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|  | **Tennessee Department of Human Services****Risk Factor Matrix** |

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| **Client** |  | **County** |  | **Date** |  |

| **ENVIRONMENT** | **N/A [ ]**  | **Score:** |
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|  | Crisis | Vulnerable | Stable | Thriving |
| SHELTER | Structure is unsound, has been condemned, and is dangerously unsafe. | Shelter is deteriorating, some broken windows, holes in walls or floors. | Structure has minor structural problems, broken window, some repairs required. | Shelter is sound, no repairs needed. |
| UTILITIES | Utilities are disconnected or inoperable creating immediate risk. | Utilities are disconnected or inoperable but risk is intermediate. | Utilities are in working order most of the time. | Utilities are operable and no history of disconnections. |
| HOMELESS | Client is homeless or being evicted and weather conditions are very dangerous. | Client is homeless or threatened with eviction but weather only poses intermediate risk. | Client is homeless or eviction is pending but weather makes risk minimal. | Client is not homeless or being threatened with eviction. |
| CRIMINAL ACTIVITY | Residence in high crime area, client has been victimized repeatedly. | Residence is in an area that puts client at risk for being the victim of a crime. | Residence is in an area with a low risk of criminal activity. | Residence is in an area with little or no criminal activity. |
| TRASH/CLUTTER | Most areas of the household are not accessible due to dangerous accumulations of trash or personal belongings. | Some areas of the household not accessible due to accumulations of trash or personal belongings, intermediate risk. | A few areas of the household are not accessible due to clutter or personal belongings, low risk. | All household areas are accessible and there is little to no clutter. |
| TRAFFIC | Residence is in a high traffic area and client’s wandering puts them at high risk. | Residence is in an area moderate amount of traffic and client tends to wander. | Residence is in a location that poses low risk to a wandering client. | Residence location and client behavior poses little or no risk. |
| PEST INFESTATION | Severe pest infestation with bugs, mice or rats evident in the food, bed linens, dishes, or on the client with no effort made to control the pests. | Intermediate evidence of pest infestation with some indication of an effort to control the pests. | Some evidence of a reoccurring pest infestation but efforts to control the pests keep the problem to a minimum. | Little or no evidence of any pest infestation. |
| HUMAN OR ANIMAL WASTE | Extreme filth in the residence including the visible presence of human or animal urine or feces. | Some areas of the house are dirty and there is some evidence of human or animal waste. | Some odor of human or animal waste exists but there is no visible evidence. | There is no evidence or odor of human or animal waste. |

| **FINANCIAL** | **N/A [ ]**  | **Score:** |
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|  | Crisis | Vulnerable | Stable | Thriving |
| INCOME | Client has no income, is totally dependent on others for finances, basic needs are unmet putting client at high risk. | Client’s income is not sufficient to meet most basic needs, choosing between necessities putting client at intermediate risk. | Client has limited income but most basic needs are met with low risk to safety. | Client has sufficient income and all basic needs are met. |
| MANAGING FINANCES | Client is unaware of financial resources, depends on others to manage money, refuses needed assistance with money management. | Client needs assistance with finances, gives money away despite own needs, is not sure how and where money is spent. | Client occasionally needs help with managing money but for the most part keeps bills paid without help. | Client is able to manage their own money and knows where and to whom it goes, bills are paid consistently. |
| MISUSE OF FUNDS | Client’s money is being taken or misused resulting in the loss of food, shelter, medicines and other basic necessities. | Client’s money shows a pattern of misuse which without intervention will result in a lack of basic necessities. | Client’s money is being taken or misused but minimal basic needs are usually met. | There is no indication that the client’s money is being taken or misused. |
| CLIENT’S AWARENESS OF FUNDS | Client is unaware of finances and alleged perpetrator has full access to the client’s money. | Client is unaware of finances but alleged perpetrator has only sporadic access to the funds. | Client is unaware of finances but responsible parties restrict alleged perpetrator’s access. | Client is unaware of finances, responsible party managing money and no indication of exploitation. |
| SUFFICIENT INCOME | Client has sufficient income but standard of living does not match resources. | Client has sufficient income but bills are paid sporadically resulting in loss of utilities, food or medication. | Client has sufficient income but needs occasional assistance with finances. | Client has sufficient income and manages income independently. |

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| **PHYSICAL HEALTH** | **N/A [ ]**  | **Score:** |
|  | Crisis | Vulnerable | Stable | Thriving |
| AMBULATION | Bedfast | Difficulty ambulating, requires prosthesis (cane or walker) or hands on help to ambulate. | Occasionally non-ambulatory, ambulatory with a wheelchair or assistive device. | Ambulatory without assistance |
| ILLNESS OR MEDICAL CONDITION | Chronic or acute potentially life threatening illness or condition | Chronic illness, non-life threatening but requiring continuous care or treatment. | Occasional flare up of chronic illness, medical condition is controlled by regular medical checkups. | No chronic medical problems, generally healthy. |
| SKIN BREAKDOWN | Client has decubitus ulcers and bedsores. | Client has evidence of skin break down and is not receiving treatment | Client has evidence of skin breakdown but is receiving treatment | No evidence of skin breakdown or discoloration of the skin |
| NUTRITION | Client has been diagnosed or appears to be malnourished | Client has evidence of skin break down and is not receiving treatment | Client’s minimal nutritional needs are being met | Client is not in any need of treatment or services |
| DEHYDRATION | Client is dehydrated; client has continuous thirst AND water is not available. | Client states they are continuously thirsty and have difficulty getting water. | Client says they stay thirsty but can get water to drink when they need it. | Client has no indicators of dehydration |
| UNMET HEALTH NEEDS | Medical emergency. Has critical unattended health needs. Refuses critical medical services, unable to obtain needed medication, insufficient diet has resulted in serious health problem. | Some observable health needs and not receiving medical care or medicines; has chronic condition or advancing age and receiving no medical care or follow up; insufficient diet. Non-compliant with medical advice and treatment. | No obvious unmet health needs, has not seen doctor recently, some assistance needed with care and medication. | Medical and health needs are being met. Medical conditions are being controlled. |

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| **MENTAL HEALTH** | **N/A [ ]**  | **Score:** |
|  | Crisis | Vulnerable | Stable | Thriving  |
| CONFUSION | Constant confusion | Periodic confusion | Minimal confusion | No confusion |
| MENTAL ILLNESS | Severe uncontrolled mental illness including untreated depression. | Decompensate form of mental illness, some evidence of depression. | Minimally controlled mental illness, depression that is being treated. | No evidence of any untreated mental illness. |
| CAPACITY | Obvious lack of capacity to consent, lacking the ability to make informed decisions. | Capacity to consent is questionable or fluctuates, obvious short term memory loss. | Minimal short term and or long term memory loss. | Client appears to be able to make informed decisions. |
| TREATMENT | Client refuses needed mental health treatment or services. | Client resists needed treatment or services. | Client needs services and is open to receiving services. | Client is not in need of any mental health services or is on medication and mental health condition is stable. |

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| **SUBSTANCE ABUSE** | **N/A [ ]**  | **Score:** |
|  | Crisis | Vulnerable | Stable | Thriving |
| USE | Chronic alcohol or substance abuse. | Episodic alcohol or substance abuse. | Infrequent episodes of alcohol or substance abuse. | No evidence or history of alcohol or substance abuse. |
| CAPACITY | Obvious lack of capacity to consent due to substance abuse, inability tom make informed decisions. | Capacity to consent is questionable and there is an obvious loss of short or long term memory. | Minimal evidence of short or long term memory loss due to alcohol or substance abuse. | Client appears to be capable of making informed decisions regardless of substance abuse. |
| TREATMENT | Client refuses needed treatment or services. | Client resists needed treatment or services. | Client needs services and is open to receiving them. | Client does not need any services. |

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| **DISABILITIES** | **N/A [ ]**  | **Score:** |
|  | Crisis | Vulnerable | Stable | Thriving |
| PHYSICAL OR MENTAL DISABILITY | Severe and functionally physical and mental limiting disability. | Moderately severe physical or mental disability. | Minimal severe physical or mental disability. | No severe physical or mental disability. |
| DEVELOPMENT-AL DISABILITY | Has a diagnosis of mental retardation, cerebral palsy, autism epilepsy, or traumatic brain injury AND requires extensive assistance which is rarely or never available or client refuses assistance. | Has a developmental disability and needs substantial assistance, which is often not available or is refused by the client. | Has developmental disability and needs occasional assistance and resources are available most of the time and client accepts help. | Has developmental disability and has assistance which the client will accept when and if needed. |

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| **ACTIVITIES OF DAILY LIVING (ADLs)** | **N/A [ ]**  | **Score:** |
|  | Crisis | Vulnerable | Stable | Thriving |
| BATHING & DRESSING | Completely dependent on others for bathing and dressing. | Requires intermediate amount of assistance with bathing and dressing. | Requires only a little assistance with bathing and dressing. | Client is able to bathe and dress without assistance. |
| TOILETING | Completely dependent on others for toileting, incontinent, wears adult diapers that must be changed. | Requires substantial assistance with toileting, needs help with diapers, transferring to potty chair or use of bed pan. | Requires minimal assistance with toileting. | Client is able to attend to all toileting needs without assistance. |
| EATING | Client is unable to feed self. | Requires moderate degree of assistance with eating meals. | Requires a little assistance with eating meals, food cut up, cartons opened. | Client is able to feed self independently. |
| MEAL PREPARATION | Client is unable to prepare any meals of any type. | Client is unable to prepare anything other than simple meals that require almost no cooking. | Client is able to heat prepared meals in microwave, open cans, operate cook stove. | Client is able to prepare all types of meals independently. |
| GROCERY SHOPPING/PAY-ING BILLS | Client is unable to do any grocery shopping or pay bills without assistance. | Client requires a lot of help with grocery shopping and paying of bills. | Client can do grocery shopping and pay bills with only a little assistance. | Client does not require any help with grocery shopping or the paying of bills. |
| HOUSEHOLD CHORES | Client is unable to do any household chores. | Client needs substantial assistance with all household chores. | Client needs only a limited amount of help with household chores. | Client is able to do all household chores independently. |
| MEDICATION MANAGEMENT | Client cannot take and manage prescribed medications without assistance. | Client can take and manage medications with some direction. | Client can take and manage medications with minimal direction. | Client is able to take and manage medications independently. |
| ADLs | Totally dependent on others for ADLs AND no one is available OR person available is incapable of meeting client’s needs OR refuses to provide care; client refuses all assistance AND is totally dependent on others for ADLs. | Needs substantial assistance with any ADLs and dependable assistance is rarely available OR available persons are incapable OR unwilling to meet client’s needs OR client refuses help. | Assistance needed with ADLs and assistance is inconsistent or sporadic. | Able to meet needs independently or assistance is available as needed. |

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| **INFORMAL SUPPORTS** | **N/A [ ]**  | **Score:** |
|  | Crisis | Vulnerable | Stable | Thriving |
| TRANSPORTA-TION | Client has no transportation options. | Client can arrange transportation but dependability and availability are questionable. | Client is usually able to arrange for transportation for necessary appointments. | Client has transportation available and accessible whenever it is needed. |
| RELATIVES/ FRIENDS ABLE OR WILLING TO ASSIST | Client has no relatives or friends able or willing to assist or geographically available. | Relatives or friends available to assist but have limited skills or knowledge of resources and are not always available. | Relatives or friends available and willing to assist but need some direction and assistance from outside agencies. | Relatives or friends available and willing to assist and are knowledgeable, dependable and capable. |
| SERVICE AVAILABILITY | Critical services are not available in client’s area posing significant risk to the client. | Many necessary services are not available in the client’s area, but danger to client is moderate. | A few services are not available to the client, but pose only minimal danger to the client. | All or most critical services are available to the client. |
| ISOLATION | Client is isolated from community services physically, socially, or geographically posing significant risk to the client. | Client is somewhat isolated from community services by lack of knowledge, or cultural barriers, placing client at moderate risk. | Client is minimally isolated from community services by lack of knowledge or cultural barriers but seems willing to be educated. | Client is not isolated from community services by any physical, social, or geographic barriers. |

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| **FORMAL AGENCY SUPPORTS** | **N/A [ ]**  | **Score:** |
|  | Crisis | Vulnerable | Stable | Thriving |
| TRANSPORTA-TION | Client has no transportation options. | Client is able to arrange for transportation but dependability and availability are questionable. | Client is usually able to arrange for transportation through some means for necessary appointments. | Client has transportation available and accessible whenever transportation is needed. |
| SERVICE AVAILABILITY | Critical services are not available in client’s area posing significant risk to the client. | Many necessary services are not available in the client’s area, but safety risk is intermediate. | A few services are not available to the client but pose only a low safety risk to the client. | All or most services are available to the client. |
| ISOLATION | Client is isolated from community services physically, socially and geographically posing significant risk tom the client. | Client is somewhat isolated from community services by lack of knowledge, cultural barriers, placing the client at intermediate risk. | Client is minimally isolated from community services by lack of knowledge or cultural barriers but seems willing to learn. | Client is not isolated from community services by any physical, social or geographic barriers. |

**CARETAKER/PERPETRATOR**

* These risk factors combine both caretaker and perpetrator
* Usually one and the same person
* The safety assessment is addressing risks, look at how one may cancel out the other, keep focus on the safety of the CLIENT

 **[ ] N/A Score:**

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| **CARETAKER/PERPETRATOR LEVEL OF COOPERATION WITH INVESTIGATIVE PROCESS** |
|  | Crisis | Vulnerable | Stable | Thriving |
| COOPERATION WITH INVESTIGATION | Refuses to cooperate with investigation, refuses to allow access or privacy for the interview. | Is resistant to allowing access to the client or privacy for the interview and resists the investigation. | Is uncomfortable with allowing access to the client or privacy for the interview but does. | Is fully cooperative with the investigation and allows full access to the client. |
| COOPERATION WITH SERVICES | Actively sabotages services for the client. | Attempts to manipulate service providers or makes a commitment and does not follow through. | Follows established action plan with only minor deviation or reluctance. | Cooperated fully with service provision and case planning. |
| ACCEPTANCE OF PROBLEM | Does not believe a problem exists despite evidence to the contrary and refuses to take action to protect the client. | Is skeptical that a problem exists and is reluctant to take suggested action necessary to protect the client. | Is doubtful that a problem exists but agrees to take necessary action to protect the client. | Believes that a problem exists and is willing to take whatever steps are necessary to protect the client. |

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| **CARETAKER/PERPETRATOR ACCESS TO THE CLIENT**  |
|  | Crisis | Vulnerable | Stable | Thriving |
| ACCESS | Has unrestricted access to the client. | Access to the client is interrupted by the presence of others in and out of the home.  | Access is limited by others in the home who are protective, rarely alone with the client. | There is no unsupervised access to the client. |
| ASSISTANCE FROM OTHERS | Has 24 hour care giving responsibilities. | Sporadic assistance is provided by others. | Multiple shifts of caretakers. | Never alone or unsupervised with the client. |
| CONTACT  | Client is isolated and dependent on caretaker and/or perpetrator for total care. | Others are involved in the care of the client for brief periods. | Limited opportunity to be alone with the client. | Never unsupervised with the client. |

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| **CARETAKER/PERPETRATOR FINANCIAL DEPENDENCE ON CLIENT** |
|  | Crisis | Vulnerable | Stable | Thriving |
| FINANCIAL SUPPORT | Is totally financially dependent on the client and provides little OR no care for the client. | Receives partial financial support from the client. | Receives occasional financial support from the client. | Is totally financially independent. |
| EXPLOITIVE BEHAVIORS | Has a history of exploitive behavior. | Displays indications of opportunistic behaviors. | Is caring for client because of the financial needs of the caregiver. | Is financially independent of the client. |

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| **CARETAKER/PERPETRATOR QUALITY OF CARE** |
|  | Crisis | Vulnerable | Stable | Thriving |
| UNDERSTANDS CLIENT’S NEEDS | Demonstrates poor understanding of the client’s needs and is blaming or hypercritical. |  Has unrealistic expectations of client, inexperienced in care giving at the level client requires or displays resentment toward the client for needing care. | Unrealistic expectations, err on the side of being overly protective or overly solicitous of the client. | Is knowledgeable about client’s needs and abilities and provides necessary level of care. |
| ABUSE | Responds to client’s behavior with physical measures that are inappropriate (slapping, spanking, hitting). | Responds to client’s behaviors with verbally abusive language and gestures. | Responds to client’s behaviors with occasional verbal threats that are inappropriate but not physically damaging. | Responds to client’s behavior in an appropriate non- threatening and compassionate manner. |
| EXPLOITATION  | Is using the client’s resources to benefit someone other than the client, selling medications for personal gain. | Is using the client’s resources to buy alcohol or drugs or is taking the client’s medications. | Is occasionally using client’s resources to purchase alcohol or drugs but client’s basic needs are met. | Resources and medications are being used only to provide for the client’s care. |

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| **CARETAKER/PERPETRATOR HISTORY OF SUBSTANCE ABUSE** |
|  | Crisis | Vulnerable | Stable | Thriving |
| ALCOHOL/ SUBSTANCE ABUSE | Has a severe alcohol/substance abuse problem that causes significant risk to the client. | Has chronic alcohol/substance abuse problem that sometimes interferes with ability to provide care.  | Has a controlled alcohol/substance abuse problem that has little or no observed negative impact on the client. | Has no known alcohol or substance abuse problems. |

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| **CARETAKER/PERPETRATOR MENTAL AND EMOTIONAL HEALTH** |
|  | Crisis | Vulnerable | Stable | Thriving |
| MENTAL OR EMOTIONAL IMPAIRMENT | Has a severe mental or emotional impairment. | Has a mild mental or emotional impairment. | Has a mental or emotional impairment that is controlled with medication. | Has no mental or emotional impairment. |

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| **CARETAKER/PERPETRATOR PHYSICAL HEALTH** |
|  | Crisis | Vulnerable | Stable | Thriving |
| PHYSICAL HEALTH | Has an illness, condition or a recent physical decline that severely impairs ability to provide care. | Illness or condition requires services to be provided to the caretaker to enable them to provide care to the client. | Has some minor illness or physical problem that impacts only minimally on the caretaker’s ability to provide care. | Is in good physical health and is able to provide good care to the client. |

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| **CARETAKER/PERPETRATOR/VICTIM DYNAMICS** |
|  | Crisis | Vulnerable | Stable | Thriving |
| ACCEPTANCE/ EXCUSING BEHAVIOR | Client feels a need to protect the caretaker/perpetrator or has a relationship that allows the client to tolerate A/N/E. | Client denies or minimizes the situation involving the caretaker/perpetrator. | Client regularly makes excuses for the caretaker/perpetrator’s shortcomings or failure to provide care. | Client recognizes that caretaker/perpetrator’s actions are inappropriate and is willing to take action. |
| CONFUSION/ COGNITIVE SKILLS | Client does not have the cognitive skills needed to identify or recognize the situation as a problem. | Client’s periodic confusion prevents a full understanding of the danger in the caretaker/perpetrator’s actions. | Client is sometimes confused and needs to be reminded that caretaker/perpetrator is not acting in their best interest. | Client is fully cognizant of caretaker/perpetrator’s action and is willing to accept intervention to protect self. |

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| **ELEMENTS OF ABUSE/NEGLECT/EXPLOITATION (A/N/E) AND SELF NEGLECT** | **N/A [ ]**  | **Score:** |

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| **PHYSICAL ABUSE** |
|  | Crisis | Vulnerable | Stable | Thriving |
| INJURIES | Client has serious injuries that require immediate medical treatment or hospitalization. | Client has experienced an increase from minor injuries to ones increasing in severity. | Client has history of accidental minor injuries. | Client has no injuries and no history of accidents or minor injuries. |
| VISIBLE INJURIES | Injuries to head, face or genitals, old and new bruises welts, burns or broken bones. | Visible injuries or broken bones that are not consistent with the story being told. | Visible injuries or broken bones but the story is plausible. | No visible injuries other than minor bruises to places where it is expected. |
| ACCESS | Alleged perpetrator has full access to the client and there is no one to protect in the home. | Alleged perpetrator in the home but access is limited by other protective adult in the home. | Alleged perpetrator has only limited supervised access to the client. | Alleged perpetrator has no further access to the client. |

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| **EMOTIONAL ABUSE** |
|  | Crisis | Vulnerable | Stable | Thriving |
| EMOTIONAL ABUSE | Client traumatized due to prolonged social or emotional isolation. | Client shows adverse effects of psychological abuse, depression, fear, anxiety. | Incidents limited to verbal abuse with no apparent long term effect on client. | No evidence of emotional abuse. |
| VERBAL ABUSE | Client, client’s property, pets, children or grandchildren being threatened with destruction or injury. | Client is subjected to yelling, cursing, screaming, insults, humiliation or ridicule that has a negative impact. | Client’s needs, moans or cries are ignored or not responded to promptly. | Client’s needs or requests for help are promptly responded to with compassion and respect. |
| CLIENT BEHAVIORS | Client is self-abusive or displays fear of caretaker or others. | Client acts out or is combative with caretakers or others, is self-blaming. | Client appears reluctant to talk or answer questions in the presence of caretaker or others. | No evidence that client is afraid or feels threatened by caretakers or others. |

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| **SELF NEGLECT** |
|  | Crisis | Vulnerable | Stable | Thriving |
| SEEKING MEDICAL CARE | Immediate hospitalization or medical treatment needed due to client’s failure to seek or obtain treatment. | Prolonged time exists between illness and client’s seeking medical care. | Client must be encouraged to meet minimal medical care needs. | Independently seeks needed medical treatment or hospital care when needed. |
| FOLLOW MEDICAL TREATMENT | Client fails to seek or follow any medical treatment. | Client seeks medical treatment but is non-compliant with doctor’s orders. | Client attempts to follow medical regimen but fails to do so. | Client seeks medical treatment and adheres to prescribed regimen. |
| ADLs | Client unable to do ADLs independently due to physical or mental limitations. | Client needs in home services and assistance with ADLs to maintain independence. | Client is able to do some ADLs independently and meets basic minimum needs. | Client performs all ADLs independently and meets needs adequately. |
| UNMET NEEDS | Regardless of income, client unwilling or unable to spend for necessities, refuses or resists assistance. | Client reluctant to admit to unmet needs and is resistant to accepting services. | Client reluctant to admit to unmet needs but is willing to accept some assistance. | Client recognizes own need for assistance and accepts services. |
| VISION, HEARING, WALKING AIDS | Client needs glasses/hearing aids and/or walking aids to provide for their own safety but does not have them and cannot obtain them. | Client fails to use needed glasses, hearing aids and/or walking aids. | Client occasionally uses glasses or hearing aids and /or walking aids. | Client always uses needed glasses, hearing aids or walking aids. |
| APPEARANCE | Client has body odor, unwashed hair, untrimmed finger or toe nails, needs dental care, visible dirt or stains on body or clothing and presents a health hazard. | Client is frequently disheveled looking, has visible dirt or clothing not appropriate for the season and it is not a life choice and it is not a health hazard. | Client fails to bathe and/or change clothes regularly, hair sometimes not combed or washed, nails need cleaning and it is not a life choice and it is not a health hazard. | Client appears clean and well-groomed with clothing appropriate for the season or it is a life choice and is not a health hazard. |
| ISOLATION | Client has isolated self from family and community either emotionally or geographically causing risk to be high. | Client in conflict with family and neighbors will not allow them to assist with care, placing client at intermediate risk. | Client’s family and neighbors are geographically close but are not always reliable, placing client at low risk. | Client has family and friends who are reliable and supportive and the client is willing to accept their assistance as needed. |

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| **SEXUAL ABUSE** |
|  | Crisis | Vulnerable | Stable | Thriving |
| COMMUNICA-TION BARRIERS | Client is nonverbal and unable to communicate. | Client has difficulty with communication and needs assistive device or interpreter. | Client has some communication barriers but can make themselves understood. | No communication barriers exist. |
| GENITAL & URINARY TRACT | Client has ongoing genital or urinary tract irritation, infections or scarring and UTIs are not explained by medical conditions. | Client has genital or urinary tract irritation and infections frequently. | Client has genital or urinary tract irritation or infections occasionally. | Client has normal genital or urinary tract problems. |
| INJURY | Client has obvious injuries to genitals, upper torso or inner thighs. | Client has indication of bruises to genitals, upper torso or upper thighs. | Client has a bruise to genitals, upper torso, or upper thighs. | No injuries to genitals, upper torso or upper thighs. |
| STD | Client has a sexually transmitted disease due to abusive sexual contact. |  |  | Client does not have a sexually transmitted disease. |
| ASSISTANCE WITH PERSONAL CARE | Client requires significant “hands on” assistance with personal care (bathing, dressing and toileting) and there are signs of sexual abuse. | Client requires daily assistance with personal care including bathing, dressing and toileting. | Client needs some assistance with personal care including bathing, dressing and toileting. | Client does not need assistance with bathing, dressing or toileting. |
| SEXUAL ABUSE | Client is being fondled, touched, and/or kissed in a sexual manner by caretaker. | Client indicated discomfort with caretaker while being bathed, dressed or toileting. | Client receives assistance as needed with bathing, dressing and toileting. | No indicators of sexual abuse. |
| PORNOGRAPHY | Client is forced to view pornographic materials or sexual acts. | Client has seen and is uncomfortable with pornography and/or acts of a sexual nature. | Client may have seen pornography and sexual acts but it was not intentional. | Client has not been exposed to pornography or sexual acts. |
| NUDITY | Client must endure forced nudity and is not allowed privacy for bathing or dressing. | Client has little or no privacy for bathing or dressing which bothers the client. | Client’s privacy is intruded upon with little or no thought by the caretaker but no obvious affect. | Client’s privacy for bathing, dressing and toileting is respected. |
| CAPACITY | Client lacks the capacity to consent to sexual acts or activities. | Client’s capacity to consent to sex is questionable. | Client probably has the ability to consent but may be unduly influenced. | Client has the ability to consent to sex and there are no indicators of sexual abuse. |

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| **NEGLECT** |
|  | Crisis | Vulnerable | Stable | Thriving |
| NEGLECT | Client is at risk of death or serious harm due to lack of care or supervision by the caretaker. | Deprivation of supervision or basic daily needs resulting in intermediate risk to the client. | Supervision or provision of care for basic needs is sporadic placing the client at low risk. | Client’s need for supervision and provision of care for basic needs are being met by the caretaker. |
| AIDS NEEDED | Client’s need for hearing aid, glasses, dentures, and/ or walking aids are being ignored by the caretaker. | Client’s needed hearing aids, glasses, dentures; walking aids are available but are being withheld by the caretaker. | Caretaker is attempting to meet client’s need for hearing aids, glasses, dentures and walking aid. | Client’s needs for hearing aids, glasses, dentures and walking aid are being met by the caretaker. |
| MEDICAL CARE | Prolonged time between serious illness or injury and the obtaining of medical care by the caretaker. | Medical care obtained reluctantly and treatment plan is followed haphazardly by the caretaker resulting in intermediate risk to the client. | Medical care is obtained and the treatment plan is followed by the caretaker with only a minimal need for encouragement and direction. | Client’s need for medical care is met in a timely manner by the caretaker and medication or treatment plan is followed. |
| INTERFERENCE WITH INTERVIEW | Interference by the caretaker prevents the client from being interviewed alone and or from receiving necessary medical services. | Caretaker is resistant to allowing the client to be interviewed alone or to receive services placing client at intermediate risks. | Caretaker attempts to prevent private interview or provision of services but cooperates after some persuasion. | Client is allowed privacy for interview by the caretaker and is able to receive services that are necessary to protect the client. |
| HOSTILITY/ PUNISHMENT | Client is being punished, improperly restrained, confined or isolated from others. | Client is being threatened with punishment or is the subject of hostile or mean spirited jokes. | Client is being manipulated on how to feel or how to think. | Client does not appear to be experiencing any verbal or mental threats or hostility. |

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| **CATEGORIES** | Crisis=4 | Vulnerable=3 | Stable=2 | Thriving=1 | N/A=0 |
| ENVIRONMENT |       |       |       |       |       |
| FINANCIAL |       |       |       |       |       |
| PHYSICAL HEALTH |       |       |       |       |       |
| MENTAL HEALTH |       |       |       |       |       |
| SUBSTANCE ABUSE |       |       |       |       |       |
| DISABILITIES |       |       |       |       |       |
| ACTIVITIES OF DAILY LIVING |       |       |       |       |       |
| INFORMAL SUPPORTS |       |       |       |       |       |
| FORMAL AGENCY SUPPORTS |       |       |       |       |       |
| CARETAKER/PERPETRATOR |       |       |       |       |       |
| ELEMENTS OF A/N/E & SELF NEGLECT |       |       |       |       |       |
|  |  |  |  |  | SCORE: |
|  |  |  | Total divided by # of categories not marked N/A |