past 12 months
Address   From   To

When did you begin to reside in Tennessee?

Are all necessary supporting documents enclosed (incomplete applications will not be processed)?

SIGNATURE

I do solemnly declare and affirm under penalties of perjury that the information I provided in this application is true and accurate; and that all supporting documents attached hereto are true and complete copies of the original documents.

Applicant Signature		Date
Certification Officer Signature	Approved / Denied	Date

Participating Tennessee Institutions

In Tennessee, ten public postsecondary institutions participate in the Academic Common Market.

- [Austin Peay State University](#)
- [East Tennessee State University](#)
- [Middle Tennessee State University](#)
- [Tennessee State University](#)
- [Tennessee Technological University](#)
- [University of Memphis](#)
- [University of Tennessee Chattanooga](#)
- [University of Tennessee Health Science Center](#)
- [University of Tennessee Knoxville](#)
- [University of Tennessee Martin](#)

Current Out-of-State Institutional Restrictions

The following is a non-exhaustive list of current restrictions on ACM participation by state and institution as of April 3, 2012. It is the responsibility of the student to inform him/herself of the restrictions for the specific institution and program to which he/she has applied.

ALABAMA
• **Auburn University** limits ACM access to undergraduate programs. Only 25 new ACM participants are accepted each year; and students must be at the junior or senior level to participate in the ACM. Auburn selects applicants on a competitive basis. The deadline is March 15. Applicants should first contact the institutional coordinator's office prior to contacting state coordinator.
• The **University of Alabama** limits ACM access to the programs that are currently listed in the ACM inventory. You must complete a separate application for University of Alabama, meeting these conditions for participating in approved ACM programs (UA limits ACM access to the programs that are currently listed in the ACM inventory.):
(1) For first-time ACM certification, an undergraduate student must have at least a 25 ACT composite score or 1130 SAT composite score (math and verbal only) and at least a 3.00 overall high school GPA.
(2) For continuing certification after the first semester of ACM support, each UA ACM student must maintain at least a 3.00 overall GPA on all UA course work attempted. This GPA standard is in addition to the long-standing requirements for maintaining residency and major.

DELAWARE
• The University of Delaware limits ACM access to the programs that are currently listed in the ACM inventory.

FLORIDA
• The state of Florida limits ACM access to graduate-level programs only.

GEORGIA
• The **Georgia Institute of Technology** limits ACM access to programs that are currently listed in the ACM inventory. The last semester that Georgia Tech will take undergraduate students through ACM is spring semester 2011. Beginning summer semester 2011, students are welcome to participate at the graduate level only.
• **Georgia State University** limits ACM access to graduate-level programs only.
• **The University of Georgia** grants ACM access after students have completed at least 60 college credit hours and been formally admitted into the ACM degree program. ACM eligibility at UGA is limited to four academic semesters for undergraduate students.

MARYLAND
• Maryland is not processing requests for the addition of new programs to the ACM inventory for its residents. Out of state students may continue to request access to Maryland's programs.
• The **University of Maryland, Eastern Shore** does not participate in the ACM.

MISSISSIPPI
• The state of Mississippi limits the eligibility of Mississippi residents participating in the Academic ACM program to those enrolled in degree programs on a full-time basis. Full-time enrollment status will be based on the host institution's definition of full-time enrollment.
• The **University of Mississippi** limits ACM access to the programs that are currently listed in the ACM inventory.
• The **University of Southern Mississippi** limits ACM access to the programs that are currently listed in the ACM inventory.

NORTH CAROLINA
• The state of North Carolina has suspended its participation in the ACM for both out-of-state residents coming to North Carolina and in-state students leaving for alternate ACM programs.

OKLAHOMA
• The **University of Oklahoma** limits ACM access to the programs that are currently listed in the ACM inventory and will not be opening any additional programs into the ACM.

SOUTH CAROLINA
• The state of South Carolina limits the eligibility of South Carolina residents participating in the Academic ACM program to those enrolled in degree programs on a full-time basis. Full-time enrollment status will be based on the host institution's definition of full-time enrollment.
• **The Citadel, College of Charleston, Francis Marion University** and the **University of South Carolina-Beaufort** do not participate in the ACM.
• **Clemson University** limits ACM access to graduate-level programs only.
• **Coastal Carolina University** will not add the BSBA in Marketing (Professional Golf Management) to the ACM during 2008-09 Academic Year.
• The state of South Carolina does not include "mode of delivery" as a determinant of program eligibility for state residents.

TEXAS
• The state of Texas limits ACM access to residential graduate-level programs only.
• The **University of Texas at Austin** does not participate in the ACM.

VIRGINIA
• Virginia is not processing requests for the addition of new programs to the ACM inventory for its residents. Out of state students may continue to request access to Virginia's programs.
• The **College of William and Mary** limits ACM access to graduate programs.

• Students studying at **Virginia Polytechnic Institute and State University** may enroll in the engineering programs with ACM benefit only after the general courses in engineering have been completed.
• **James Madison University, Mary Washington College, University of Virginia** and **Virginia Commonwealth University** do not participate in the ACM.

WEST VIRGINIA
• Students studying at the **West Virginia University Institute of Technology** must have completed the associate's degree in Printing Technology before enrolling in the bachelor's degree in Printing Management through the ACM.

Useful Links

SREB Program Search: http://home.sreb.org/acm/choosestate.aspx

THEC API: http://thec.ppr.tn.gov/THECSIS/Research/Research.aspx

THEC ACM for TN Residents:
http://www.tn.gov/thec/Divisions/AcademicAffairs/ACM/tn_residents.html

THEC ACM Residency Certification Application:
http://www.tn.gov/thec/Divisions/AcademicAffairs/ACM/acm_pdf/ACM%20Residency%20Application.pdf

THEC ACM Program Nomination Form:
http://www.tn.gov/thec/Divisions/AcademicAffairs/ACM/acm_pdf/2010/ACM%20Program%20Nomination%20Form.pdf

Agenda Item: I.F.

DATE: July 26, 2012

SUBJECT: Election of Officers

ACTION RECOMMENDED: Approval

BACKGROUND INFORMATION: The Commission will elect the 2012-13 officers. Article V of the Commission's rules of procedure provides:

The Commission officers shall consist of a chair, two vice-chairs, and a secretary, each elected by the Commission members from among their own number. The office of secretary may be combined with that of vice-chair. Officers shall be elected at the summer Commission meeting, and the term of office of all officers shall be one year or until their successors are elected; and their duties shall be those ordinarily performed by such officers.

No member shall serve as chair in excess of three successive one-year terms. Each vice-chair shall reside in one of the grand divisions of the state in which the chair and other vice-chair do not reside.

The current Commission officers are:

> Mr. Robert White – Chairman
> Mr. Cato Johnson – Vice Chairman
> Mr. Charles Mann– Vice Chairman
> Mayor A C Wharton – Secretary

Agenda Item: I.G.

DATE: July 26, 2012

SUBJECT: New Academic Policy: A5.0 Dual Admissions

ACTION RECOMMENDED: Approval

BACKGROUND INFORMATION: Tennessee Code Annotated §49-7-202 as amended by Public Chapter 3, Acts of 2010 (1st Extraordinary Session) requires that "the commission, in consultation with the board of regents and the University of Tennessee board of trustees, shall develop policies under which any person who satisfies the admissions requirements of any two-year institutions governed by the board of regents and any four-year institution governed by the board of regents or the University of Tennessee board of trustees may be admitted to both such institutions."

While Commission staff worked closely with TBR and UT academic staff to develop a protocol for developing dual admissions agreements and policies, a formal policy has not been adopted. In a recent audit of the Commission, UT and TBR on the status of implementation of the Complete College Tennessee Act, the Comptroller's Division of State Audit determined that the Commission had not complied with the intent of the legislation. This policy is being brought to address that finding.

Section Title: Academic Policies

Policy Title: Dual Admissions

Policy Number: A5.0

5.0.10   **Scope and Purpose.** In accordance with Tennessee Code Annotated §
            49-7-202 as amended by Public Chapter 3, Acts of 2010 (1st
            Extraordinary Session) it is the policy of the Tennessee Higher Education
            Commission that the two systems will introduce system dual admission
            policies under which any person who satisfies the admissions
            requirements of any two-year institutions governed by the board of
            regents and any four-year institution governed by the board of regents or
            the University of Tennessee board of trustees may be admitted to both
            such institutions. Admission to the four-year institution does not
            guarantee admission into a particular program.

            To facilitate the process, the two systems are encouraged to utilize the
            Dual Admissions Partnership Agreement template (Attachment A).

Approved: July 26, 2012

Dual Admissions Program

_____University

and

Tennessee Board of Regents Community Colleges

Overview

The Dual Admission Program (DAP) between community colleges in the Tennessee Board of Regents System (TBR) and universities in the Tennessee Board of Regents System offers students a structured, guaranteed pathway for attaining a Bachelor's degree. The goal of this agreement is a seamless transition by providing one curriculum plan for earning the associate and bachelor's degrees. The purpose of Dual Admission is to ease the transfer process and foster students' academic success. The advantage of a dual admission agreement as opposed to an articulation agreement is that students have direct contact with both community college and university faculty and staff throughout the program. In addition, the dual admission program provides enhanced advisement and transition support services.

Eligible to Apply for Dual Admission

A student must meet the following conditions to be eligible:

- planning to pursue a Bachelor's Degree
- meets current admission criteria for a TBR community college
- Earned 29 or fewer college-level semester hours and a cumulative GPA of 2.0; cumulative 2.75 GPA for the A.S.T. Degree
- enters a community college under the 2004 or later catalog (the common general education core curriculum was implemented in fall 2004)

Students' Responsibilities

TBR community college students who fulfill the requirements of the DAP are guaranteed acceptance to _____University.

- Students must apply and be admitted to a TBR community college.
- Students must complete an application for Dual Admission and a non-binding Intent-to-Enroll form.
- Students must earn an A.S., A.A. or A.S.T. degree from a TBR community college. Students must maintain a 2.0 cumulative GPA (2.75 for the A.S.T. Degree) to be in good standing in the Dual Admission program.

- All required high school courses must be completed prior to transition to _____ University.
- Any courses required for the major not completed at the community college must be completed at the public university to progress into the major.
- Students must complete the community college Intent to Graduate form.
- Students who attend another institution between the time they graduate from a TBR community college and enroll at _____ University must re-apply as a transfer student.
- Students must re-apply to the Dual Admission Program if there is a break of more than a year in their attendance at the community college.
- Students must complete financial aid paperwork for _____ University prior to enrolling.

Institutions' Responsibilities

- A TBR community college will provide _____ University with a copy of the DAP application, community college application and high school transcript
- Both the TBR community college and _____ University will track Dual Admission students in their student information systems.
- Both the TBR community college and _____ University will assign each student to an advisor from each campus.
- Each institution will develop a web site specifically for the Dual Admission Program.
- At the end of each semester, the TBR community college will provide _____ University a transcript for each participant in the DAP, and student work at the TBR community college will be posted as transfer credit in the student information system at the receiving university.
- Each institution will establish a primary and secondary campus contact.
- A TBR community college will accept any appropriate credit taken at _____ University by the student towards the associate degree.

Terms of Ineligibility

- A student may participate in only one Dual Admission Program at a time.
- Failure to complete the Associate degree at the TBR community college where the student attends.
- Failure to adhere to the provisions of the DAP.
- A written withdrawal from the program in writing to the TBR community college where the student attends.

Advantages for the Participating Institutions

- TBR community colleges can attract highly motivated students who plan to attend a TBR university after they earn their associates degrees.
- With increased ease of transitioning between institutions, institutions will achieve a higher transfer rate.

- An improved community college transfer rate will lead to increased baccalaureate attainment.
- TBR community college students will be encouraged to pursue a bachelor's degree after completing an associate's degree, which will increase students' educational attainment levels.
- TBR community colleges can track educational achievement of heir graduates.
- TBR community colleges and universities can enjoy joint recruitment in area high schools.
- Students will arrive at TBR universities better prepared for upper division courses in their major fields of study.
- Universities in the Tennessee Board of Regents System will have a qualified and identified source of students that require minimal recruitment effort.

Advantages for TBR Community College Students

- Students are guaranteed acceptance to a TBR university rather than to a particular college or major. Admission to a major requires following the requirements designated by that major.
- Students can save money by enrolling for their first two years at a TBR community college with lower tuition and fees.
- Students will become familiar with university staff and academic advisers, which should facilitate the transition process.
- Students will be able to view their entire degree plans at the onset of their college career.
- Students will receive academic advising from both institutions including early advisement from the receiving university.
- Students will be able to register during the priority registration time period at the receiving university the semester of graduation from a TBR community college.

Agenda Item: II.A.

DATE: July 26, 2012

SUBJECT: 2012 Lottery Scholarship Annual Report

ACTION RECOMMENDED: Information

BACKGROUND INFORMATION: Pursuant to T.C.A. § 49-4-903(b), the Tennessee Higher Education Commission is to report findings related to lottery scholarship programs to the General Assembly.

Staff will present an overview of the annual legislative report, which details the postsecondary outcomes of scholarship recipients from the program's inception (Fall 2004) through Fall 2011, by student demographic and academic characteristics. As in the past, this year's report reinforces the importance of high school preparation and performance in renewing scholarship awards and collegiate success in general. Additionally, this year's report releases for the first time ever information relative to student employment of lottery scholarship recipients. The report confirms the widely-held impression about student employment - that many of scholarship recipients obtained a job to help pay for college.

The report's major sections are:

- Program Overview and Recipient Demographics
- Scholarship Renewal
- Graduation Rates with TELS Intact
- 6-year Graduation Rates for TELS Recipients
- College-retention for Scholarship Non-renewals
- Scholarship Recipients and Employment During College

The full report can be viewed on our website at www.tn.gov/thec under meeting agenda on the home page.
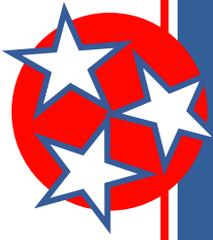
2012 Tennessee Education Lottery Scholarship Fact Book

Tennessee Higher Education Commission

July 26, 2012

Purpose

This report is prepared pursuant to T.C.A. §49-4-903(b), which directs the Tennessee Higher Education Commission (THEC) to:

"...provide assistance to the general assembly and to the Tennessee Student Assistance Corporation (TSAC) by researching and analyzing data concerning the scholarship and grant programs created under this part, including, but not limited to, student success and scholarship renewal."

<u>Overview</u>

A descriptive overview of the Tennessee Education Lottery Scholarship program.

Specifically, the report provides…

- An overview of the program
- Scholarship renewal rates and trends
- Graduation rates and trends
- Retention rates and trends

…for students that renew their award, and for those that do not.
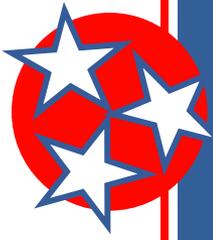
Section 1:
Program Overview

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Lottery for Education Reserve at June 30, Fiscal Years 2003-04 through 2010-11
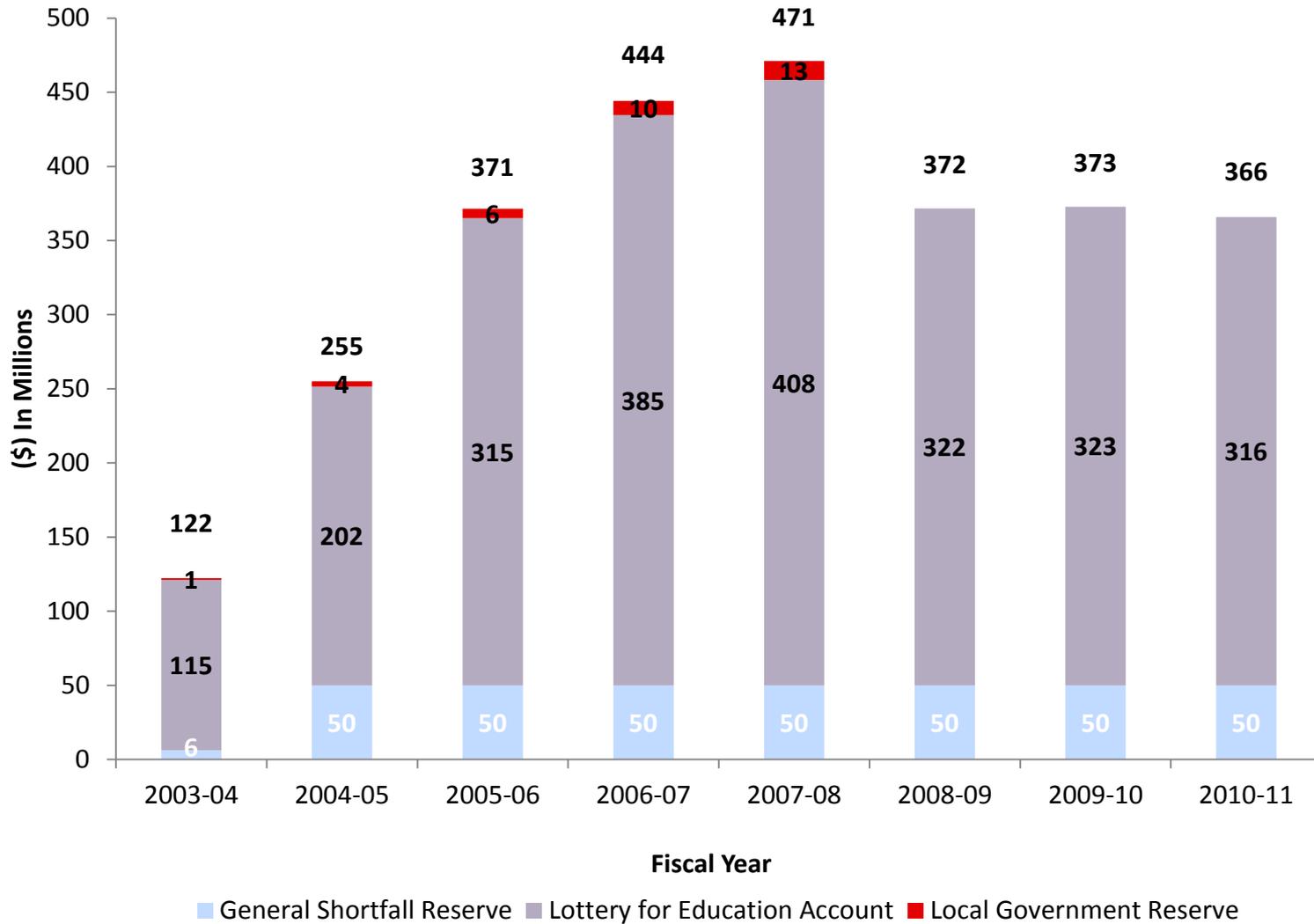
Maximum Award Amount of HOPE as a Percentage of Average Tuition and Fees at Public Institutions, 2004-05 through 2011-12

Tennessee Higher Education Commission ★ ★ ★ ★ ★ ★ ★ ★
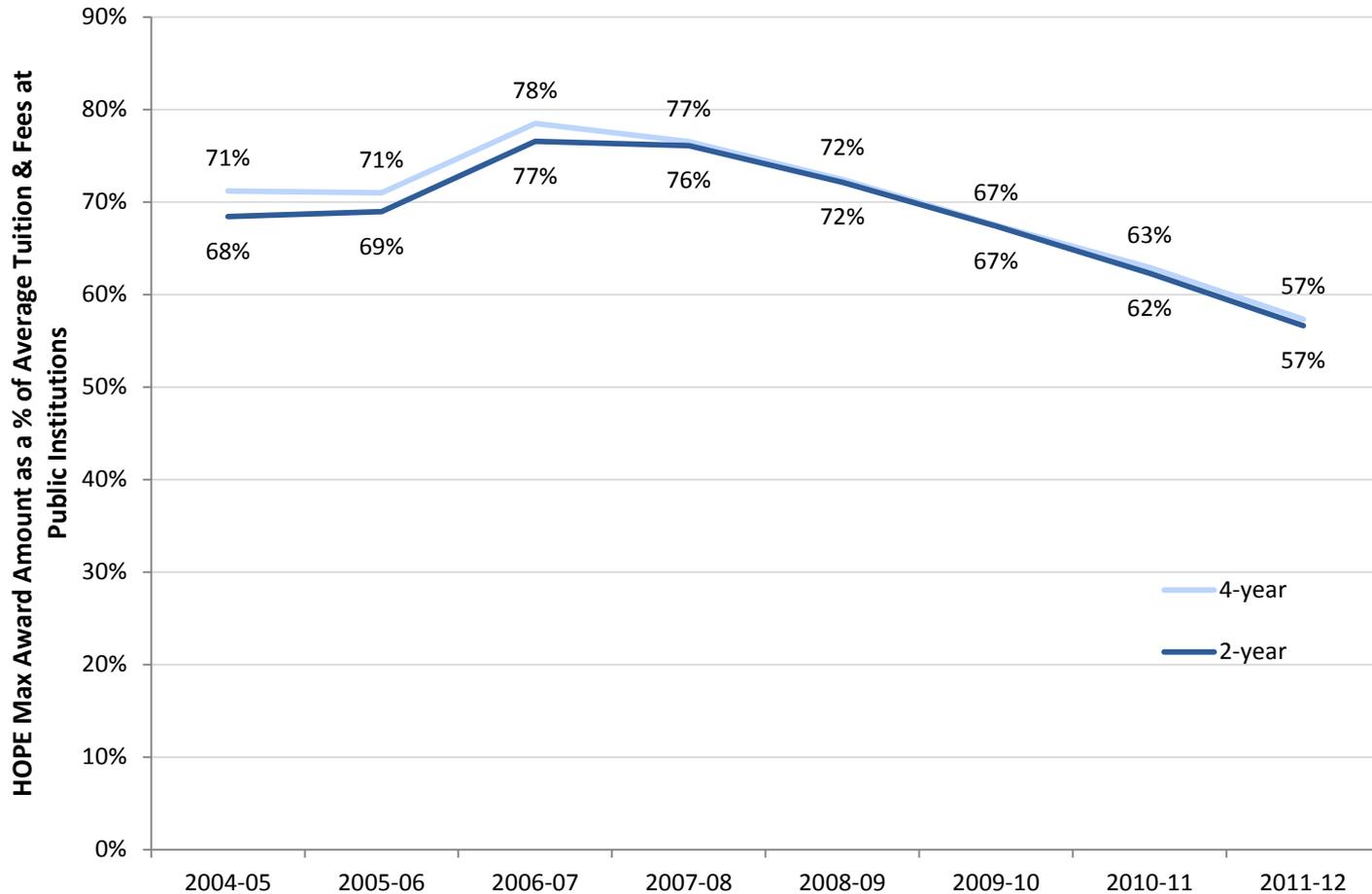
TELS Freshmen as a Percent of All First-time Freshmen by System, Fall 2004 through Fall 2011 *

* Data from 2010 were used for ETSU and NSCC instead of 2011.

Tennessee Higher Education Commission ★ ★ ★ ★ ★ ★ ★ ★
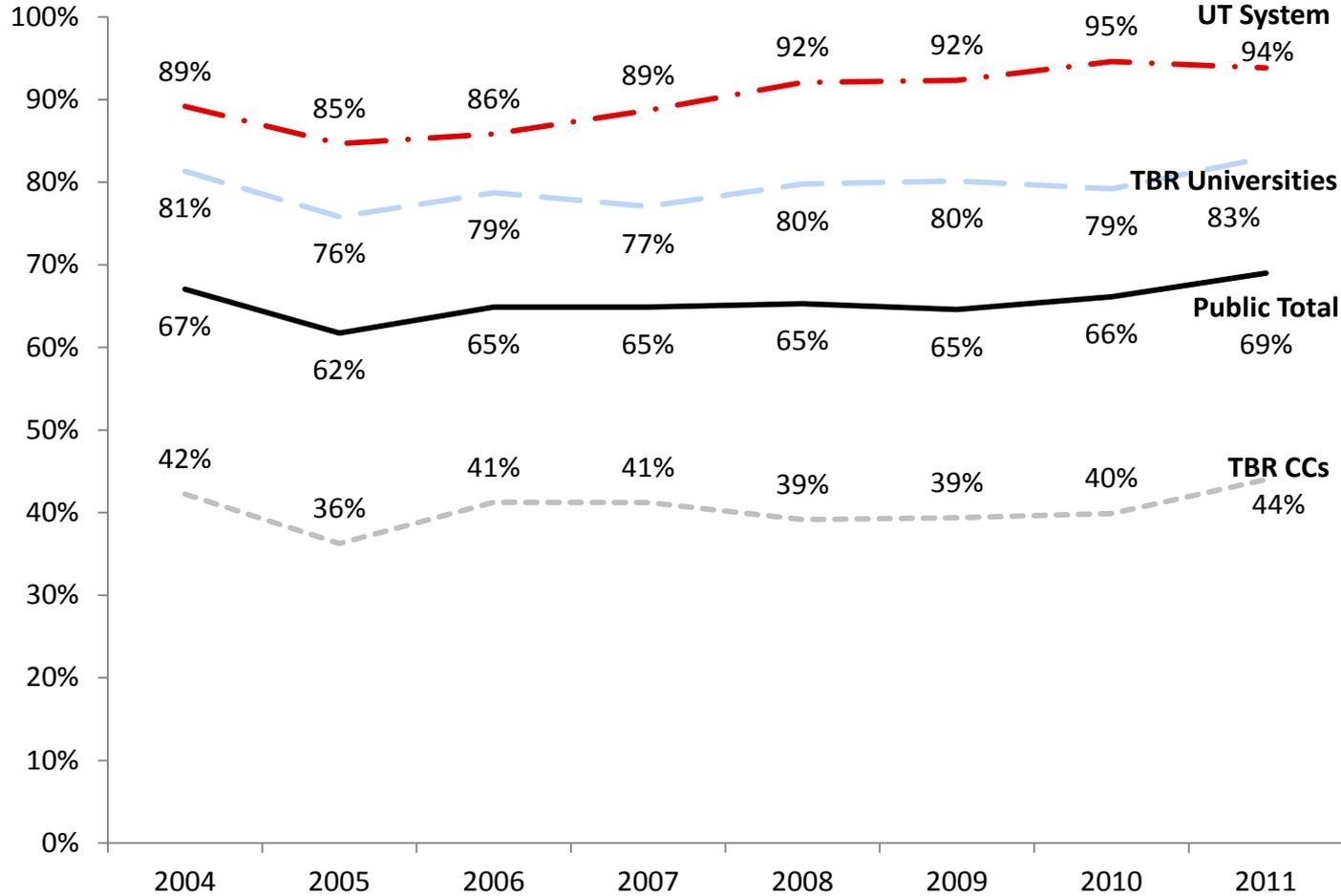
Qualifications Met by TELS First-time Freshmen (HOPE and ASPIRE Only), Fall 2004 through Fall 2011 *
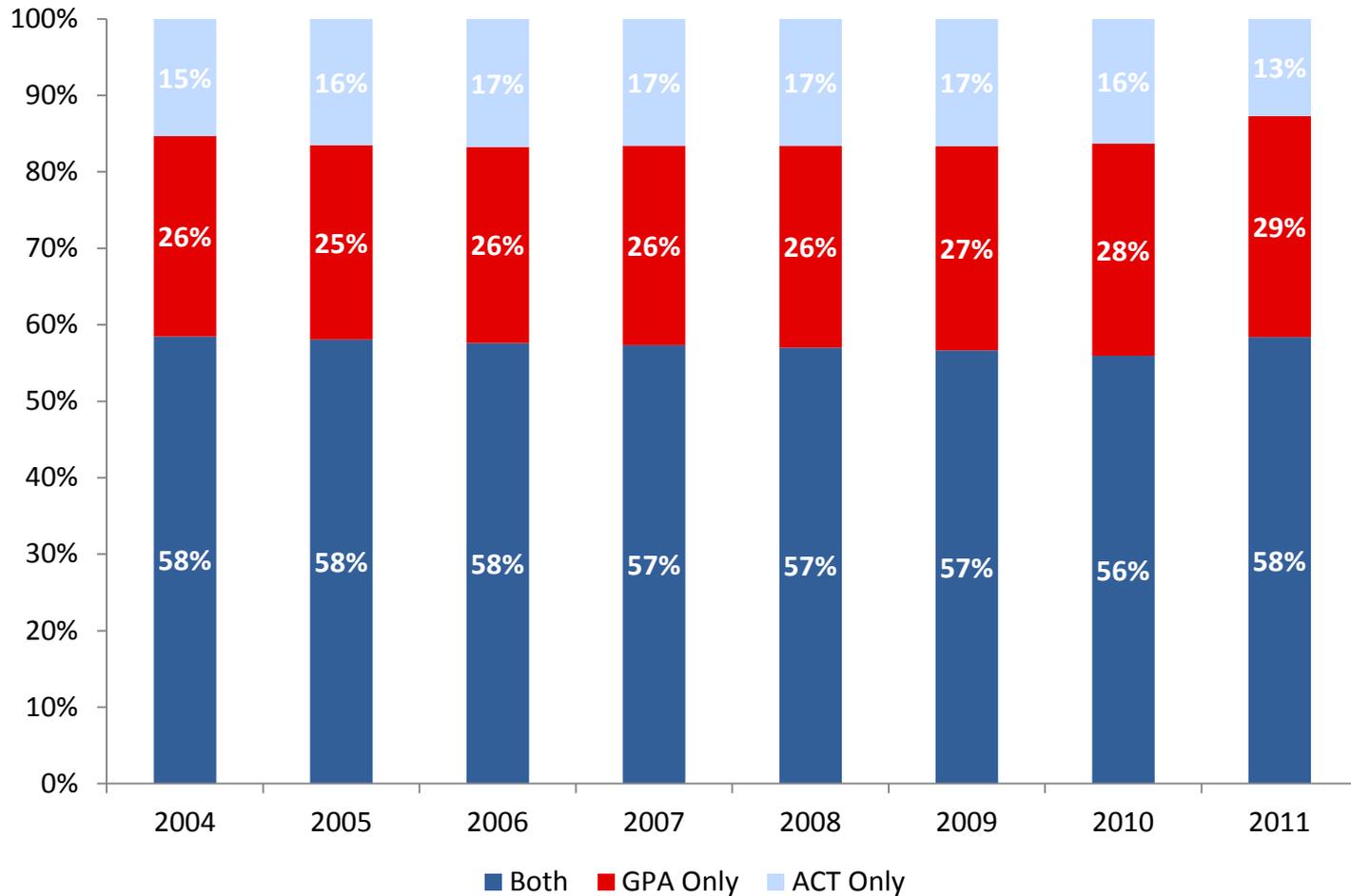
* Data from 2010 were used for ETSU and NSCC instead of 2011.

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Median Family Adjusted Gross Income by System, TELS First-time Freshmen, Fall 2004 through Fall 2011
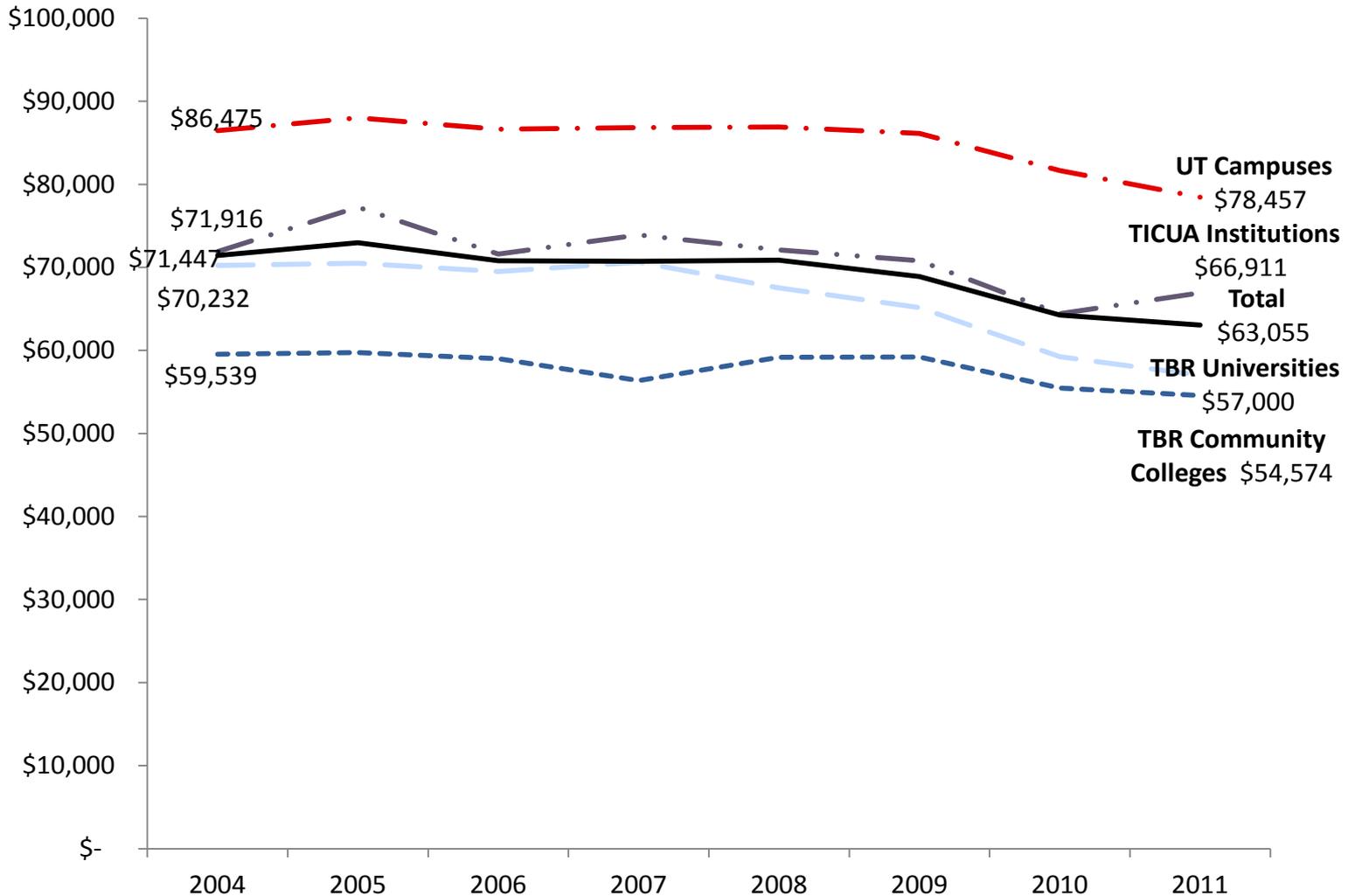
Tennessee Higher Education Commission

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Median Family Adjusted Gross Income by Scholarship Type, TELS First-time Freshmen, Fall 2004 through Fall 2011

GAMS
$100,301

$92,794

$89,100

HOPE
$81,739

$71,447

Total
$63,055

ACCESS
$26,020

ASPIRE
$24,603

ASPIRE
$20,123

ACCESS
$20,000

Tennessee Higher Education Commission
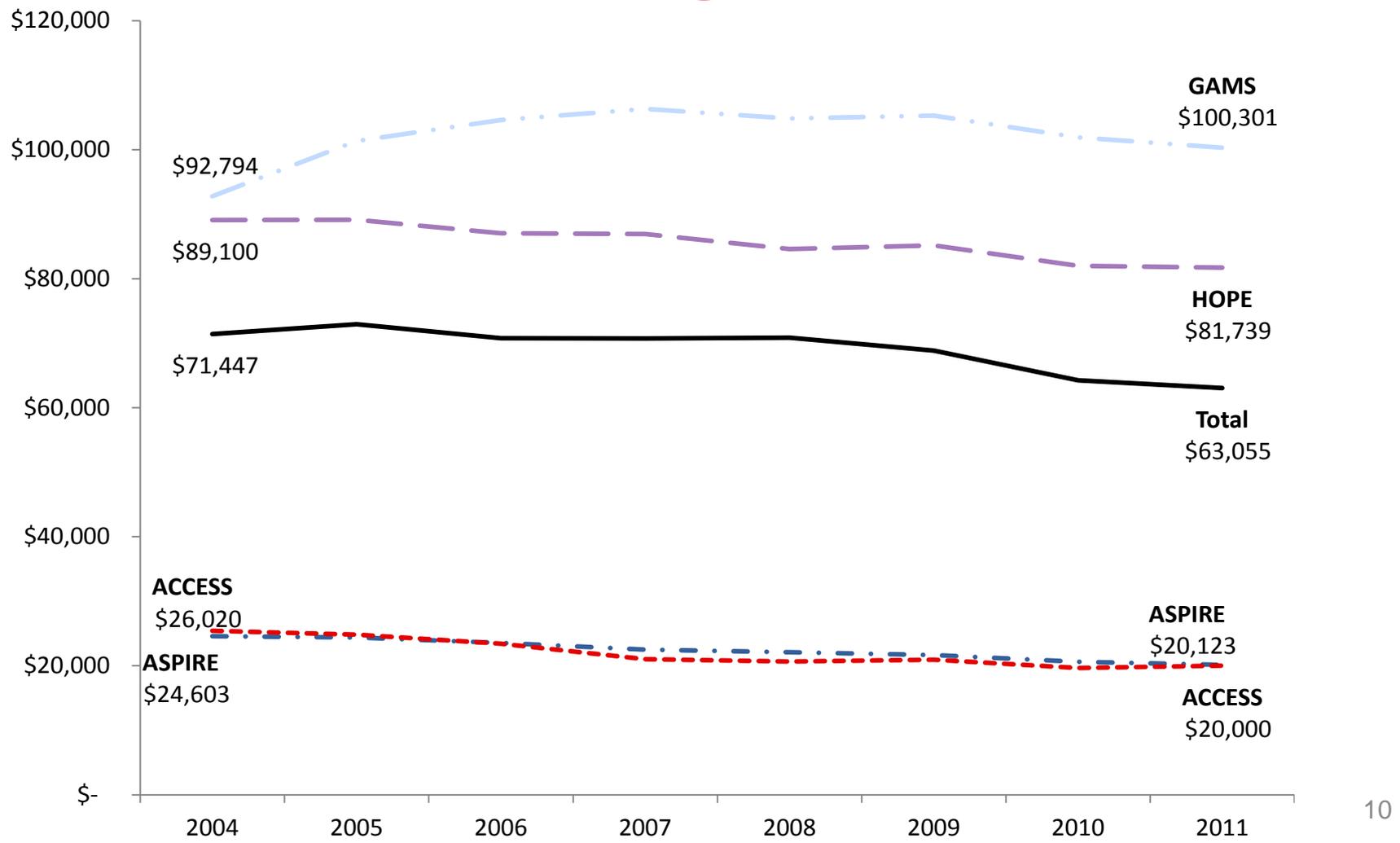
Section 2: Scholarship Renewal

One-year Renewal Rate by System, TELS First-time Freshmen, Fall 2004 through Fall 2010 *

* Data from 2009 were used for ETSU and NSCC instead of 2010.

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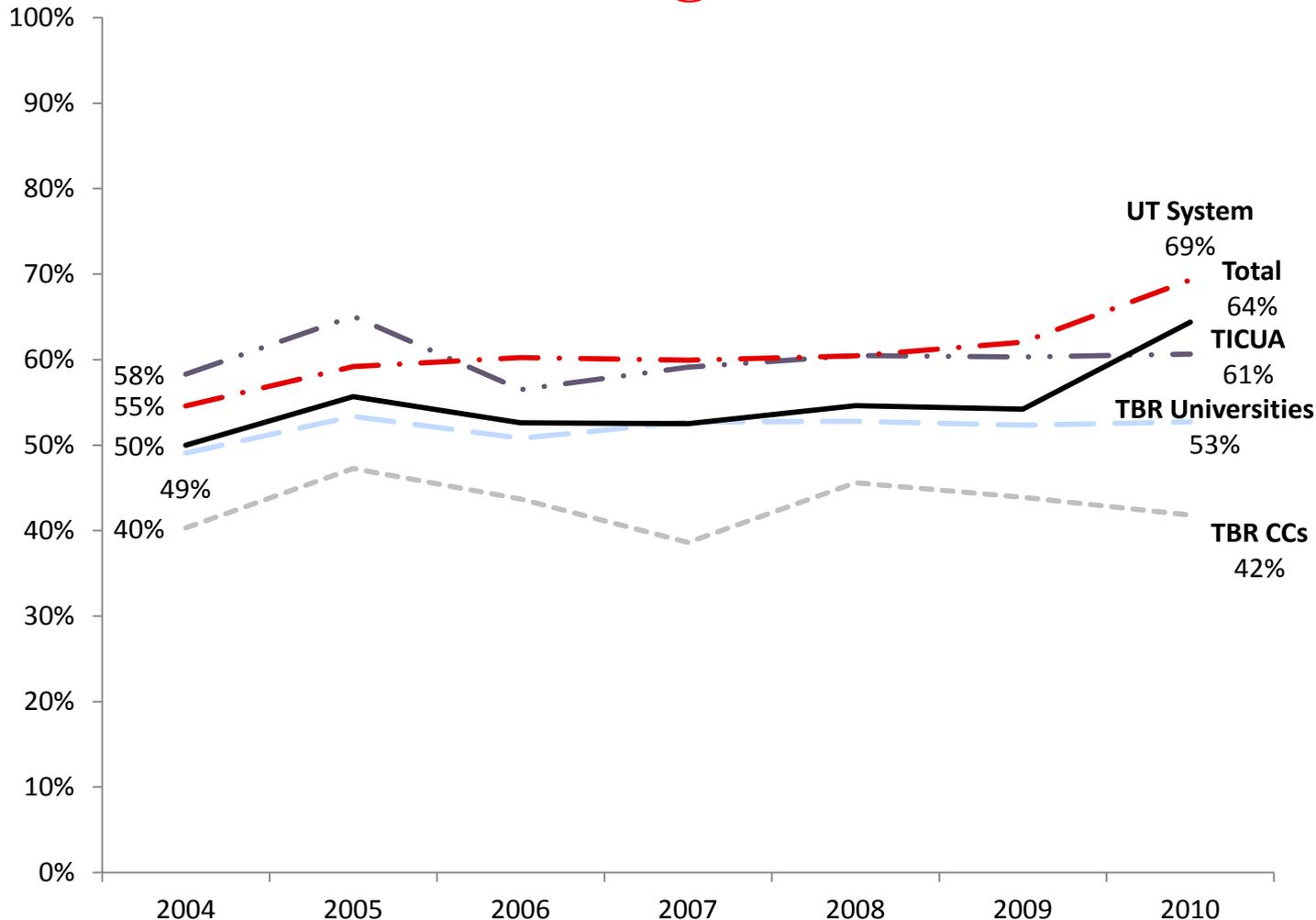
One-year Renewal Rate by Qualifications Met (HOPE and ASPIRE Only), TELS First-time Freshmen, Fall 2004 through Fall 2010 *

* Data from 2009 were used for ETSU and NSCC instead of 2010.

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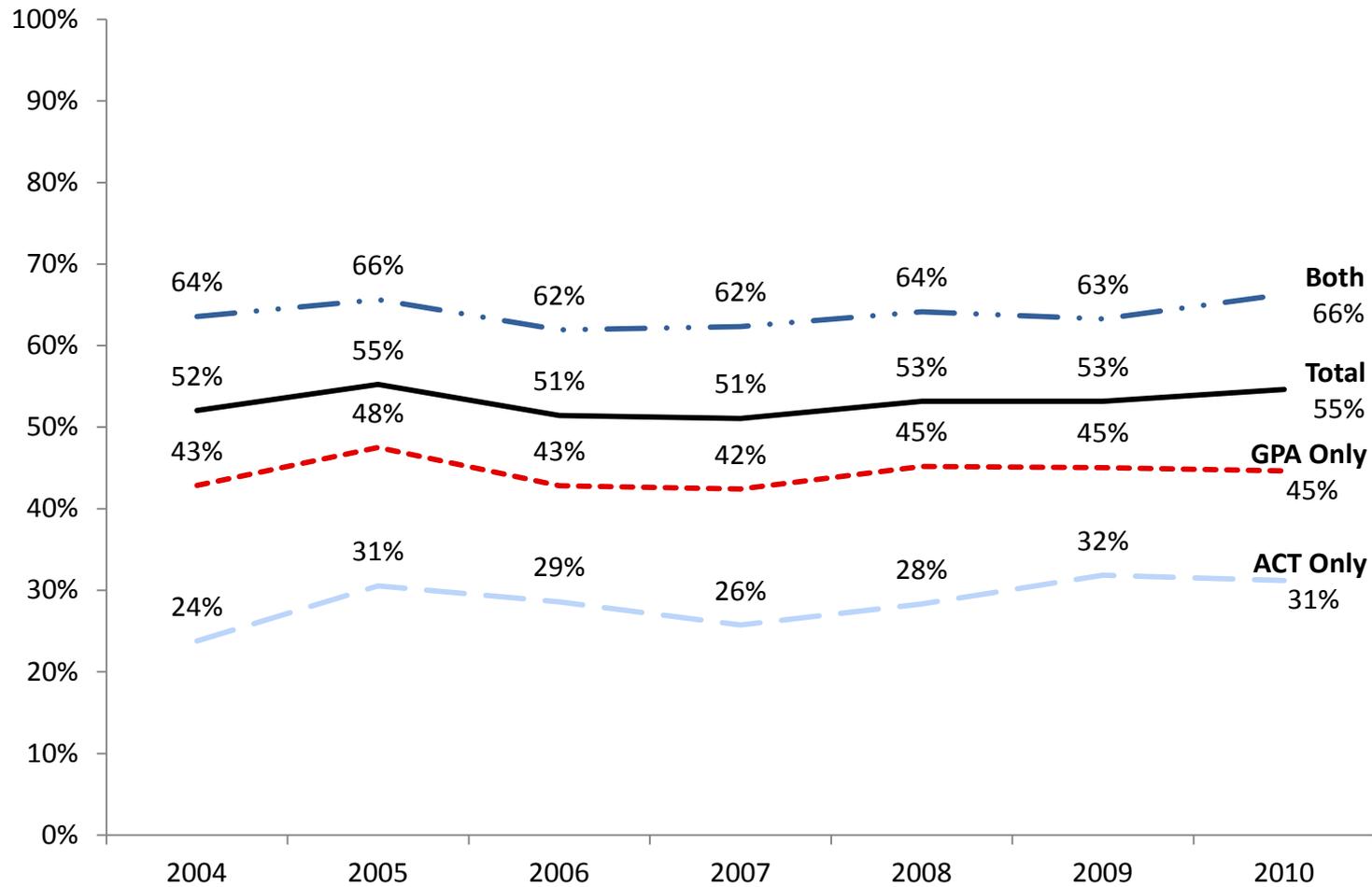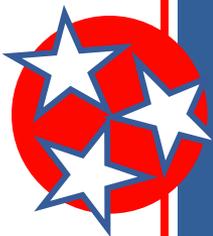
One-year Renewal Rate by Scholarship Type, TELS First-time Freshmen, Fall 2004 through Fall 2010 *
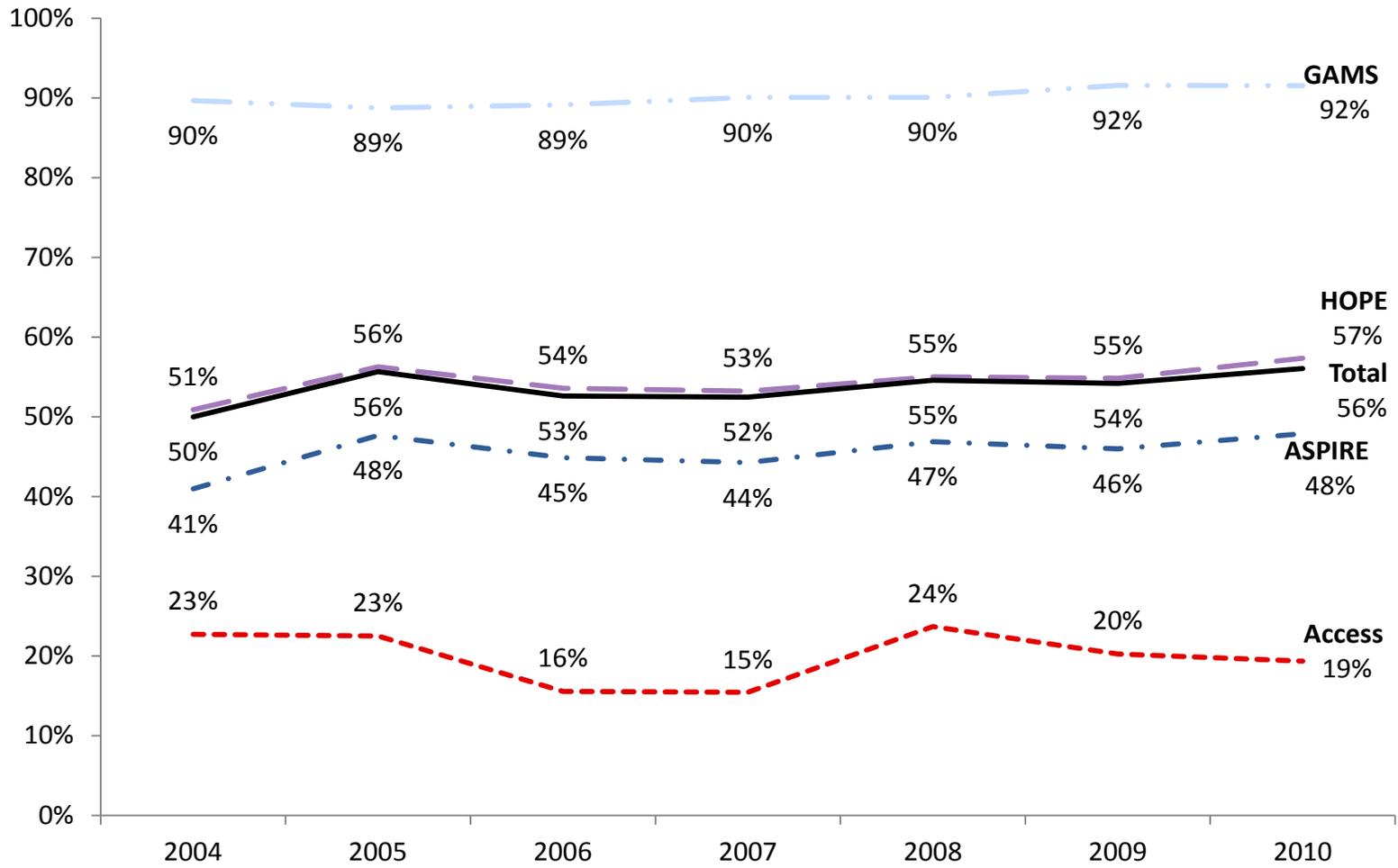
* Data from 2009 were used for ETSU and NSCC instead of 2010.

Tennessee Higher Education Commission

Median Family Adjusted Gross Income by Scholarship Type, Renewing TELS Freshmen, Fall 2004 through Fall 2010
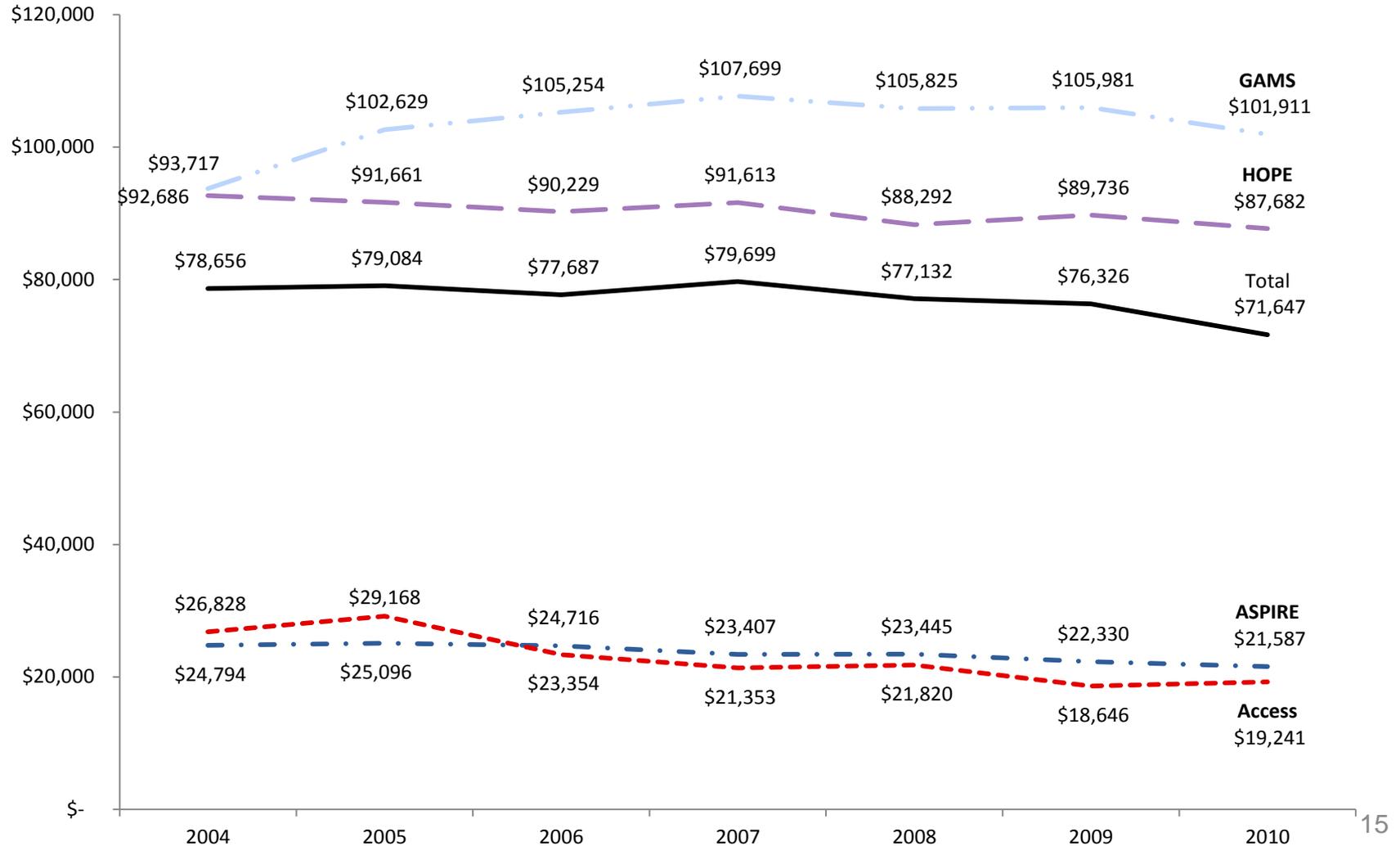
Median Family Adjusted Gross Income by Scholarship Type, Non-renewing TELS Freshmen, Fall 2004 through Fall 2010

GAMS: $79,085 · $85,889 · $97,514 · $92,392 · $95,752 · $99,168 · $101,873

HOPE: $85,736 · $86,043 · $83,683 · $81,365 · $80,624 · $80,733 · $76,198

Total: $64,256 · $65,152 · $62,893 · $60,885 · $62,134 · $59,188 · $55,271

ASPIRE: $25,198 · $23,791 · $23,432 · $21,721 · $20,969 · $21,164 · $19,727

Access: $24,438 · $23,640 · $22,915 · $20,968 · $20,530 · $20,981 · $19,721

Years: 2004, 2005, 2006, 2007, 2008, 2009, 2010

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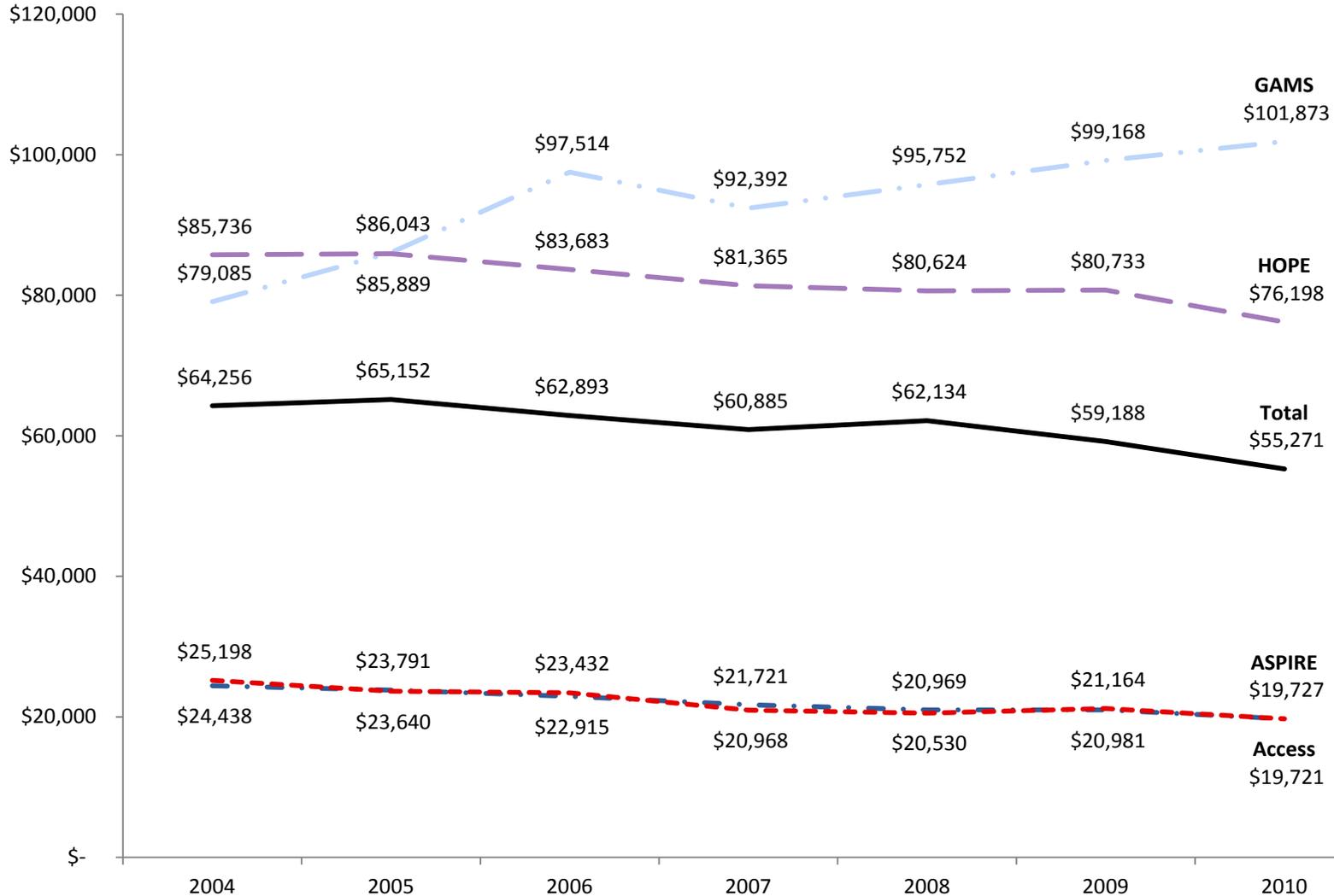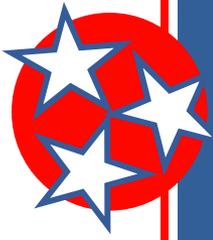
Section 3: Graduation Rates with TELS Intact

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Graduation Rate with Scholarship Intact by System, TELS First-time Freshmen, Fall 2004 through Fall 2006 *

* Data from 2005 were used for ETSU and NSCC instead of 2006.

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Graduation Rate with Scholarship Intact by Scholarship Type, TELS First-time Freshmen, Fall 2004 through Fall 2006 *

Legend: Total, GAMS, HOPE, ASPIRE, Access

Year	Total	GAMS	HOPE	ASPIRE	Access
2004	30%	72%	31%	21%	8%
2005	36%	74%	36%	27%	5%
2006	34%	77%	35%	26%	7%

* Data from 2005 were used for ETSU and NSCC instead of 2006.

Tennessee Higher Education Commission ★ ★ ★ ★ ★ ★ ★ ★

Graduation Rate with Scholarship Intact by Qualifications Met (HOPE and ASPIRE Only), TELS First-time Freshmen, Fall 2004 through Fall 2006 *

* Data from 2005 were used for ETSU and NSCC instead of 2006.

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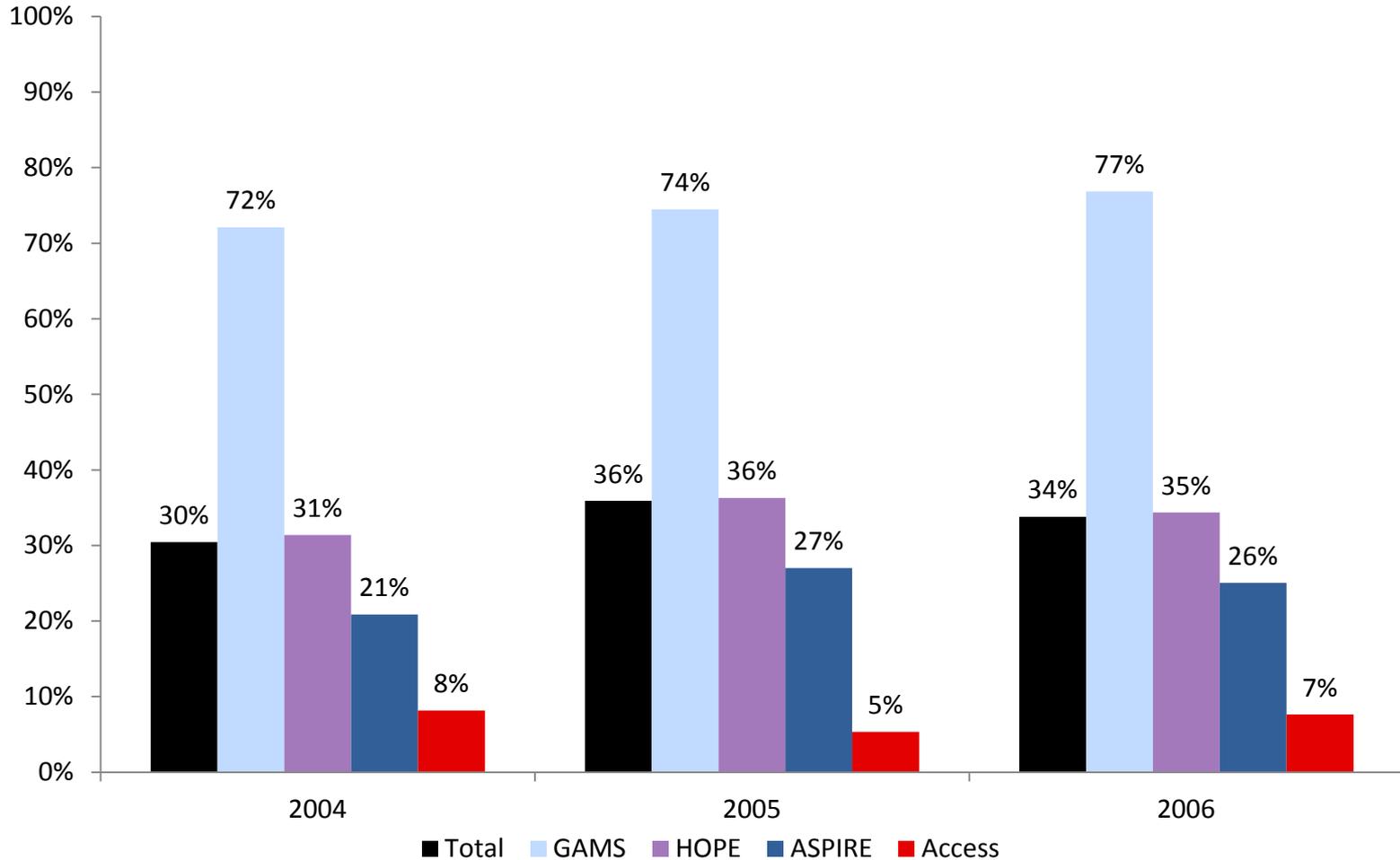
Median Family Adjusted Gross Income by Scholarship Type, TELS Students who Graduated with Scholarship Intact, Fall 2004 through Fall 2006

2004
- Total: $82,900
- GAMS: $98,079
- HOPE: $94,072
- ASPIRE: $25,034
- Access: $26,714

2005
- Total: $84,951
- GAMS: $106,845
- HOPE: $94,356
- ASPIRE: $25,275
- Access: $30,334

2006
- Total: $83,813
- GAMS: $106,968
- HOPE: $93,845
- ASPIRE: $25,145
- Access: $23,444

Legend: ■ Total ■ GAMS ■ HOPE ■ ASPIRE ■ Access

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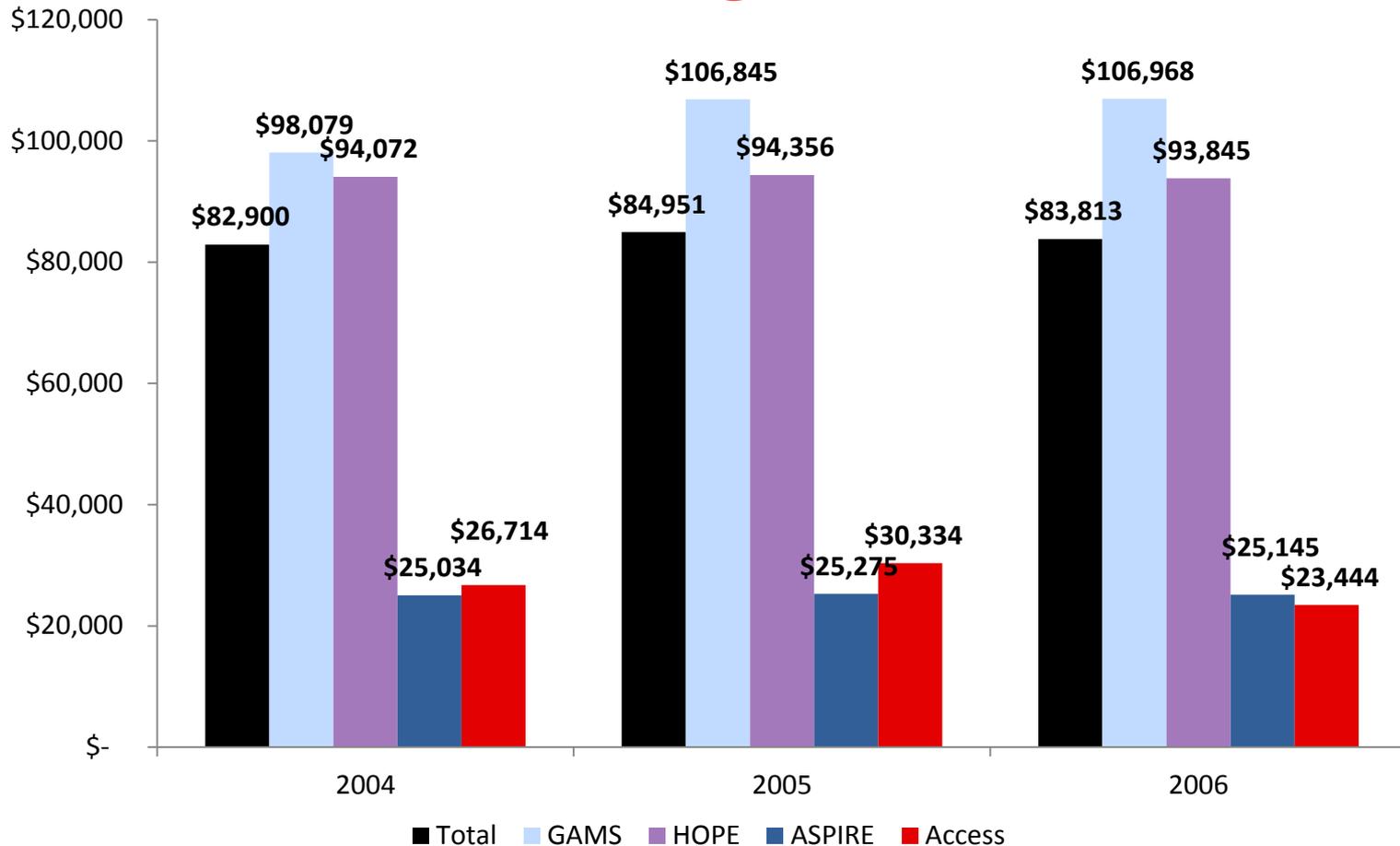
Median Family Adjusted Gross Income by Scholarship Type, TELS Students who Did Not Graduate with Scholarship Intact, Fall 2004 through Fall 2006

Legend: ■ Total ■ GAMS ■ HOPE ■ ASPIRE ■ Access

2004
- Total: $66,746
- GAMS: $81,400
- HOPE: $86,927
- ASPIRE: $24,462
- Access: $25,272

2005
- Total: $66,317
- GAMS: $85,108
- HOPE: $86,118
- ASPIRE: $24,067
- Access: $24,709

2006
- Total: $64,153
- GAMS: $92,705
- HOPE: $83,683
- ASPIRE: $23,115
- Access: $23,391

Tennessee Higher Education Commission ★ ★ ★ ★ ★ ★ ★ ★
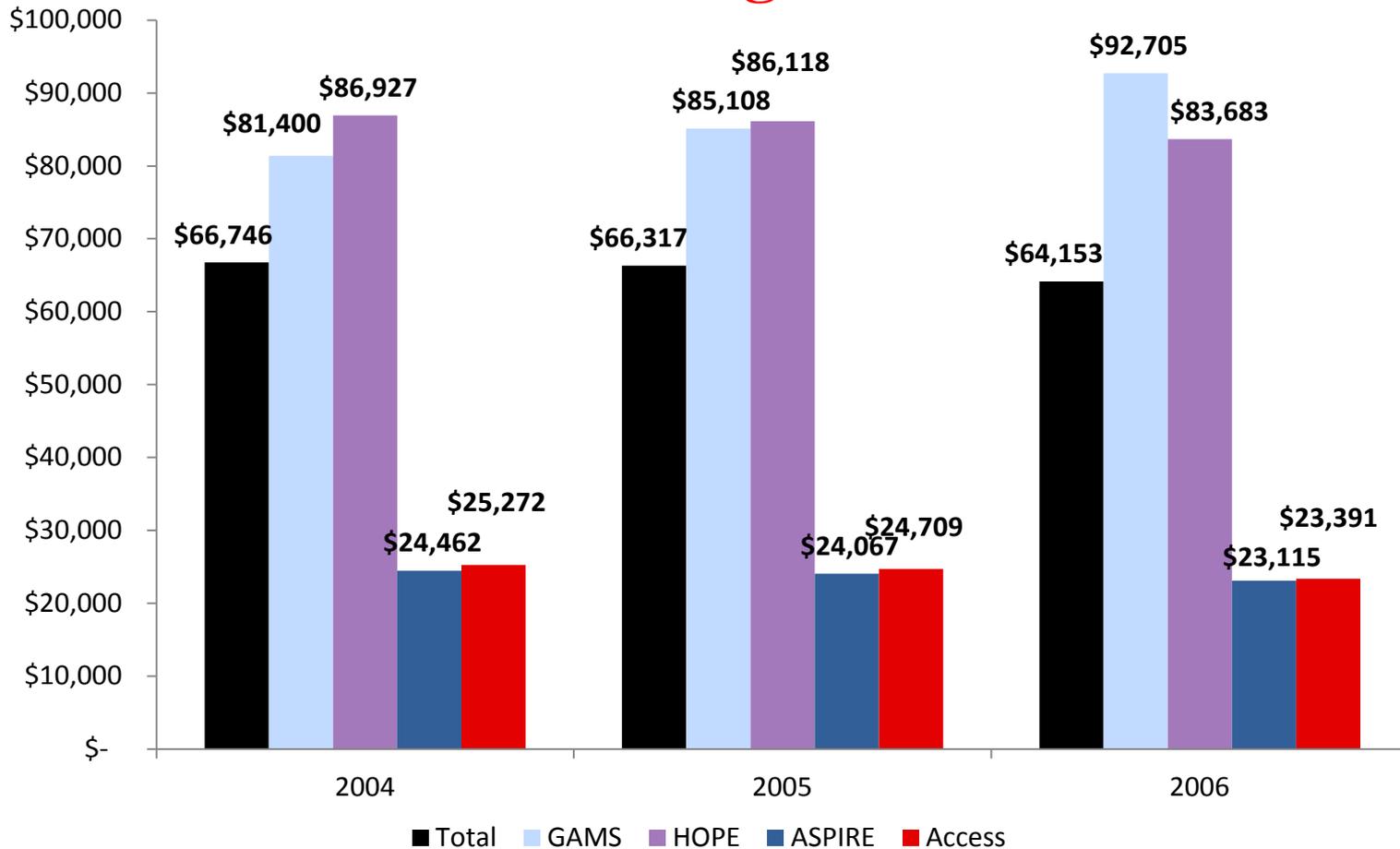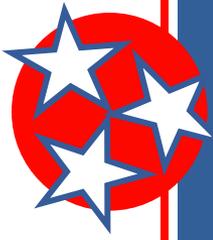
Section 4:
Six-year Graduation Rates for TELS Recipients

Six-year Graduation Rate with or without Scholarship Intact by System, TELS First-time Freshmen, Fall 2004 and Fall 2005 *

* Data from 2004 were used for ETSU and NSCC instead of 2005.

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Six-year Graduation Rate with or without Scholarship Intact by Scholarship Type, TELS First-time Freshmen, Fall 2004 and Fall 2005 *

* Data from 2004 were used for ETSU and NSCC instead of 2005.

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Six-year Graduation Rate with or without Scholarship Intact by Qualifications Met (HOPE and ASPIRE Only), TELS First-time Freshmen, Fall 2004 and Fall 2005 *

* Data from 2004 were used for ETSU and NSCC instead of 2005.

Tennessee Higher Education Commission ★ ★ ★ ★ ★ ★ ★ ★
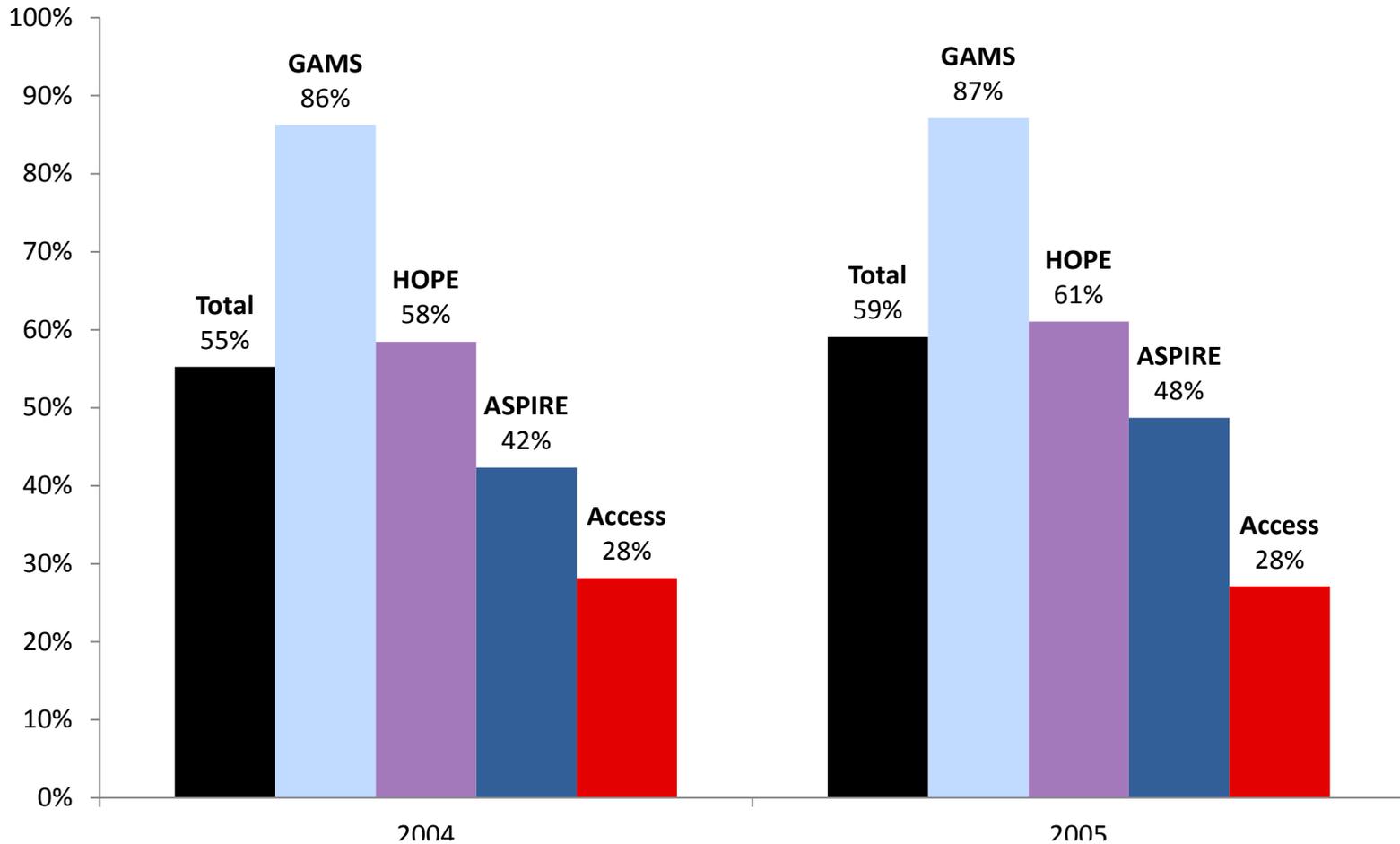
Median Family Adjusted Gross Income by Scholarship Type, Graduates within Six-years with or without TELS Intact, Fall 2004 and Fall 2005 Cohorts

Tennessee Higher Education Commission ★ ★ ★ ★ ★ ★ ★
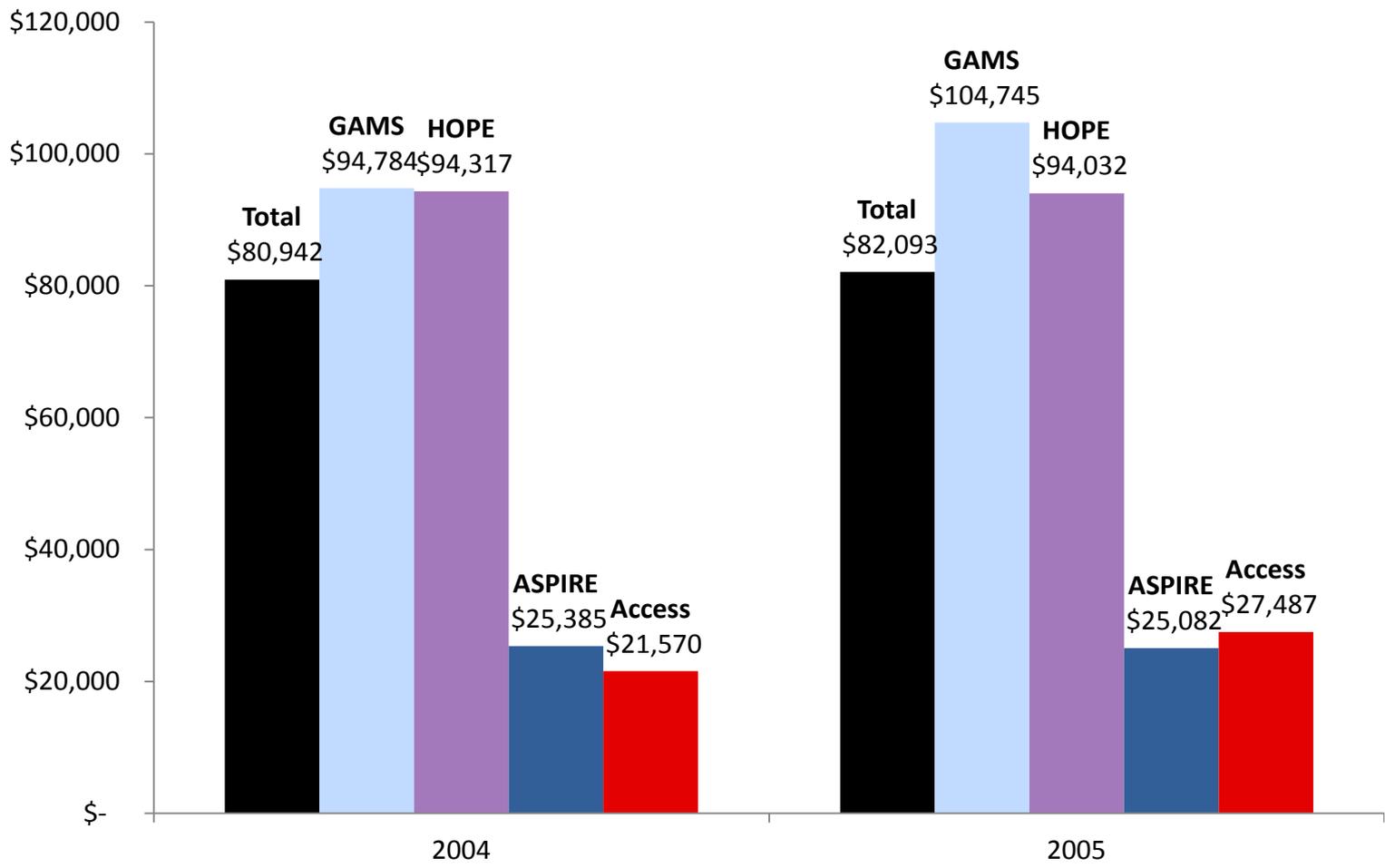
Median Family Adjusted Gross Income by Scholarship Type, Non-graduates within Six-years with or without TELS Intact, Fall 2004 and Fall 2005 Cohorts

Tennessee Higher Education Commission ★ ★ ★ ★ ★ ★ ★ ★
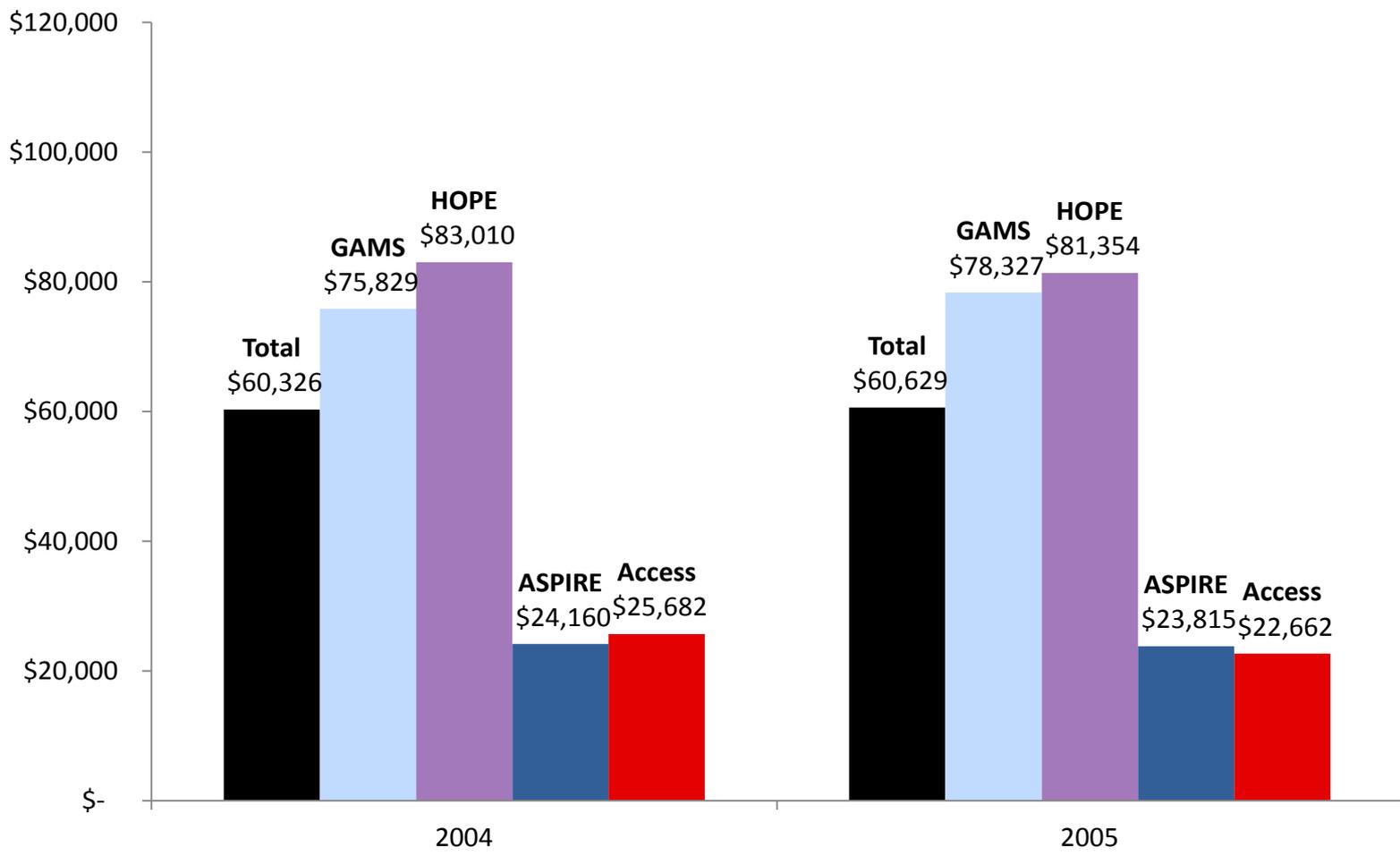
Six-year Graduation Rate, Non-PELL Eligible TELS Recipients, 2005 Cohort, N = 13,999 *

	29 or Above	25 to 28	21 to 24	17 to 20	17 or less	Unknown	Grand Total
3.75 or Above	88%	84%	82%	79%	80%		84%
3.5 to 3.75	73%	74%	71%	64%	53%		71%
3.25 to 3.5	60%	66%	63%	55%	43%		60%
3 to 3.25	56%	49%	53%	51%	28%		51%
2.75 to 3	63%	48%	43%	41%			44%
2.5 to 2.75	22%	34%	39%				38%
2.25 to 2.5	50%	15%	32%				30%
2.25 or less	50%	18%	24%				23%
Unknown							56%
Grand Total	80%	71%	61%	57%	38%	56%	64%

* Data from 2004 were used for ETSU and NSCC instead of 2005.

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Six-year Graduation Rate, PELL Eligible TELS Recipients, 2005 Cohort, N = 5,496 *

	29 or Above	25 to 28	21 to 24	17 to 20	17 or less	Unknown	Grand Total
3.75 or Above	81%	74%	70%	62%	64%		71%
3.5 to 3.75	50%	60%	62%	52%	43%		57%
3.25 to 3.5	58%	42%	48%	43%	34%		44%
3 to 3.25	60%	34%	39%	36%	29%		36%
2.75 to 3	33%	27%	35%	22%			29%
2.5 to 2.75	33%	18%	30%				28%
2.25 to 2.5	0%	13%	21%				20%
2.25 or less	0%	0%	12%				10%
Unknown							37%
Grand Total	70%	55%	48%	42%	33%	37%	47%

* Data from 2004 were used for ETSU and NSCC instead of 2005.

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Percentage Point Difference in Six-year Graduation Rate Between PELL and Non-PELL Eligible TELS Recipients, 2005 Cohort *

	29 or Above	25 to 28	21 to 24	17 to 20	17 or less	Unknown	Grand Total
3.75 or Above	7%	10%	12%	16%	16%		13%
3.5 to 3.75	23%	15%	9%	13%	10%		13%
3.25 to 3.5	2%	24%	16%	12%	9%		16%
3 to 3.25	-4%	15%	13%	15%	0%		14%
2.75 to 3	29%	22%	8%	18%			15%
2.5 to 2.75	-11%	16%	10%				10%
2.25 to 2.5	50%	2%	12%				11%
2.25 or less	50%	18%	12%				14%
Unknown							19%
Grand Total	10%	16%	13%	15%	5%	19%	17%

* Data from 2004 were used for ETSU and NSCC instead of 2005.

Tennessee Higher Education Commission ★ ★ ★ ★ ★ ★ ★ ★
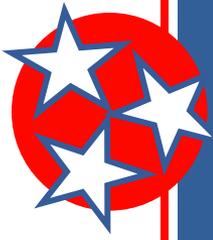
Section 5:
College Retention for Scholarship Non-Renewals

Fall-to-Fall Retention Rate for TELS First-time Freshmen who Lost Scholarship Eligibility during Freshman Year by Postsecondary Sector, Fall 2004 through Fall 2010 *
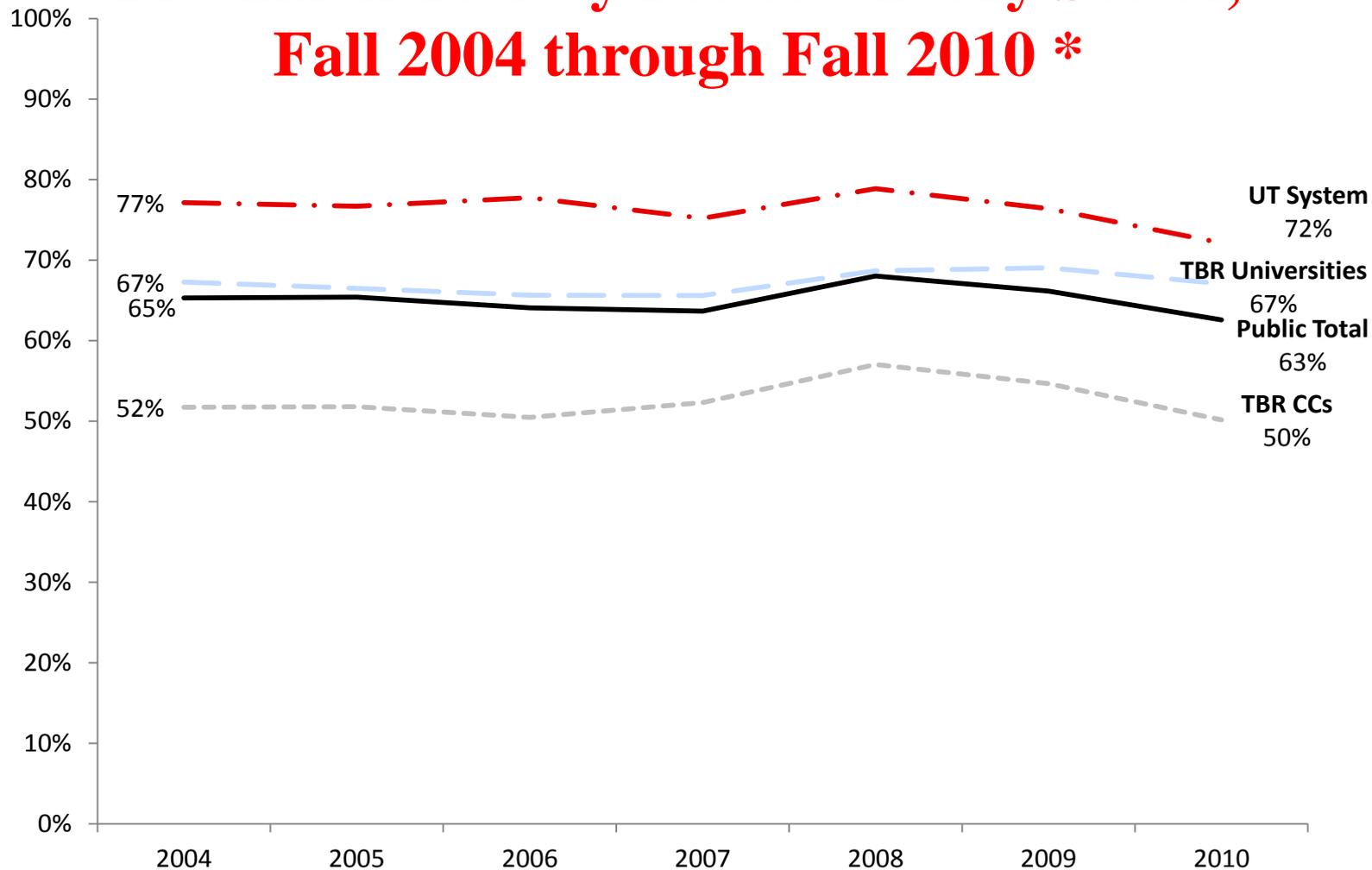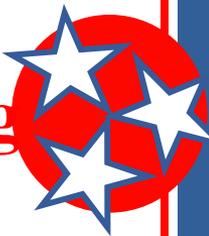
* Data from 2009 were used for ETSU and NSCC instead of 2010.

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Fall-to-Fall Retention Rate for TELS First-time Freshmen who Lost Scholarship Eligibility during Freshman Year by Scholarship Type, Fall 2004 through Fall 2010 *

* Data from 2009 were used for ETSU and NSCC instead of 2010.

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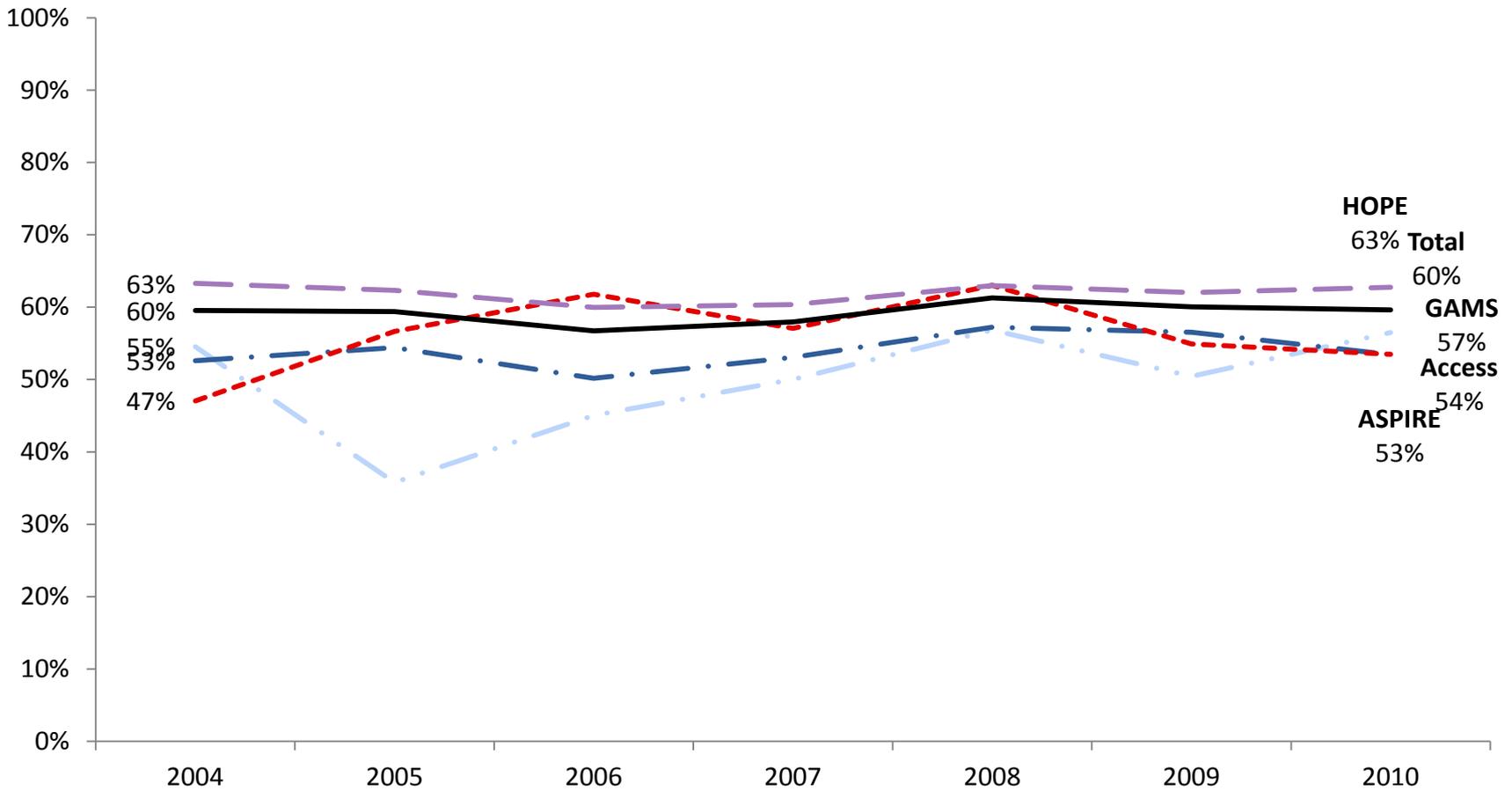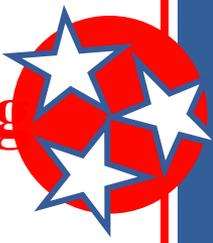
One-year Retention Rate by Initial Qualifications Met (HOPE and ASPIRE combined), TELS First-time Freshmen who Lost Scholarship Eligibility during Freshman Year, Fall 2004 through Fall 2010 *
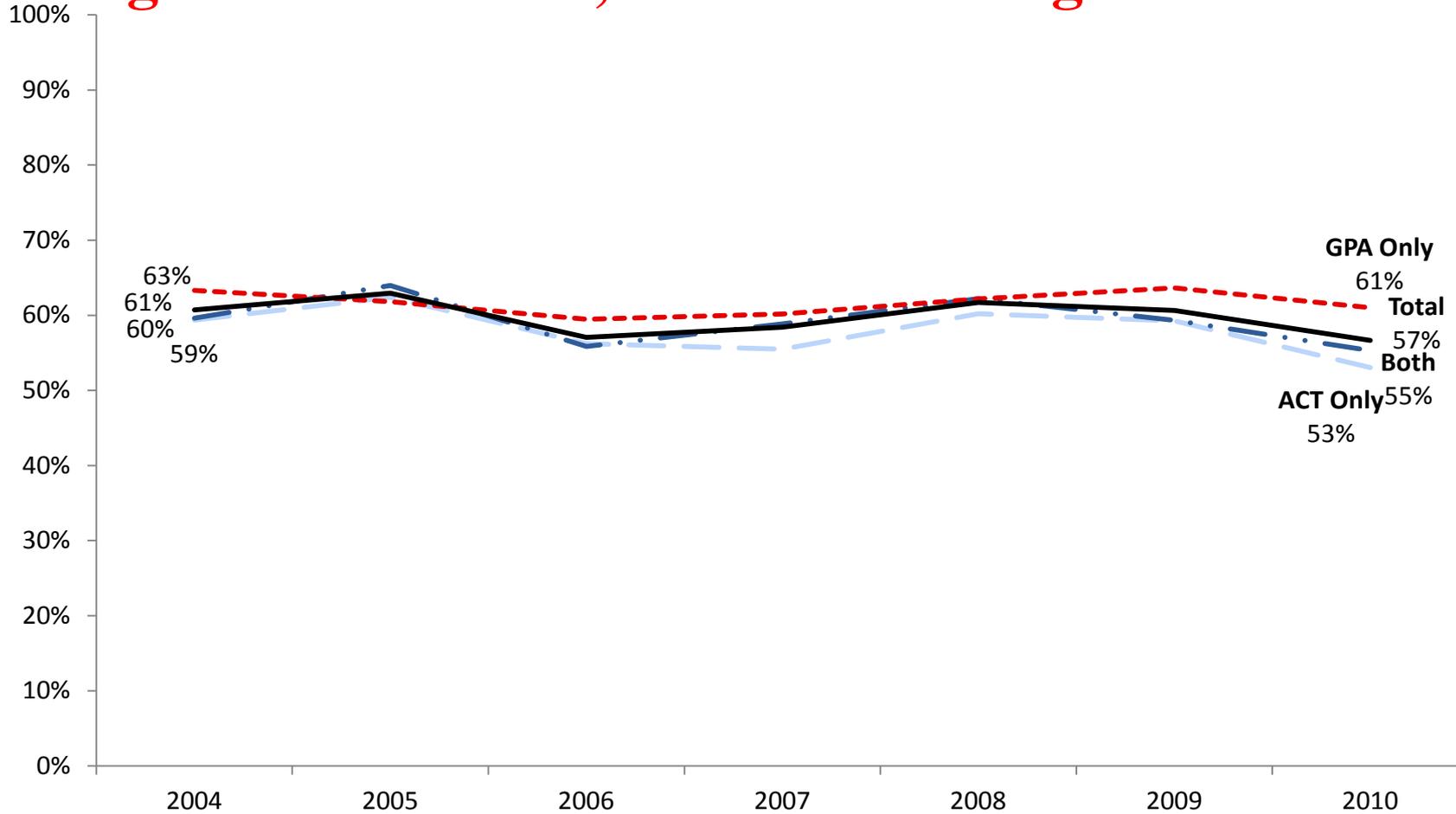
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Median Family Adjusted Gross Income by Scholarship Type, One-year Retained TELS First-time Freshmen, Fall 2004 through Fall 2010

Tennessee Higher Education Commission
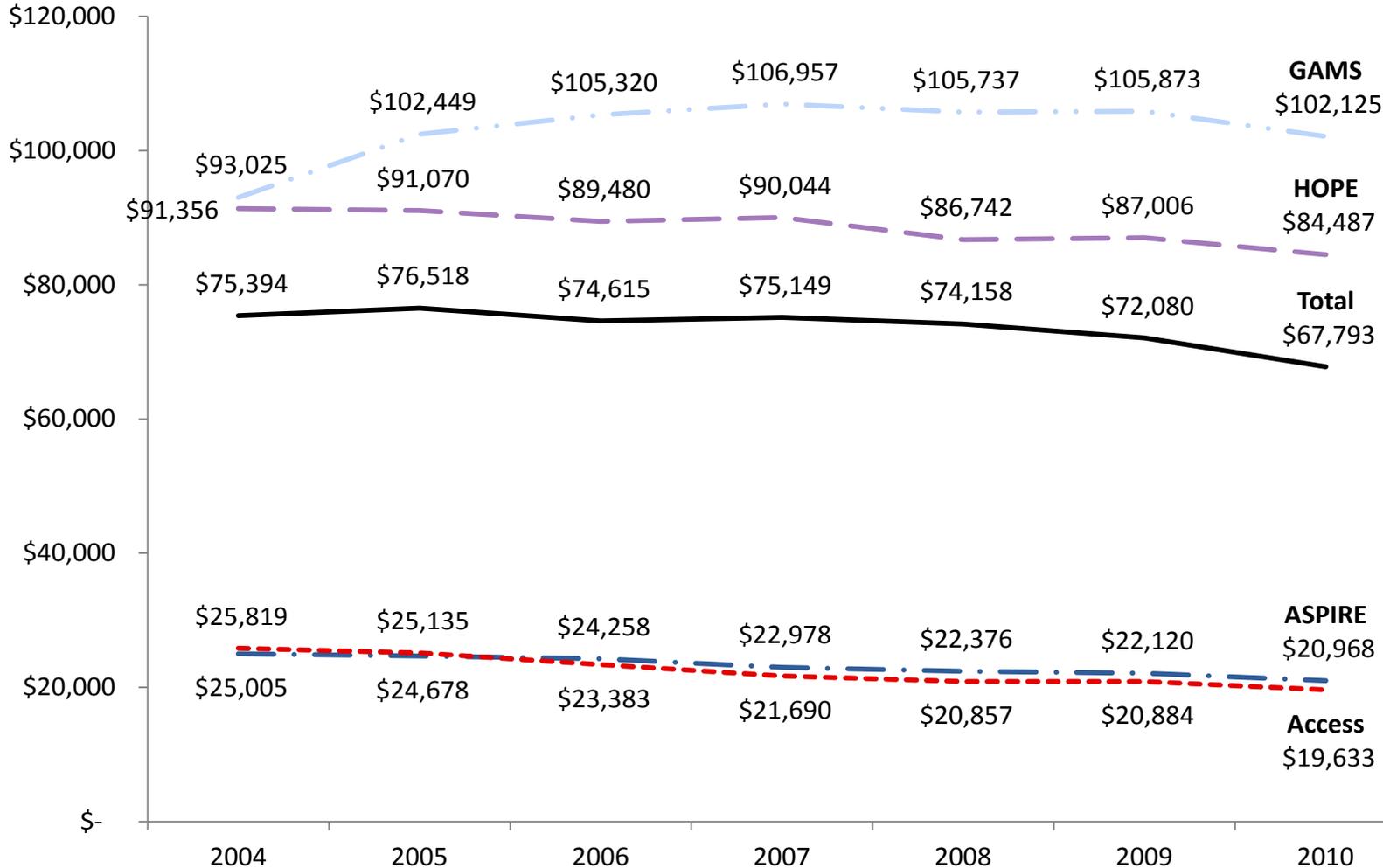
Median Family Adjusted Gross Income by Scholarship Type, One-year Non-retained TELS First-time Freshmen, Fall 2004 through Fall 2010

GAMS: $69,635 · $85,131 · $93,226 · $89,647 · $82,204 · $99,895 · $102,125

HOPE: $80,376 · $79,199 · $78,763 · $75,217 · $74,047 · $77,703 · $84,487

Total: $55,542 · $57,784 · $55,768 · $53,553 · $55,685 · $54,844 · $67,793

ASPIRE: $25,162 · $23,421 · $23,571 · $21,222 · $20,968 · $21,164 · $20,968

Access: $23,838 · $22,877 · $22,106 · $20,502 · $18,949 · $20,101 · $19,633

Years: 2004, 2005, 2006, 2007, 2008, 2009, 2010

Tennessee Higher Education Commission
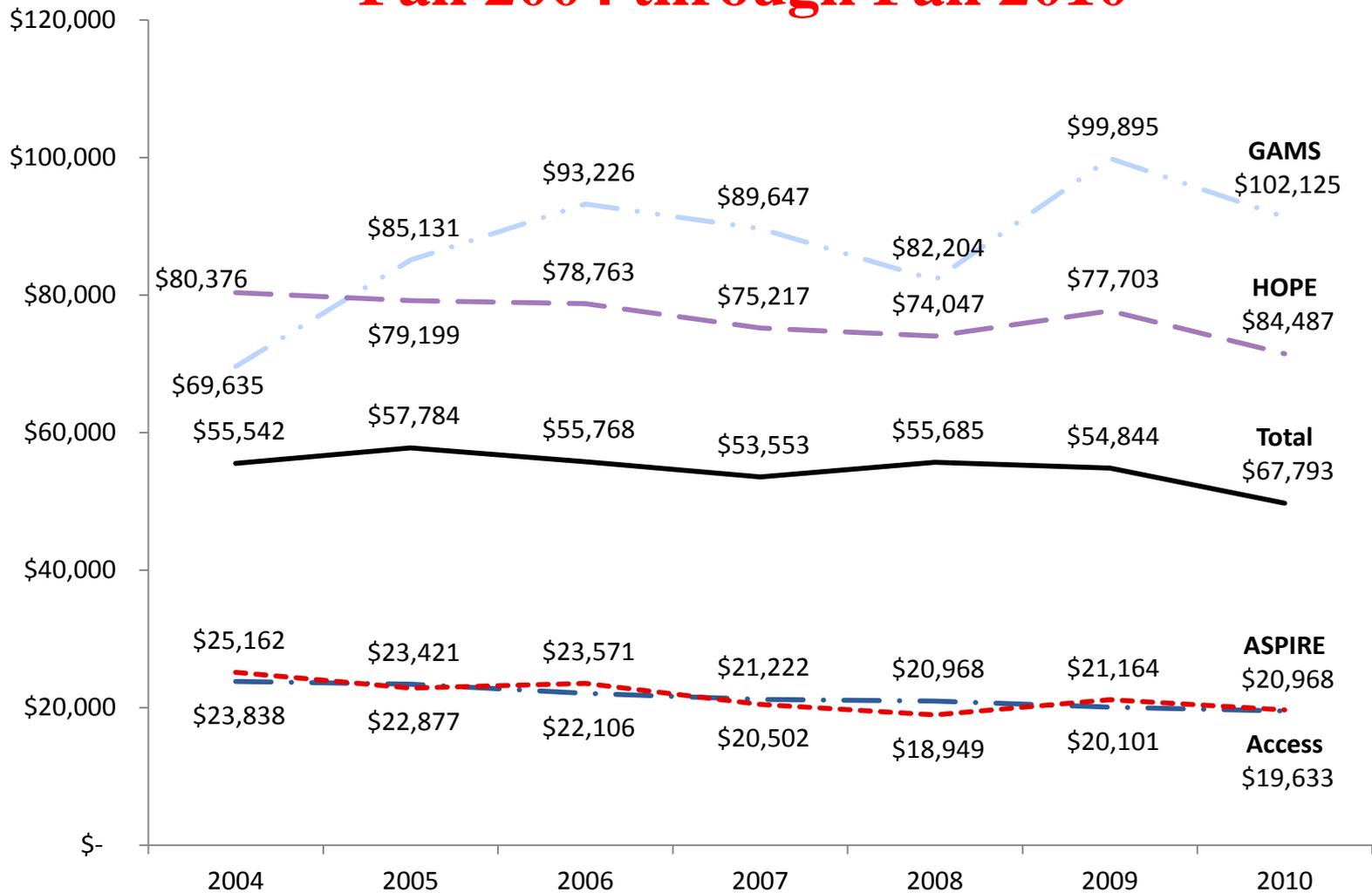
Questions?

Report will be available at:
http://tn.gov/thec/Legislative/Reports.html
under the "2012 Legislative Reports Section."

A Comparison of States'
Lottery Scholarship Programs

TENNESSEE HIGHER EDUCATION COMMISSION MEMBERS
2011-12

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Mr. A C Wharton, **Secretary**
Memphis- Shelby County

Mr. Robert White, **Chair**
Johnson City – Sullivan County

Mr. Justin Wilson, Comptroller
Nashville – Davidson County

History

The Tennessee Higher Education Commission was created in 1967 by the Tennessee General Assembly (TCA 49-7-202) to achieve coordination and foster unity with regard to higher education. The Commission coordinates two systems of public higher education: the University of Tennessee institutions governed by the University of Tennessee Board of Trustees, and the state universities, community colleges, and technology centers governed by the Tennessee Board of Regents.

There are currently nine public universities, 13 community colleges, and 27 technology centers in Tennessee that serve over 256,500 students collectively. The Commission is composed of nine lay members appointed by the Governor for six year terms representing congressional districts of the State, three Constitutional Officers who are ex-officio voting members (Comptroller of the Treasury, State Treasurer, and Secretary of State), two ex-officio student members who serve two year terms, and the Executive Director of the State Board of Education as an ex-officio non-voting member.

Mission

The mission for Tennessee's twenty-first century system of higher education is to elevate the overall educational attainment of citizens in the State through increased accessibility to mission-focused institutions, which deliver educational services on campus, as well as through a planned network of off-campus instruction and to prepare citizens responsibly for success in the new century by providing high quality teaching and research in an environment that serves the needs of its consumers.

CONTENTS

Executive Summary

Since the 1990s, seventeen states[1] have enacted merit-based scholarship programs to broaden access to higher education and increase college completion rates by providing financial incentives to students who meet the scholarship requirements. The common goals of merit scholarships are often described as:

- To attract and retain the best and brightest students in the state;
- To widen opportunity for higher education by reducing students' financial burdens;
- To promote and reward academic achievement;
- To increase college enrollments and attainment rates; and
- To increase college completion and graduation rates.

In 2010 the Tennessee Higher Education Commission (THEC) conducted a comparison of state merit scholarship programs. Fourteen states were included in the comparison: Alaska, Florida, Georgia, Kentucky, Louisiana, Massachusetts, Michigan, Mississippi, Missouri, Nevada, New Mexico, South Carolina, West Virginia, and Tennessee. Each state uses various sources to fund the merit-aid scholarships. Some of them include tobacco settlement revenues, land leases and sales, turnpike revenues, state general funds, or state lottery revenues. Among them, eight states are using a state lottery to fund programs: Arkansas, Florida, Georgia, Kentucky, New Mexico, South Carolina, West Virginia, and Tennessee. The purpose of this report is to examine lottery funded merit-based scholarship programs in these eight states. Specifically, this report addresses questions about: 1.) How programs are similar or different regarding eligibility rules, award details, and renewal and restoration rules. 2.) What changes to the programs have occurred over time, and what was the impetus behind these changes (i.e. was it a policy decision, due to fiscal constraints, or both). 3.) Finally, to understand how the programs are related to college access and completion.

Overview of State Lottery Scholarship Programs

<u>Policy Diffusion</u>

Figure 1 shows the diffusion of lottery scholarship programs. With the exception of New Mexico's scholarship program, which was the second program created in 1996 and is uniquely structured, the growth of lottery scholarship programs has been heavily concentrated in the southeast region of the United States. The success of Georgia's program, which was the first program established in 1993, led its neighboring states to create their own programs in an

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[1] Alaska(1999), Arkansas(1991, 2009), Florida(1997), Georgia(1993), Kentucky(1999), Louisiana(1998), Massachusetts (2006), Maryland(2002), Michigan(2000), Mississippi(1996), Missouri(1997), Nevada(2000), New Mexico(1997), Oklahoma, South Carolina(1998), Tennessee(2003, 2004), West Virginia(2002, 2005)

attempt to mirror Georgia's success. Evidence of Georgia' influence can be seen in the way the programs are structured, the naming of programs, and the legislative discussions surrounding the founding of states' programs. Like most policies that spread across states, the diffusion occurs incrementally. The growth in lottery scholarship programs has occurred over almost three decades, with four states implementing lottery scholarships in the 1990s, three in the 2000s, and Arkansas establishing its program most recently, in 2010.

Figure 1: Diffusion of Lottery Programs
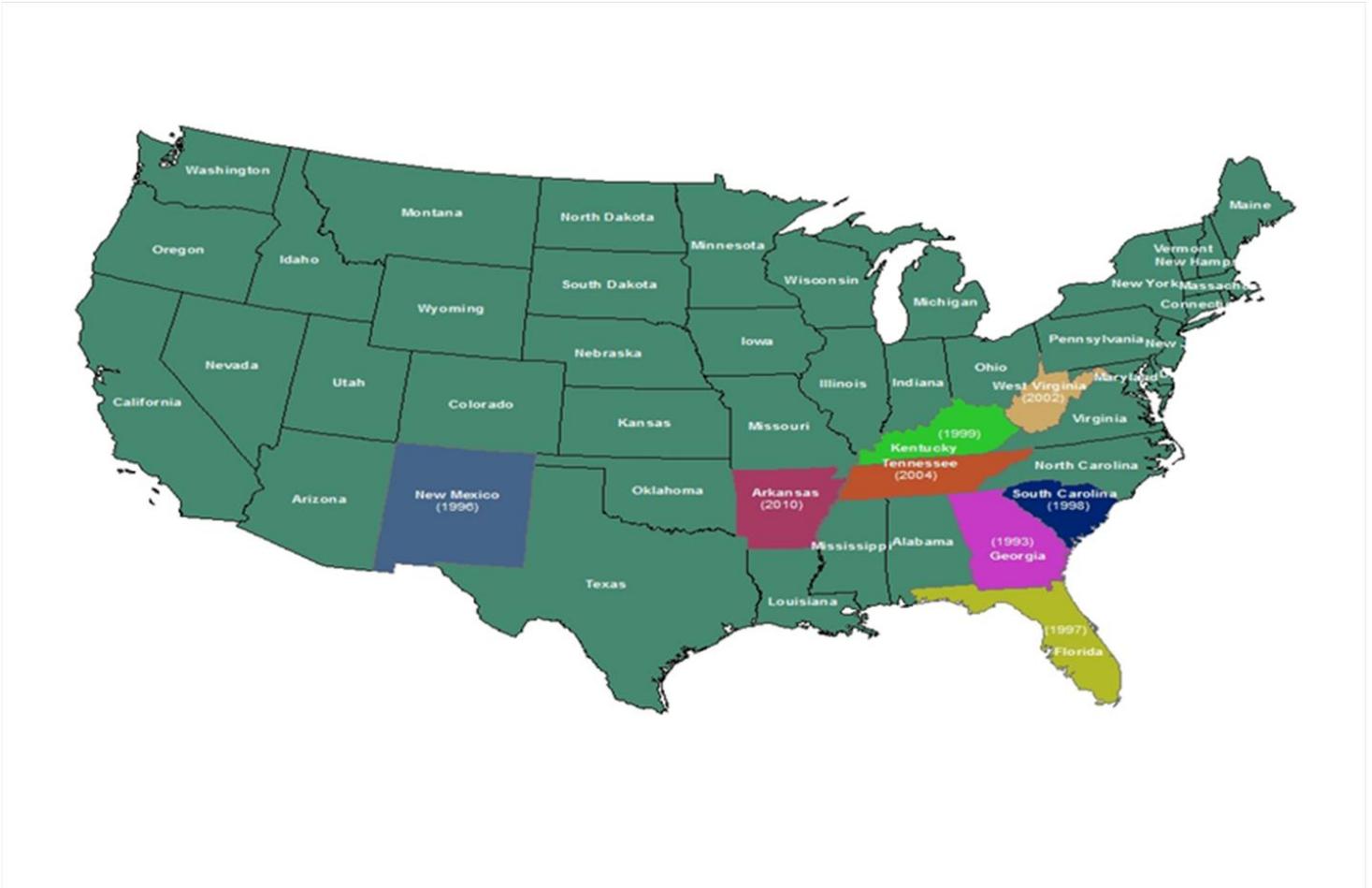
Table 1 presents the lottery scholarship programs in each state. Four states, which are highlighted in blue, (Tennessee, Florida, Georgia, and South Carolina) have multiple programs or supplemental awards with differing rules. For the states with multiple programs, the largest and most common program is listed as the base program. More diverse program requirements result in more diverse students.

Table 1: Lottery Scholarship Programs in Each State

	Tennessee	Arkansas	Florida	Georgia	Kentucky	New Mexico	South Carolina	West Virginia
Number of Programs	4	1	4	2	1	1	3	1
Base program	TELS Tennessee Education Lottery Scholarship	Academic Challenge	Bright Futures (FMS) Florida Medallion Scholars Award	HOPE Helping Outstanding Pupils Educationally	KEES Kentucky Educational Excellence Scholarship	Legislative Lottery Scholarship	LIFE Legislative Incentives for Future Excellence	PROMISE Providing Real Opportunities for Maximizing In-state Student Excellence
Other Programs	GAMS HOPE with merit supplement		GSV Gold Seal Vocational Scholars	Zell Miller			Palmetto Fellows	
	Access Award		ATS Academic Top Scholars				HOPE	
	ASPIRE HOPE with need supplement		FAS Florida Academic Scholars					

Table 2 and **Table 3** present the year the program began, the number of students served and total costs in the most recent year, and the maximum and average award amounts across all lottery funded programs in the state. Florida served the largest number of students (179,076) in the most recent year. Georgia and Florida have invested the most money with total costs of $539.9 million and $423 million in the 2010-2011 academic year. The average award amount among the eight states is $3,245, but ranges from $1,500 to $5,000 per year at public 4-year universities. As seen in Table 3, Tennessee, Florida, and South Carolina (highlighted in blue) have a different number of students served and total program costs when all programs are combined. Georgia's Zell Miller program did not begin until 2011; therefore, the number of Georgia's base program is the same as the total for all its programs, whose cells are marked in red. A more detailed overview of each state's lottery scholarship program can be found in **Appendix A.**

Table 2: Overview of State Lottery Scholarship Programs (Base Programs)

	Tennessee	Arkansas	Florida	Georgia	Kentucky	New Mexico	South Carolina	West Virginia
Year Implemented	2004	2010	1997	1993	1999	1996	1998	2002
Number of students served in 2010-11	43,282	22,251	136,970	123,471	67,930	19,696	33,870	9,809
Total program costs in 2010-11	$ 148m	$ 61m	$ 290m	$ 539.9m	$ 93m	$ 47m	$ 164m	$ 46m
Maximum Award	Prior to 2009 $4,000 (4-year) $2,000 (2-year) After 2009[2] $6,000 (4-year) $3,000 (2-year)	2010-11 $5,000 (4-year) $2,500 (2-year) 2011-12 $4,500 (4-year) $2,250 (2-year)	$2,385[3]	Public $70-210[4] (per-hour-rate) Private $1,800 (semester) $1,200 (quarter)	$2,500	Tuition Only	$5,000	$5,406[6]
Average Award[1]	$3,430	$2,741	$2,124	$4,372	$1,369	$2,386	$4,842	$4,689
Scholarships as a percent of average Public 4-yr tuition & fees [5]	52.5% ($6,525)	43.9% ($6,298)	43.4% ($4,886)	73.9% ($5,916)	18.3% ($7,511)	46.2% ($5,169)	47.8% ($10,155)	92.8% ($5,049)

Source: State program websites and state finance annual report (Citations can be found in References)

[1] Average Award is a derived variable by THEC staff, using the number of students and total program costs in most recent year

[2] Divided equally over semesters including summer

[3] The award amount in Florida is per credit hour based and varies among different types of institutions
http://www.floridastudentfinancialaid.org/SSFAD/bf/awardamt.htm

[4] The exact HOPE award amounts by institution can be found at
http://www.gsfc.org/main/publishing/pdf/2011/hope_award_amounts.pdf

[5] The source for average public 4-year tuition and fees is The College Board, Trends in College Pricing (2011)
http://professionals.collegeboard.com/data-reports-research/trends

[6] Students who were awarded PROMISE scholarship prior to January 1, 2010 receive an award equal to the actual tuition and mandatory fee charges. After January 1, 2010, the maximum award for students is $4,750, or full tuition and fees, whichever is less.

Table 3: Overview of State Lottery Scholarship Programs (All Programs)

	Tennessee	Arkansas	Florida	Georgia	Kentucky	New Mexico	South Carolina	West Virginia
Number of students served in 2010-11	101,569	22,251	179,076	123,471	67,930	19,696	43,159	9,809
Total program costs in 2010-11	$ 297m	$ 61m	$ 423m	$ 539.9m	$ 93m	$ 47m	$ 239m	$ 46m
Maximum Award	Prior to 2009 $4,000 (4-year) $2,000 (2-year) After 2009[2] $6,000 (4-year) $3,000 (2-year)	2010-11 $5,000 (4-year) $2,500 (2-year) 2011-12 $4,500 (4-year) $2,250 (2-year)	$2,385[3]	Public $70-210[4] (per-hour-rate) Private $1,800 (semester) $1,200 (quarter)	$2,500	Tuition Only	**LIFE**: $5.000 **Palmetto**: $7,000 **HOPE**: $2,800	$5,406[6]
Average Award[1]	$2,924	$2,741	$2,362	$4,372	$1,369	$2,386	$5,537	$4,689
Scholarships as a percent of average Public 4-yr tuition & fees [5]	44.8% ($6,525)	43.9% ($6,298)	48.3% ($4,886)	73.9% ($5,916)	18.3% ($7,511)	46.2% ($5,169)	54.5% ($10,155)	98% ($5,049)

Source: State program websites and state finance annual report (Citations can be found in References)

[1] Average Award is a derived variable by THEC staff, using the number of students and total program costs in most recent year

[2] Divided equally over semesters including summer

[3] The award amount in Florida is per credit hour based and varies among different types of institutions
http://www.floridastudentfinancialaid.org/SSFAD/bf/awardamt.htm

[4] The exact HOPE award amounts by institution can be found at
http://www.gsfc.org/main/publishing/pdf/2011/hope_award_amounts.pdf

[5] The source for average public 4-year tuition and fees is The College Board, Trends in College Pricing (2011)
http://professionals.collegeboard.com/data-reports-research/trends

[6] Students who were awarded PROMISE scholarship prior to January 1, 2010 receive an award equal to the actual tuition and mandatory fee charges. After January 1, 2010, the maximum award for students is $4,750, or full tuition and fees, whichever is less.

Figure 2 compares the average award amount of each state's **base** lottery program. Kentucky awards the least amount of money on average at $1,369. West Virginia and South Carolina have the highest average award, $4,689 and $4,855 respectively. This comparison shows that the award amount varies across the eight states. The average award in Georgia and West Virginia covers more than 70 percent of tuition, while most states' average base award amount covers about half of the tuition cost. Tennessee's average award amount is $3,430, which covers about 50 percent of tuition, and stands in the middle among the eight lottery scholarship states.

Figure 2: Amount of Average Award in Base Scholarship Program
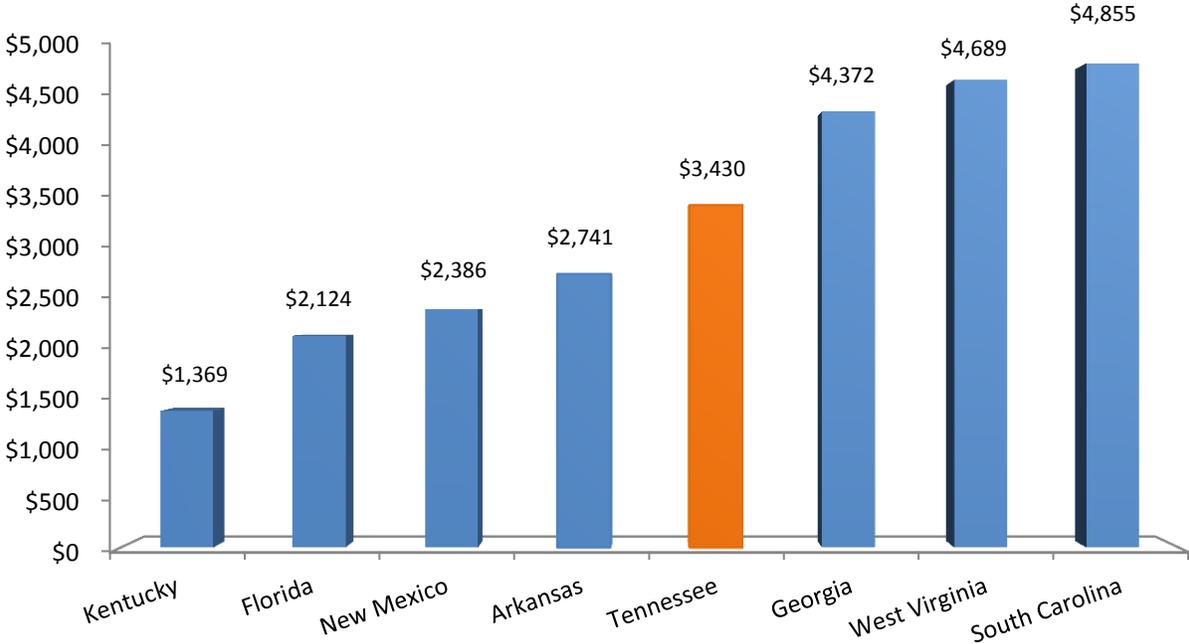
State Lottery Scholarship Program Eligibility Requirements

Each of the states analyzed requires students to meet minimum eligibility criteria, such as high school GPA, standardized test scores, high school core courses, or community service to receive a lottery scholarship award. The first part of this section illustrates the application rules and initial eligibility criteria for the base lottery scholarship program in each state. The second part explains the core course requirements in more detail.

Application Rules

Five of the eight states require students to submit an application to participate in the scholarship program. Four of the five states allow the Free Application for Federal Student Aid (FAFSA) to serve as a student's application for the scholarship program, eliminating unnecessary duplication. West Virginia requires students to fill out a state application and a FAFSA. Florida recently changed its application from a state application to the FAFSA to ensure that its students were receiving federal student aid.

Table 4: Scholarship Application rules

	Tennessee	Arkansas	Florida	Georgia	Kentucky	New Mexico	South Carolina	West Virginia
Is application required?	Yes	Yes	Yes	Yes	No	No	No	Yes
Application	FAFSA	FAFSA	FAFSA	FAFSA *or* State Application	.	.	.	FAFSA *and* State Application
Allows home-schooled students? [1]	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes
Allows Full-time and Part-time students?	No	Yes (traditional students) No (Non-traditional students)	No	No	No	Yes	Yes	Yes

[1] New Mexico and West Virginia award scholarships to home-schooled students only if they pass the GED or attain a minimum GED score. (See **Appendix D** for more details on home-schooled students' eligibility criteria.)

Initial Eligibility Requirements

As shown in **Table 5**, Florida and West Virginia are the states that require both an ACT score and a high school GPA for initial eligibility. While Georgia, Tennessee, Arkansas, and Kentucky require either an ACT score or a high school GPA. Among the eight states, five states (Florida's GSV and FMS, Georgia, South Carolina, West Virginia, and Tennessee) require a minimum 3.0 high school GPA for their base scholarship program. As discussed below, several states have supplemental awards or additional scholarship programs that require more rigorous academic scores, but also provide higher award amounts.

Table 5: Minimum Initial Eligibility Criteria for Base Lottery Scholarship Program[1]

	States that require both ACT/SAT *and* HSGPA		States that require either ACT/SAT *or* HSGPA				Other	
	FL	**WV**	**GA**	**TN**	**AR**	**KY**	**NM**	**SC**[5]
High School GPA	3.0	3.0	3.0[2]	3.0	2.5	2.5 (for base award)		3.0
ACT/SAT	20 ACT	22 ACT/ 1020 SAT	85% or higher on SAT /ACT[3]	21 ACT/ 980 SAT	19	15 ACT/ 710 SAT (for bonus award)		24 ACT/ 1100 SAT
Core Course Requirements	Yes	Yes			Yes	Yes		
College GPA							2.5	
High School Ranking								Top 30%
Community Service	75 hours required	20 hours encouraged						

Source: State program websites and state finance annual report (Citations can be found in References)
[1] For the minimum eligibility criteria for all programs in eight states, see **Appendix B**
[2] For graduates from a HOPE-eligible high school or home study program
[3] For graduates from an ineligible high school, home-study program or GED

For example, Georgia's Zell Miller, Florida Academic Scholars (FAS), and Tennessee's General Assembly Merit Scholarship (GAMS) have higher requirements than their base programs. Georgia's HOPE scholarship recently changed its award amount from full tuition to a per-hour award at public institutions. However, the Zell Miller program, which requires a 3.7 GPA, awards full tuition to students attending public institutions or $2,000 towards tuition for private

institutions. Florida has three different types of programs with varying eligibility criteria. The Florida Gold Seal Vocational Scholars (GSV) program requires a minimum 18 ACT score and 3.0 GPA; the Florida Medallion Scholars (FMS) requires a minimum 20 ACT score and 3.0 GPA; and the Florida Medallion Scholars Award (FAS) requires the highest GPA at 3.5 and a minimum of 100 hours of community service.[2] However, FAS also awards up to $25 more per credit hour than the other two programs. Tennessee's supplementary program, GAMS, requires a minimum 3.75 GPA and 29 ACT (1280 SAT), higher than the base HOPE requirements, however, students receive an additional supplement of $1,500.

The minimum academic eligibility criteria in Kentucky and Arkansas are lower than other states. Students in Arkansas can receive the award with either a GPA of 2.5 or an ACT score of 19. On average, the states require a minimum GPA of 3.0 and an ACT score of at least 20. Contrary to its relatively lower academic requirements, the maximum award amount in Arkansas is similar to other states.

Kentucky's scholarship program awards different amounts according to students' academic achievement in high school. Students who earned a minimum 2.5 high school GPA would earn a base amount of $125 for each year at college, and an additional $25 for every 0.1 GPA point earned above the minimum.[3] For instance, a high school student that earns a 3.0 GPA would get $250 and with a 3.5 GPA they would receive $375. In addition to this base award, students get another $35-$36 for every ACT point above the minimum score (15). The KEES program also provides supplemental awards for Advanced Placement (AP) or International Baccalaureate (IB).[4] The AP/IB supplemental award is only for students who are eligible for free or reduced-price lunch during any year of high school and who have a qualifying score on an AP or IB exam.

New Mexico is the only state that bases scholarship eligibility entirely on collegiate performance. New Mexico requires students to complete 12 credit hours in their first semester in college with a 2.5 GPA to be eligible for the scholarship. If they meet the eligibility criteria, they can receive the scholarship for the next semester and receive a retroactive payment for their first semester. South Carolina has three eligibility categories: a high school GPA, ACT/SAT scores, or high school rankings. Students must meet at least two of the three requirements to be eligible for the scholarship.

The programs in Florida and West Virginia either require or encourage students to complete community service. Florida increased the number of hours required for community service for all three Bright Futures awards in 2011-2012. Now, students must complete 30(GSV), 75(FMA), and 100(FAS) hours to be eligible for the lottery scholarship awards. PROMISE scholarship applicants in West Virginia are strongly encouraged to complete at least 20 hours of unpaid

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[2] Community service hours re not verified by the program office.
[3] The detailed information about KEES award amounts can be found at http://www.kheaa.com/website/kheaa/keesaward?main=1
[4] KEES gives initial award amounts of money based on the GPA the students earned each year with minimum GPA of 2.5. Beyond on that, students are awarded ACT bonus (with ACT score of 15 or above) and AP/ IB supplement (with a qualifying score on an AP or IB exam).

community service while in high school and college. Neither state verifies the community service hours worked by the student.

Core Course Requirements

In addition to the eligibility criteria outlined above, Arkansas, Florida, Kentucky, and West Virginia require recipients to meet specific high school curriculum requirements. **Table 6** provides a brief overview of the core subjects and the number of units or credits each state requires. Each number in the table reflects the number of units or credits students must complete to be eligible for the scholarship. However, when compared to the average high school graduation requirements, most of core course requirements are not higher than the states' high school graduation requirements except the blue colored states. Specifically, the math requirement in West Virginia and foreign language course requirements in Florida are higher than the high school graduation requirement.

Table 6: Core Courses Requirement

	Arkansas	Florida	Kentucky	West Virginia
English	4	4	4	4
Math	4	4	3	4
Natural Science	3	3	3	3
Social Studies	3	3	3	4
Arts	½	0	1	0
Foreign Language	0	2	0	0
Health	½	0	½	0
Physical Education	½	0	½	0
Electives	Career(6)	0	7	0

Source: State program websites and state finance annual report (Citations can be found in References)

Arkansas

To be eligible for an Academic Challenge award, Arkansas public high school students must successfully complete the "Smart Core Curriculum" (see **Appendix C** for more details) established by the Arkansas Department of Education. Graduates that do not complete the curriculum must achieve proficient or higher scores on all state-mandated end-of-course assessments, including Algebra I, Geometry and Biology.

Florida

Florida requires students to complete 16 credit hours of college preparatory academic courses including English, math, social science, natural science, and foreign language.

Kentucky

In Kentucky, students are eligible to receive the lottery scholarship award with a minimum 2.5 high school GPA for the basic award. If students have at least a 15 composite ACT score or 710 SAT score, they can receive a bonus award. However, students must meet the KEES authorized curriculum requirements and earn at least a 2.5 GPA in every year of high school. The KEES authorized curriculum requires 22 units in the following categories: language arts, math, science, social studies, health, physical education, arts appreciation, and electives.

West Virginia

West Virginia's PROMISE program has both a minimum high school GPA and a minimum test score for basic eligibility. For the high school GPA requirements, students must graduate with at least a 3.0 GPA in both the core courses and overall coursework. All core classes listed by the College Foundation of West Virginia should be completed and used when calculating the core GPA. The core courses include: English, math, social studies, and science (for the detailed core subjects in each category, see **Appendix C**).

Scholarship Award Guidelines

Award Details

Table 7 presents the scholarship award details in eight states. This section summarizes the maximum award amount, the maximum number of years awarded, and the minimum credit requirements. Additionally, each state has different rules regarding the maximum length of time allowed between high school graduation, college enrollment, and credit hours.

Table 7: Award Details

	Maximum Award Amount	Year-Limit	Credit Hour Cap	Enroll Immediately after H.S graduation	Can Use Scholarship for Out-of-State Enrollment
Tennessee	$6,000(4-year) $3,000(2-year)	5-year	120 semester hours	Within 16-month	No
Arkansas	$4,500(4-year) $2,250(2-year)	4-year	130 semester hours in 8 semesters 130 semester hours in 16 semesters	Yes	No
Florida	$125(4-year) *per credit* $77(2-year) *per credit*	5-year	120 semester hours	Within 3-year	No
Georgia	$70-210/hour (Public) $1,800/semester (Private)	No Limit	127 semester hours	No Limit	No
Kentucky	Maximum $2,500[1]	4-year	8 semesters	Within 5-year	Yes through the ACM (Academic Common Market) Programs
New Mexico	Tuition Only	4-year	8 semesters	Yes	No
South Carolina	$5,000 (LIFE)	4-year	No Limit	No Limit	No
West Virginia	$4,750	4-year	8 semesters	Within 2-years	No

Source: State program websites and state finance annual report (Citations can be found in References)
[1] KEES gives initial award amounts based on the high school GPA the students earned each year with minimum high school GPA of 2.5. Beyond on that, students are awarded an ACT bonus (with ACT score of 15 or above) and AP/ IB supplement (with a qualifying score on an AP or IB exam).

Award amounts vary among the states. New Mexico awards the base cost of tuition excluding additional educational expenses. Arkansas, South Carolina, West Virginia, and Tennessee have similar award amount ranges, from $4,500 to $6,000 per year for full-time students enrolled in public 4-year institutions. Additionally, Florida, Kentucky, and Tennessee award different amounts of money according to the student's high school GPA or composite ACT scores.

Five states (Arkansas, Kentucky, New Mexico, South Carolina, and West Virginia) allow students to receive the scholarship for up to four years from high school graduation, while Tennessee and Florida allow up to five years or 120 semester hours. Four states (Arkansas, New Mexico, South Carolina, and West Virginia) require students to go full-time to receive the award, while Tennessee, Florida, Georgia, and Arkansas allow part-time students. Arkansas divides traditional and non-traditional students, allowing only non-traditional students (students not enrolling immediately after high school graduation) to be enrolled part-time. Non-traditional students are allowed to attend part-time with a minimum six semester hour completion. Arkansas requires traditional students, however, to complete 27-30 semester hours per year.

Tennessee (120) and Georgia (127) have cumulative semester hour caps on the award. Florida and New Mexico require students to complete at least 6-12 credit hours each semester, while West Virginia (27) and Arkansas (30) require students to complete a specific number of credit hours each year.

Remedial & Summer Courses

Of the eight states with lottery scholarship programs, half allow students to use their scholarship for remedial courses (**Table 8**). Additionally, half of the states allow students to utilize their lottery scholarship for the summer semester. Tennessee became the most recent state to allow students to allow lottery scholarships to apply towards summer enrollment in 2010.

Table 8: Remedial & Summer Courses Funding

	TN	AR	FL	GA	KY	NM	SC	WV
Funds Remedial Courses?	Yes	Yes	No	No	Yes	No	No	Yes
Funds Summer Courses?	Yes	No	Yes (only with available funds)	Yes	No	No	Yes	Yes

Renewal Requirements

Every state included in this comparison requires students to maintain a minimum GPA level to renew the scholarship each year (see Table 9). The minimum renewal requirement ranges from 2.5 (Arkansas and New Mexico) to 2.75 (Tennessee, Florida and West Virginia), to 3.0 (Georgia, Florida, Kentucky, South Carolina, and West Virginia). In Florida, students must maintain at least a 2.75-3.00 GPA, depending on their program, and earn at least 6-12 semester hours according to their full-time or part-time status. In Kentucky, students must maintain a minimum 3.0 GPA to receive the full award amount. When students earn at least a 2.5 GPA but below a 3.0, the full award will only be renewed if they have completed enough hours for graduation. If they have not earned enough credit hours for successful graduation, the award amount will be reduced by 50 percent. In West Virginia, first year scholarship recipients must maintain an overall GPA of at least a 2.75 at the end of the first year. Thereafter, an overall GPA of 3.0 is required for renewal. Additionally, a student must earn a minimum of 30 credit hours a year for renewal.

Table 9: College GPA Renewal Requirements

	Tennessee	Arkansas	Florida[1]	Georgia	Kentucky	New Mexico	South Carolina	West Virginia
1st year	2.75	2.50	2.75-3.00	3.00	3.0: Full 2.5: 50%	2.50	3.00	2.75
2nd year	2.75	2.50	2.75-3.00	3.00	3.0: Full 2.5: 50%	2.50	3.00	3.00
3rd & after	3.00	2.50	2.75-3.00	3.00	3.0: Full 2.5: 50%	2.50	3.00	3.00

Source: State program websites and state finance annual report (Citations can be found in References)
[1] FMS and GSV programs: 2.75 GPA, FAS program: 3.0 GPA

Restoration Rules

Each state established rules for students who failed to renew the scholarship either because they did not meet the minimum GPA or they did not achieve the minimum credit requirements. Among the eight states, six states allow students to regain the scholarship at least one time. However, to restore the scholarship, students are required to meet either academic or credit hour requirements such as meeting certain GPA or completing certain credit hours. **Table 10** illustrates the restoration rules of each state including the number of times a student can regain the award and detailed requirements.

Table 10: Restoration Rules

		TN	AR	FL	GA	KY	NM	SC	WV
Allows student to regain scholarship		Yes	Yes	Yes	Yes	Yes	No	Yes	No
Number of Chances		One-time	One-time	One-time	One-time				
Requirements	**Academic Requirement**	After meeting the renewal criteria 2.75 GPA & attempting 24/48 semester hours **or** meeting 3.0 GPA after 72 hours	Completion of 30 semester hours per year with 2.5 GPA	After meeting 2.75 GPA renewal requirement	After attempting 60hrs.(semester)90hrs (quarter) with 3.0 GPA **or** After attempting 90 hrs. (semester) 135 hrs. (quarter) with 3.0 GPA	After meeting 2.5 GPA renewal requirement		After meeting 3.0 GPA renewal requirement	
	Credit/Hour Requirement			Not permitted[1]				With minimum 30 credit hrs. *(rising sophomore)* **or** minimum 60 credit hrs. *(rising junior)* **or** minimum 90 credit hrs. *(rising senior)*	

Source: State program websites and state finance annual report (Citations can be found in References)
[1] A student who fails to meet the minimum earned hour requirement in any academic year renewal period will not be permitted a restoration opportunity.

Impacts on Access and Completion

Each state is making efforts for students to have better access to higher education and to successfully complete. This section examines each state's program in terms of incentives for student access and completion.

Impacts on Access

Figure 3 compares the number of students served in the most recent year in each state's base lottery scholarship program. West Virginia serves the fewest number of students (9,809) and Florida the most (136,970).

Figure 3: Number of Students Served in the Base Program in the Most Recent Year and Total Base Program Costs in 2010-11, in Millions
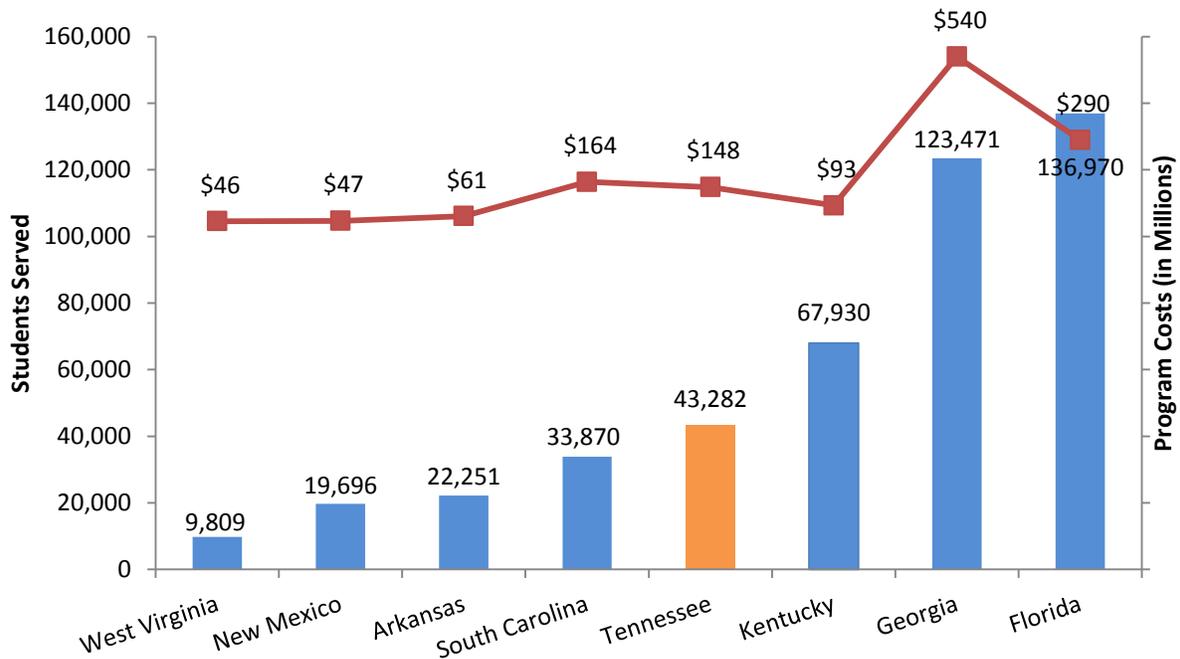
Figure 4:
Continuum of State Lottery Scholarship Programs based on Academic Eligibility (Composite ACT score and high school GPA) and Financial-Need Requirements
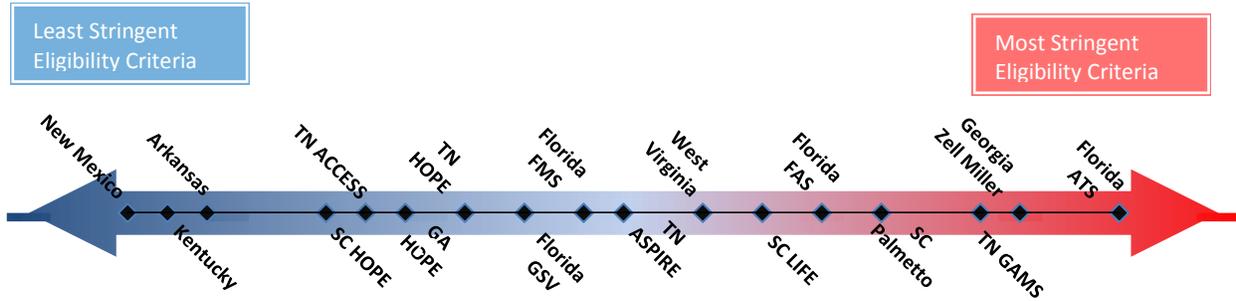

Figure 4 depicts a continuum of states' lottery scholarship programs based on their composite ACT and high school GPA eligibility requirements.[5] The continuum shows there is wide variation in eligibility criteria both between and within state lottery programs. For example, New Mexico's program does not require high school graduates to have a minimum high school GPA or composite ACT score to be eligible[6] while Kentucky's (2.5 GPA or 15 ACT) and Arkansas's (2.5 GPA or 19 ACT) eligibility requirements are the lowest among the states with minimum high school GPA or/and ACT score requirements. Tennessee, Florida, Georgia, and South Carolina have multiple lottery scholarship programs, reflecting their efforts to serve students with differing academic abilities and financial needs.[7]

As was previously discussed, wide variations exist in the number of students participating in each program, with programs focused on students with demonstrated financial need typically having smaller enrollments than the base programs. Research has shown that using traditional measures, such as high school GPA and standardized test scores, results in students who were likely to attend college even without the public financial assistance receiving a disproportionate number of awards. Among the eight lottery programs, only Tennessee's ASPIRE and ACCESS programs include demonstrated financial need as one of the eligibility criteria.

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[5] The intent of the figure is to show how programs rank, not the relative distance between program requirements.
[6] New Mexico's high school graduates enrolling in college immediately after graduation are eligible for the scholarship; however, students only receive the scholarship if they completed 12 credit hours in their first semester with a 3.0 GPA. Recipients then receive a retroactive payment for the first semester and funding for their second semester.
[7] It is important to note that there is large variation in the enrollment of these programs.