



MEDIA RELEASE

STATE OF TENNESSEE
DEPARTMENT OF COMMERCE AND INSURANCE

FOR IMMEDIATE RELEASE

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REGULATORY BOARDS DISCIPLINARY ACTION REPORT November 2011

Alarm Systems Contractors Board

Respondent: Building Systems Technology, Inc, C-809, Knoxville, TN
Violation: TCA 62-32-312(a), (c) & (d) and rule 0090-01-.05 Failure to register alarm employees within thirty (30) days
Action: \$3,600 Civil Penalty

Respondent: SCSI Business Solutions, LLC, Columbia, TN
Violation: TCA 62-32-304(a) & (j) Unlicensed activity
Action: \$1,000 Civil Penalty and \$200 Investigatory & Hearing costs

Respondent: Security Erectors Group, Inc., Hermitage, TN
Violation: TCA 62-32-304(a) Unlicensed activity
Action: \$5,000 Civil Penalty and \$200 Investigatory & Hearing costs

Respondent: Stanley Convergent Security Solutions, Inc., C-1180 Memphis, TN
Violation: TCA 62-32-312(a), (c) & (d) and rule 0090-01-.05 Failure to register alarm employees within thirty (30) days
Action: \$3,000 Civil Penalty

Respondent: Hogan, Cecil , QA-454, Memphis, TN
Violation: Rule 0090-01-.06 (9) (k) Failure to submit termination notice for alarm employees within thirty (30) days
Action: \$200 Civil Penalty

Respondent: Pierce, Richard, QA-80, White House, TN
Violation: Rule 0090-01-.06 (9) (k) Failure to submit termination notice for alarm employees within thirty (30) days
Action: \$250 Civil Penalty

Respondent: Shipp, Mark, QA-1214, Memphis, TN
Violation: Rule 0090-01-.06(9) (k) Failure to submit termination notice for alarm employees within thirty (30) days
Action: \$150 Civil Penalty

Respondent: St. John, Brad, QA-1444, Paris, TN
Violation: Rule 0090-01-.06(9) (k) Failure to submit termination notice for alarm employees within thirty (30) days
Action: \$50 Civil Penalty

Board of Accountancy

Respondent: Stewart Owen Heath, Fayetteville, TN
Violation: Breached His Fiduciary Responsibility to Clients; Failure to Respond to CPE Audit
Action: Revocation of License

Board of Architectural and Engineering Examiners

Respondent: Mark Steven Frost, P.E. #112477
Violation: Failed to comply with a continuing education audit.
Action: Allowed 90 days to complete audit, an automatic audit of next renewal, pay a \$1,000 civil penalty and to take and pass the Board's Laws and Rules Exam.

Board For Licensing Contractors

Respondent: A Better House, Inc., Nashville, TN
Violation: Submission of False Evidence
Action: \$1,000 Civil Penalty

Respondent: Metro Roofing of Georgia LLC,
DBA A Southern Roofing, Bartlett, TN
Violation: Unlicensed Activity
Action: \$5,000 Civil Penalty

Respondent: Michael L. Fitch, Germantown, TN
Violation: Incompetence and/or Gross Negligence
Action: Revocation of CMC-A Classification; Hearing Costs

Respondent: H & H Contractors (Mark Huerta), Clarksville, TN
Violation: Unlicensed Activity
Action: \$1,000 Civil Penalty

Respondent: Darrell Lyle, Greenbrier, TN
Violation: Improper Conduct
Action: Revocation

Respondent: Empowerment Construction LLC, Clarksville, TN
Violation: Unlicensed Activity
Action: \$2,500 Civil Penalty

Respondent: Hallmark Home Improvement (Henry Davis), Clarksville, TN
Violation: Unlicensed Activity
Action: \$2,000 Civil Penalty

Burial Services

Respondent: Dotson Funeral Home, Inc., Maryville, TN
Violation: Failed to designate a "trustee" as defined by statute to oversee and control the preneed funeral trust funds
Action: \$250 Civil Penalty

Respondent: Highland South Memorial Park, Funerals & Cremations, Knoxville, TN
Violation: Distributing promotional materials that stated discount off funeral packages
Action: \$250 Civil Penalty

Respondent: Lomenick Cemetery, Mausoleum and Columbarium, Chattanooga, TN
Violation: Failed to retain an active corporate status with the Secretary of State
Action: \$250 Civil Penalty and an Additional \$200 Hearing Costs

Collection Services Board

Respondent: Financial Recovery Services, Inc.
Violation: Failed to meet the standards of conduct
Action: \$3,500 Civil Penalty

Respondent: Tritium Card Services, Inc.
Violation: Failed to meet the standards of conduct
Action: \$1,000 Civil Penalty

Respondent: Regional Adjustment Bureau, Inc.
Violation: Failed to meet the standards of conduct
Action: \$500 Civil Penalty

Respondent: Brennan & Clark, LTD
Violation: Unlicensed Activity
Action: \$4,000 Civil Penalty

Respondent: Portfolio Recovery Associates, LLC
Violation: Failed to meet the standards of conduct
Action: \$3,000 Civil Penalty

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Violation: Failed to meet the standards of conduct
Action: \$3,000 Civil Penalty

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Violation: Failed to meet the standards of conduct
Action: \$5,000 Civil Penalty

Respondent: Vital Recovery Services, Inc.
Violation: Failed to meet the standards of conduct
Action: \$1,500 Civil Penalty

Respondent: Maryville Collection Service, Inc.
Violation: Failed to meet the standards of conduct
Action: \$2,000 Civil Penalty

Cosmetology Board

Respondent: Hair by Toi, Nashville, TN
Violation: Operating a shop before inspection
Action: \$500.00 Civil Penalty Assessed

Respondent: LaVergne Nails, LaVergne, TN
Violation: No id tags, wax machine in shop, dirty tools and license not posted
Action: \$2000.00 Civil Penalty Assessed

Respondent: Lynn's Hair Fantasies, McMinnville, TN
Violation: Dog in the shop
Action: \$500.00 Civil Penalty Assessed

Respondent: Many's Hair & Nail Salon, Murfreesboro, TN
Violation: No id tags, sanitation and sterilization
Action: \$500.00 Civil Penalty Assessed

Respondent: Salon DuJour, Lebanon, TN
Violation: Grade sheet not posted, sanitation and sterilization
Action: \$250.00 Civil Penalty Assessed

Respondent: Sequoyah Nail Spa, Knoxville, TN
Violation: Unlicensed individual
Action: \$500.00 Civil Penalty Assessed

Respondent: Tina's Nails, Bartlett, TN
Violation: Wax station was set up, dirty buffers and files, no id tags
Action: \$750.00 Civil Penalty Assessed

Respondent: VIP Nails, Dickson, TN
Violation: Unlicensed individual, sterilization
Action: \$1000.00 Civil Penalty Assessed

Locksmith Licensing Program

Respondent: A Locksmith 24/7 Atlanta, GA
Violation: TCA 62-11-104(a) & (b) Unlicensed activity
Action: \$2, 000 Civil Penalty

Motor Vehicle Commission

Respondent: Lookie Loos, Inc., Chattanooga, TN
Violation: Temporary Tag Log
Action: \$3500 Civil Penalty

Respondent: Lakeside Auto Sales, Manchester, TN
Violation: Issuing More Than Two Temporary Tags
Action: \$1500 Civil Penalty

Respondent: Honda of Cool Springs, Franklin, TN
Violation: Possession of Open Titles (2)
Action: \$1000 Civil Penalty

Respondent: Certified Auto Brokers, Hendersonville, TN
Violation: Issuing More Than Two Temporary Tags
Action: \$1500 Civil Penalty

Respondent: Metro Truck Sales, LLC, Goodlettsville, TN
Violation: Unlicensed Salesperson/Location, Deceptive Acts
Action: \$2500 Civil Penalty

Respondent: C & C Auto Sales, McMinnville, TN
Violation: Unlicensed Activity
Action: \$250 Civil Penalty

Respondent: Brown's Auto Repair & Sales, Gainesboro, TN
Violation: Failure to Maintain Business Records
Action: \$500 Civil Penalty

Respondent: Bowman's Used Cars & RV's, Crossville, TN
Violation: Unlicensed Activity
Action: \$1500 Civil Penalty

Private Protective Security Services

Respondent: Bordeaux Food Land, Inc., Nashville, TN
Violation: TCA 62-35-102(10) & 62-35-123 (a) Unregistered activity as PSO
Action: \$250 Civil Penalty

Respondent: Turner, Jovanta, UG-403259, Smyrna, TN
Violation: TCA 62-35-120(b) Failure to notify of arrest
Action: \$50 Civil Penalty

Real Estate Appraisers

Respondent: Chris J. Bartel, Lexington, TN
Violation: In a land appraisal report, the Respondent misreported or omitted property characteristics and failed to verify, analyze and summarize the analysis of property characteristics of the comparable sales.
Action: A five (5) hour Data Verification Methods course and a fourteen (14) hour Site Valuation course.

Respondent: Stanley G. Franks, Savannah, TN
Violation: In a residential appraisal report, the Respondent failed to adequately described the neighborhood boundaries. The Respondent also failed to verify, analyze and summarize the analysis of property characteristics of the comparable sales, failed to support the site value opinion, failed to employ recognized methods and techniques in the cost approach, and did not reconcile quality and quantity of data used in the approaches to value.
Action: \$500.00 civil penalty and a seven (7) hour Cost Approach course, a seven (7) hour Residential Report Writing course, a seven (7) hour Sales Comparison Approach course, and a seven (7) hour Scope of Work & Due Diligence course.

Real Estate Commission:

Respondent: Kenneth W. Guinn, Memphis, TN
Violation: Failure to maintain E&O Ins.
Action: \$1000 Civil Penalty

Respondent: Stephanie Kay Ewing, Knoxville, TN
Violation: Failure to supervise activities of affiliates (E&O)
Action: \$1000 Civil Penalty

Respondent: Anita Marie Ewoldt, Savannah, TN
Violation: Failure to maintain E&O Ins.
Action: Surrendered license

Respondent: Glen A. Glafenhein, Pigeon Forge, TN
Violation: Failure to supervise activities of affiliates (E&O)
Action: \$1000 Civil Penalty

Respondent: Barry J. Klinikowski, Mt. Juliet, TN
Violation: Failure to supervise activities of affiliates (E&O)
Action: \$1000 Civil Penalty

Respondent: Dawn A. Baranski-Lovelace, Sevierville, TN
Violation: Failure to maintain E&O Ins.
Action: \$200 Civil Penalty/Surrendered licence

Respondent: Anna J. Palazola, Memphis, TN
Violation: Failure to supervise activities of affiliates (E&O)
Action: \$1000 Civil Penalty